

中国（上海）自由贸易试验区外商投资准入特别管理措施（负面清单）（2013年）

Special Administrative Measures (Negative List) on Foreign Investment Access to the China (Shanghai) Pilot Free Trade Zone (2013)

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Hu Fu Fa [2013] No. 75

上海市人民政府关于公布《中国（上海）自由贸易试验区外商投资准入特别管理措施（负面清单）（2013年）》的公告

Bulletin of the Shanghai Municipal People's Government of the "*Special Administrative Measures (Negative List) on Foreign Investment Access to the China (Shanghai) Pilot Free Trade Zone (2013)*"

根据外商投资法律法规、《中国（上海）自由贸易试验区总体方案》、《外商投资产业指导目录（2011年修订）》，现予公布《中国（上海）自由贸易试验区外商投资准入特别管理措施（负面清单）（2013年）》。

In light of laws and regulations on foreign investment, the "*Framework Plan for the China (Shanghai) Pilot Free Trade Zone*", the "*Catalogue of Industries for Guiding Foreign Investment (2011 Amended Version)*", this is to release the "*Special Administrative Measures (Negative List) on Foreign Investment Access to the China (Shanghai) Pilot Free Trade Zone (2013)*."

特此公告。

For your attention

附件：中国（上海）自由贸易试验区外商投资准入特别管理措施（负面清单）（2013年）

Attachment: Special Administrative Measures (Negative List) on Foreign Investment Access to the China (Shanghai) Pilot Free Trade Zone (2013)

上海市人民政府

Shanghai Municipal People's Government

2013年9月29日

29 September 2013.

附件

Attachment

中国（上海）自由贸易试验区外商投资准入特别管理措施（负面清单）（2013年）

Special Administrative Measures (Negative List) on Foreign Investment Access to the China (Shanghai) Pilot Free Trade Zone (2013)

上海市人民政府

Shanghai Municipal People's Government

说 明

Interpretations

《中国（上海）自由贸易试验区外商投资准入特别管理措施（负面清单）（2013年）》（以下简称“负面清单”），以外商投资法律法规、《中国（上海）自由贸易试验区总体方案》、《外商投资产业指导目录（2011年修订）》等为依据，列明中国（上海）自由贸易试验区（以下简称“自贸试验区”）内对外商投资项目和设立外商投资企业采取的与国民待遇等不符的准入措施。负面清单按照《国民经济行业分类及代码》（2011年版）分类编制，包括18个行业门类。S公共管理、社会保障和社会组织、T国际组织2个行业门类不适用负面清单。

The "Special Administrative Measures (Negative List) on Foreign Investment Access to the China (Shanghai) Pilot Free Trade Zone (2013)" (the "Negative List"), which is based on the laws and regulations on foreign investment, the "Framework Plan for the China (Shanghai) Pilot Free Trade Zone," the "Catalogue of Industries for Guiding Foreign Investment (2011 Amended Version)", etc., set out administrative measures applicable to foreign investment projects and establishment of foreign-invested enterprises in the China (Shanghai) Pilot Free Trade Zone (the Pilot Free Trade Zone) where "national treatment" is not granted. The Negative List is compiled according to the "Classification and Codes of National Economic Industries (2011)" and includes 18 industries. Two sectors, namely "(S) Public management, social security and social organizations" and "(T) International organizations" are not subject to the Negative List.

对负面清单之外的领域，将外商投资项目由核准制改为备案制（国务院规定对国内投资项目保留核准的除外）；将外商投资企业合同章程审批改为备案管理。

For areas falling outside the Negative List, pre-approval will no longer be required for foreign investment projects (with the exception where pre-approval is still required even for domestic investment projects as stipulated by the State Council) and establishment of foreign investment enterprises; instead filing (i.e. reporting) requirements will apply.

除列明的外商投资准入特别管理措施，禁止（限制）外商投资国家以及中国缔结或者参加的国际条约规定禁止（限制）的产业，禁止外商投资危害国家安全和社会安全的项目，禁止从事损害社会公共利益的经营活

Apart from the special administrative measures listed in the Negative List, foreign investors are prohibited (or restricted) from investment in industries which are prohibited (or restricted) by China, or by international agreements/treaties China concluded with other countries/jurisdictions. Foreign investors are also prohibited from investment in projects which would compromise China's national or social security, and from business operations which would compromise the public interest.

自贸试验区内的外资并购、外国投资者对上市公司的战略投资、境外投资者以其持有的中国境内企业股权出资，应当符合相关规定要求；涉及国家安全审查、反垄断审查的，按照相关规定办理。

The following activities in the Pilot Free Trade Zone shall comply with relevant regulations: mergers and acquisitions by foreign investors, strategic investments in listed companies by foreign investors, foreign investors' using equity interest in domestic enterprises for capital contribution purpose; if a national security or anti-monopoly investigation is involved, relevant regulations shall be applied.

香港特别行政区、澳门特别行政区、台湾地区投资者在自贸试验区内投资参照负面清单执行。内地与香港特别行政区、澳门特别行政区《关于建立更紧密经贸关系的安排》及其补充协议、《海峡两岸经济合作框架协议》及其后续《海峡两岸服务贸易协议》、我国签署的自贸协定中适用于自贸试验区并对符合条件的投资者有更优惠的开放措施的，按照相关协议或协定的规定执行。

The Negative List also applies to investments in the Pilot Free Trade Zone by investors from the Hong Kong Special Administrative Region, the Macao Special Administrative Region and Taiwan. If more favorable treatment (if applicable in the Pilot Free Trade Zone) is available for qualified investors according to the "*Mainland and Hong Kong/Macau Closer Economic Partnership Agreement*" and their supplements, the "*Cross-Straits Economic Cooperation Framework Agreement*" and the follow-up "*Cross-Straits Agreement on Trade in Services*," and other free trade agreements signed by China, such treatment shall prevail over the Negative List.

根据外商投资法律法规和自贸试验区发展需要，负面清单将适时进行调整。

According to the laws and regulations on foreign investment and the development needs of the Pilot Free Trade Zone, the Negative List will be adjusted when appropriate.

中国（上海）自由贸易试验区外商投资准入特别管理措施（负面清单）
（2013年）

Special Administrative Measures (Negative List) on Foreign Investment Access in the China (Shanghai) Pilot Free Trade Zone
(2013)

门类 (代码及名称)	大类 (代码及名称)	中类 (代码及名称)	特别管理措施
Classes (Codes & Descriptions)	Categories (Codes & Descriptions)	Sub-categories (Codes & Descriptions)	Specific regulations
A 农、林、牧、渔业 A- Agriculture, forest, husbandry and fishery	A01 农业、 A02 林业、 A03 畜牧业、 A04 渔业、 A05 农、林、牧、渔服务业 A01- Agriculture, A02- Forest, A03- Husbandry, A04- Fishery, A05- Agriculture, forest, husbandry, fishery services		<p>1. 投资中药材种植、养殖须合资、合作</p> <p>1. Planting/breeding for traditional Chinese medicine (equity or cooperative joint ventures only)</p> <p>2. 限制投资农作物新品种选育和种子生产（中方控股）</p> <p>2. Breeding of new crops, seeds production (restricted, equity controlled by Chinese party¹)</p> <p>3. 投资农作物种子企业须合资、合作，投资粮、棉、油作物种子企业的注册资本不低于 200 万美元，且中方投资比例应大于 50%，其他农作物种子企业的注册资本不低于 50 万美元</p> <p>3. Crops seeds enterprises (equity or cooperative joint ventures only; minimum registered capital of US\$ 2 million for investing in seeds of grain, cotton and oil crops, with Chinese participation over 50%; minimum registered capital of US\$ 0.5 million for investing in other seeds)</p> <p>4. 限制投资棉花（籽棉）加工</p> <p>4. Cotton (seed cotton) processing (restricted)</p> <p>5. 限制投资珍贵树种原木加工（限于合资、合作）</p> <p>5. Processing of logs derived from rare species of woods (restricted, equity or cooperative joint ventures only)</p> <p>6. 禁止投资我国稀有和特有的珍贵优良品种研发、养殖、种植以及相关繁殖材料生产（包括种植业、畜牧业、水产业优良基因），转基因生物研发和转基因农作物种子、种畜禽、水产苗种生产</p> <p>6. R&D, breeding, cultivation of rare or peculiar species and production of relevant propagation materials including superior genes in crops, husbandry and aquatic sectors; R&D in Genetically Modified Organisms (GMO) and production of genetically modified crop seeds, animal and aquatic breeds (prohibited)</p> <p>7. 禁止投资我国管辖海域及内陆水域水产品捕捞</p> <p>7. Fishing of aquatic products of sea areas and inland waters within China (prohibited)</p>
B 采矿业 B-Mining	B06 煤炭开采和洗选业 B06-Coal exploitation and cleaning		<p>限制投资特殊和稀缺煤类开采（中方控股）</p> <p>Exploitation of special and rare type of coal resources (restricted, equity controlled by Chinese party)</p>

¹ "Equity controlled by Chinese party" refers to a situation where Chinese investors' participation rate is 51% or above

门类 (代码及名称) Classes (Codes & Descriptions)	大类 (代码及名称) Categories (Codes & Descriptions)	中类 (代码及名称) Sub-categories (Codes & Descriptions)	特别管理措施 Specific regulations
B 采矿业 B-Mining	B07 石油和天然气开采业 B07-Oil and natural gas exploration		1. 投资煤层气开发和矿井瓦斯利用须合资、合作 1. Coal bed gas exploration and comprehensive utilization of mine gas (equity or cooperative joint ventures only) 2. 投资石油、天然气开发须合资、合作 2. Oil and natural gas exploitation (equity or cooperative joint ventures only) 3. 投资低渗透油气藏(田)的开发须合资、合作 3. Exploitation of low permeability oil and gas reservoirs (fields) (equity or cooperation joint ventures only) 4. 投资提高原油采收率及相关新技术的开发应用须合资、合作 4. Development and application of new technologies to improve oil recovery (equity or cooperative joint ventures only) 5. 投资物探、钻井、测井、录井、井下作业等石油勘探开发新技术的开发与应用须合资、合作 5. Development and application of new technologies in oil exploration and exploitation (e.g. geophysical prospecting, drilling, measuring, logging, down-hole operation) (equity or cooperative joint ventures only) 6. 投资页岩油、油砂、重油、超重油等非常规石油资源开发须合资、合作 6. Exploitation of unconventional oil resources such as oil shale, oil sands, heavy crude oil and extra-heavy crude oil (equity or cooperative joint ventures only) 7. 投资页岩气、海底天然气水合物等非常规天然气资源开发须合资、合作 7. Exploitation of unconventional natural gas resources such as shale gas, submarine natural gas hydrate (equity or cooperative joint ventures only)
	B08 黑色金属矿采选业 B08-Ferrous metal mining		限制投资硫铁矿开采、选矿，以及硼镁铁矿石开采 Pyrites exploitation and ore dressing/beneficiating operations; and ludwigite exploitation (restricted)
	B09 有色金属矿采选业 B09-Non-ferrous metal mining		1. 限制投资硼镁石开采，锂矿开采、选矿，以及贵金属(金、银、铂族)开采 1. Szaibelyite exploitation; lithium exploitation and ore dressing/beneficiating operations; and exploitation of noble metals (gold, silver and platinum group) (restricted) 2. 禁止投资钨、钼、锡、锑开采和稀土、放射性矿产开采、选矿 2. Exploitation of tungsten, molybdenum, tin and antimony; and exploitation and ore dressing/beneficiating operations of rare earth, radioactive minerals (prohibited)

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B 采矿业 B-Mining	B10 非金属矿采选业 B10-Non-metals mining		<p>1. 限制投资重晶石开采（限于合资、合作）</p> <p>1. Exploitation of barites (restricted, equity or cooperative joint ventures only)</p> <p>2. 限制投资金刚石、高铝耐火粘土、硅灰石、石墨等重要非金属矿开采，磷矿开采、选矿，盐湖卤水资源的提炼，以及天青石开采</p> <p>2. Exploitation of important non-metal ores such as diamond, high-aluminum fireclay, wollastonite, graphite; phosphorite exploitation and ore dressing/beneficiating operations; refinery of salt-lake brine resources; and exploitation of celestite (restricted)</p> <p>3. 限制投资大洋锰结核、海砂的开采（中方控股）</p> <p>3. Exploitation of marine manganese nodules and sea sands (restricted, equity controlled by Chinese party)</p> <p>4. 禁止投资萤石开采</p> <p>4. Exploitation of fluorite (prohibited)</p>
	B11 开采辅助活动 B11- Ancillary mining activities		<p>限制投资硼镁铁矿石加工</p> <p>Processing of ludwigite ores (restricted)</p>
C 制造业 C-Manufacturing	C13 农副食品加工业 C13-Farm and sideline food processing	C131 谷物磨制 C131-Grain grinding	<p>限制投资大米、面粉加工</p> <p>Processing of rice and flour (restricted)</p>
		C133 植物油加工、C136 水产品加工 C133-Plant oil processing, C136-Aquatic product processing	<p>1.限制投资豆油、菜籽油、花生油、棉籽油、茶籽油、葵花籽油、棕榈油等食用油脂加工（中方控股）</p> <p>1. Processing of edible oils such as soy oil, rapeseed oil, peanut oil, cottonseed oil, camellia oil, sunflower oil, palm oil (restricted, equity controlled by Chinese party)</p> <p>2.限制投资生物液体燃料（燃料乙醇、生物柴油）生产（中方控股）</p> <p>2. Production of biological liquid fuels (ethanol fuel, biodiesel) (restricted, equity controlled by Chinese party)</p>
		C139 其他农副食品加工 C139-Other farm and sideline food processing	<p>限制投资玉米深加工</p> <p>Corns deep-processing (restricted)</p>

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C 制造业 C- Manufactur- ing	C15 酒、饮料和精制茶制造业 C15-Alcohol, drinks and refined Tea manufacturing	C151 酒的制造 C151-Alcohol manufacturing	限制投资黄酒、名优白酒生产（中方控股） Production of yellow rice wine ("huangjiu"), famous and high-quality Chinese spirits (restricted, equity controlled by Chinese party)
		C153 精制茶加工 C153-Refined tea processing	禁止投资我国传统工艺的绿茶及特种茶加工（名茶、黑茶等） Processing of green tea with Chinese traditional handicraft and special tea (including famous tea, dark tea, etc.) (prohibited)
	C16 烟草制品业 C16-Tobacco products manufacturing	C161 烟叶复烤 C161-Tobacco re-drying	限制投资打叶复烤烟叶加工生产 Processing and production of leaf tobacco (i.e. threshing and re-drying) (restricted)
		C169 其他烟草制品制造 C169-Other tobacco-related products	投资二醋酸纤维素及丝束加工须合资、合作 Processing of cellulose diacetate or tow (equity or cooperative joint ventures only)
	C22 造纸和纸制品业 C22-Paper and paper products manufacturing	C221 纸浆制造、C222 造纸 C221-Paper pulp manufacturing, C222-Paper manufacturing	投资主要利用境外木材资源的单条生产线年产 30 万吨及以上规模化学木浆和单条生产线年产 10 万吨及以上规模化学机械木浆以及同步建设的高档纸及纸板生产须合资、合作 Mainly using overseas timber resources, production of chemical wood pulp with a single production line of 300,000-ton capacity per year or above, and chemical ground wood pulp with a single production line of 100,000-ton capacity per year or above, as well as simultaneous production of high-quality paper or container-board (equity or cooperative joint ventures only)
		C23 印刷和记录媒介复制业 C23-Printing and reproduction of recorded media	C231 印刷 C231-Printing
	C23 印刷和记录媒介复制业 C23-Printing and reproduction of recorded media	C233 记录媒介复制 C233- Reproduction of recorded media	投资只读类光盘复制须合资、合作，且中方控股或占主导地位 Read-only CD reproduction (equity or cooperative joint ventures only, and equity controlled or dominated by Chinese party)
		C24 文教、工美、体育和娱乐用品制造业 C24-Culture and education, arts and crafts, sport and entertainment products manufacturing	C243 工艺美术品制造 C243-Arts and crafts products manufacturing

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C 制造业 C- Manufacturing	C25 石油加工、炼焦和核燃料加工业 C25-Oil processing, coking and nuclear fuel processing	C251 精炼石油产品制造 C251-Refined petroleum products manufacturing	限制投资 1000 万吨/年以下常减压炼油、150 万吨/年以下催化裂化、100 万吨/年以下连续重整（含芳烃抽提）、150 万吨/年以下加氢裂化生产 Atmospheric and vacuum oil refining below 10 million tons per year; catalytic cracking below 1.5 million tons per year; continuous catalytic reforming below 1 million tons per year (containing aromatics extraction); and hydrocracking below 1.5 million tons per year (restricted)
		C253 核燃料加工 C253-Nuclear fuel processing	禁止投资放射性矿产冶炼、加工 Smelting and processing of radioactive minerals (prohibited)
		C261 基础化学原料制造 C261-Basic chemical raw materials manufacturing	限制投资乙炔法聚氯乙烯以及规模以下乙烯和后加工产品、纯碱、烧碱、硫酸、硝酸、钾碱、无机盐的生产 Production of polyvinyl chloride (through acetylene method only), ethene with limited capacity and post-processing products, calcined soda, caustic soda, sulphuric acid, nitric acid, potassium carbonate and inorganic salt (restricted)
	C26 化学原料和化学制品制造业 C26-Chemical raw material and chemical products manufacturing	C264 涂料、油墨、颜料及类似产品制造 C264-Coating, printing ink, pigment and similar products manufacturing	限制投资联苯胺、颜料、涂料生产 Production of benzidine, dyes and coatings (restricted)
		C265 合成材料制造 C265-Synthetic materials manufacturing	限制投资丁二烯橡胶(高顺式丁二烯橡胶除外)、乳液聚合丁苯橡胶、热塑性丁苯橡胶生产 Production of butadiene rubber (excluding high cis-butadiene rubber), emulsion polymerized styrene butadiene rubber and thermoplastic styrene-butadiene-styrene rubber (restricted)
		C266 专用化学产品制造 C266-Specialty chemical products manufacturing	限制投资易制毒化学品生产(麻黄素、3, 4-亚基二氧苯基-2-丙酮、苯乙酸、1-苯基-2-丙酮、胡椒醛、黄樟脑、异黄樟脑、醋酸酐)、氟化氢等低端氟氯烃或氟氯化物生产、感光材料生产 Production of precursor chemicals (ephedrine, 3,4-methylenedioxyphenyl-2-propanone, phenylacetic acid, 1-phenyl-2-propanone, piperonal, safrole, isosafrole and acetic anhydride), hydrogen fluoride and other low-end chlorofluorocarbon or chlorofluoro-compounds, and photosensitive materials (restricted)
		C267 炸药、火工及焰火产品制造 C267-Explosive, fire engineering and fireworks manufacturing	禁止投资武器弹药制造 Arms and ammunition manufacturing (prohibited)

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C 制造业 C- Manufacturing	C27 医药制造业 C27-Pharmaceutical manufacturing	C271 化学药品原料药制造 C271- Manufacturing of active pharmaceutical ingredients for chemical medicines	限制投资麻醉药品及一类精神药品原料药生产(中方控股) Production of narcotic drugs and active pharmaceutical ingredients for first class of psychotropic drugs (restricted, equity controlled by Chinese party)
		C272 化学药品制剂制造 C272- Chemical medicines manufacturing	限制投资氯霉素、青霉素 G、洁霉素、庆大霉素、双氢链霉素、丁胺卡那霉素、盐酸四环素、土霉素、麦迪霉素、柱晶白霉素、环丙氟哌酸、氟哌酸、氟喹酸、安乃近、扑热息痛、维生素 B1、维生素 B2、维生素 C、维生素 E、多种维生素制剂和口服钙剂生产 Production of chloramphenicol, penicillin G, jiemycin, gentamicin, dihydrostreptomycin, amikacin, totomycin, oxytetracycline, mydecamycin, kitasamycin, ciprofloxacin, norfloxacin, ofloxacin, analgin, paracetamol, vitamin B1, vitamin B2, vitamin C, vitamin E, multiplex vitamin preparations and oral calcium preparations (restricted)
		C273 中药饮片加工、 C274 中成药生产 C273- Traditional Chinese medicine decoction pieces processing, C274- Traditional Chinese medicine patent drug manufacturing	1. 禁止投资列入《野生药材资源保护条例》和《中国珍稀、濒危保护植物名录》的中药材加工 1. Processing of materials for traditional Chinese medicines as listed in the "Regulations on Protection of Wild Medical Resources" and the "Catalogue of Rare and Endangered Chinese Plants" (prohibited) 2. 禁止投资中药饮片的蒸、炒、灸、煅等炮制技术应用及中成药保密处方产品的生产 2. Processing of traditional Chinese medicines (through steaming, frying, moxibustion, calcination, etc.); and production of traditional Chinese medicine patent drugs with secret formulas (prohibited)
		C276 生物药品制造 C276- Biopharmaceuticals manufacturing	限制投资血液制品的生产、纳入国家免疫规划的疫苗品种生产 Production of blood products, vaccines which fall inside the scope of the national immunization plan (restricted)
	C28 化学纤维制造业 C28- Chemical fibers manufacturing	C282 合成纤维制造 C282- Synthetic fiber manufacturing	限制投资常规切片纺的化纤抽丝生产、粘胶纤维生产 Chemical fibers spinning of conventional chipper, production of viscose fiber (restricted)

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C 制造业 C- Manufacturing	C32 有色金属冶炼和压延加工业 C32-Non-ferrous Metal smelting and rolling processing	C321 常用有色金属冶炼 C321-Commonly used non-ferrous metal smelting	限制投资电解铝、铜、铅、锌等有色金属冶炼 Smelting of non-ferrous metals (e.g electrolytic aluminum, copper, lead, zinc) (restricted)
		C323 稀有稀土金属冶炼 C323-Rare-earth metal smelting	1. 限制投资钨、钼、锡（锡化合物除外）、锑（含氧化锑和硫化锑）等稀有金属冶炼 1. Smelting of rare non-ferrous metals (e.g. tungsten, molybdenum, tin (excluding tin compounds), antimony (including antimony oxides and antimony sulphides)) (restricted) 2. 限制投资稀土冶炼、分离（限于合资、合作） 2. Rare earth metal smelting and separation (restricted, equity or cooperative joint ventures only)
	C34 通用设备制造业 C34-General equipment manufacturing	C343 物料搬运设备制造 C343-Material handling/moving equipment manufacturing	1. 400 吨及以上轮式、履带式起重机械制造须合资、合作 1. Production of wheeled or crawler cranes (400 tons and above) (equity or cooperative joint ventures only) 2. 限制投资 400 吨以下轮式、履带式起重机械制造（限于合资、合作） 2. Production of wheeled or crawler cranes (400 tons below) (restricted, equity or cooperative joint ventures only)
		C345 轴承、齿轮和传动部件制造 C345-Bearings, gears and transmission components manufacturing	限制投资各类普通级（P0）轴承及零件（钢球、保持架）、毛坯制造 Production of all types of ordinary level (P0) bearings and parts (steel balls, retainers), blanks (restricted)
	C35 专用设备制造业 C35 Specialty equipment manufacturing	C351 采矿、冶金、建筑专用设备制造 C351-Mining, metallurgy and construction specialty equipment manufacturing	1. 投资深水（3000 米以上）海洋工程装备的设计须合资、合作 1. Design of deep water (more than 3,000 meters) marine engineering equipment (equity or cooperative joint ventures only) 2. 投资海洋工程装备（含模块）制造须中方控股 2. Manufacturing of marine engineering equipment (including modules) (equity controlled by Chinese party)

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C 制造业 C- Manufacturing	C35 专用设备制造业 C35 Specialty equipment manufacturing	C351 采矿、冶金、建筑专用设备制造 C351-Mining, metallurgy and construction specialty equipment manufacturing	3.限制投资 320 马力及以下推土机、30 吨级及以下液压挖掘机、6 吨级及以下轮式装载机、220 马力及以下平地机、压路机、叉车、135 吨级及以下电力传动非公路自卸翻斗车、60 吨级及以下液力机械传动非公路自卸翻斗车、沥青混凝土搅拌与摊铺设备和高空作业机械、园林机械和机具、商品混凝土机械（托泵、搅拌车、搅拌站、泵车）制造 3. Manufacturing of bulldozers (≤ 320 horsepowers), hydraulic excavators (≤ 30 tons), wheeled loaders (≤ 6 tons), graders (≤ 220 horsepowers), rollers, fork lifts, electric drive off-highway self-dumping trucks (≤ 135 tons), hydraulic mechanical transmission and off-highway self-dumping trucks (≤ 60 tons), asphalt concrete mixing and paving equipment and aerial work machinery, garden machinery and equipment, and concrete machinery (pump, mixer vehicle, mixing station, pump vehicle) (restricted)
		C352 化工、木材、非金属加工专用设备制造 C352-Chemical, wood, non-metallic processing specialty equipment manufacturing	投资大型煤化工成套设备制造须合资、合作 Manufacturing of large-scale coal chemical equipment (equity or cooperative joint ventures only)
		C355 纺织、服装和皮革加工专用设备制造 C355-Textiles, clothing and leather processing specialty equipment manufacturing	限制投资一般涤纶长丝、短纤维设备制造 Manufacturing of equipment in respect of general polyester filament, staple fiber (restricted)
		C359 环保、社会公共服务及其他专用设备制造 C359-Environmental, social and public services and other specialty equipment manufacturing	投资空中交通管制系统设备制造须合资、合作 Manufacturing of air traffic control system equipment (equity or cooperative joint ventures only)

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C 制造业 C- Manufacturing	C36 汽车制造业 C36- Automobile Manufacturing	<p>C361 汽车整车制造、C362 改装汽车制造、C363 低速载货汽车制造、C364 电车制造、C365 汽车车身、挂车制造</p> <p>C361- Automobile manufacturing, C362 Modified car manufac- turing, C363- Low-speed truck manufac- turing, C364- Trolley car manufacturing, C365-Car body shell and trailer manu- facturing</p>	<p>汽车整车、专用汽车、农用运输车中外合资生产企业的中方股份比例不得低于 50%；股票上市的汽车整车、专用汽车、农用运输车股份公司对外出售法人股份时，中方法人之一必须相对控股且大于外资法人股之和；同一家外商可在国内建立 2 家以下（含 2 家）生产同类（乘用车类、商用车类）整车产品的合资企业，如与中方合资伙伴联合兼并国内其他汽车生产企业，可不受 2 家的限制</p> <p>Manufacturing of automobiles, special purpose motor vehicles and agricultural transportation trucks (Chinese participation reaching 50% in the equity joint venture);</p> <p>For a joint stock company as a manufacturer of automobiles, special purpose motor vehicles and agricultural transportation trucks, if its shares were sold by a corporate shareholder, the company must still be controlled by one of its Chinese corporate shareholders and whose shareholding must exceed the total shareholdings by foreign corporate shareholders;</p> <p>One foreign investor may establish up to two equity joint ventures to manufacture automobiles in the same category (note: two categories - passenger vehicle and commercial vehicle), but this restriction may not apply to the case of acquiring other domestic automobile manufacturing companies together with its Chinese partners.</p>
		<p>C366 汽车零部件及配件制造</p> <p>C366 Auto component and accesso- ries manufac- turing</p>	<p>1. 投资汽车电子装置制造与研发：汽车电子总线网络技术、电动助力转向系统电子控制器须合资，嵌入式电子集成系统须合资、合作</p> <p>1. Manufacturing, research and development of automobile electronics: automobile electronic bus-network technology and electronic controller of electric power steering system (equity joint ventures only); embedded electronic integrated system (equity or cooperative joint ventures only)</p> <p>2. 投资新能源汽车能量型动力电池（能量密度≥110Wh/kg，循环寿命≥2000 次）外资比例不超过 50%</p> <p>2. Manufacturing of energy-type power cells of clean energy vehicles (energy density ≥ 110Wh/kg and cycle life ≥ 2,000 times) (foreign participation within 50%)</p>
	<p>C37 铁路、船舶、航空航天和其他运输设备制造业</p> <p>C37-Rail, vessel, avia- tion and other transportation equipment manufac- turing</p>	<p>C371 铁路运输设备制造、C372 城市轨道交通设备制造</p> <p>C371-Rail transportation equipment manufac- turing, C372- Urban rail transportation equipment manufac- turing</p>	<p>投资轨道交通运输设备须合资、合作：高速铁路、铁路客运专线、城际铁路、干线铁路及城市轨道交通设备的整车和关键零部件（牵引传动系统、控制系统、制动系统）的研发、设计与制造；高速铁路、铁路客运专线、城际铁路及城市轨道交通乘客服务设施和设备的研究、设计与制造，信息化建设中有关信息系统的设计与研发；</p> <p>Rail transportation equipment (equity or cooperative joint ventures only): R&D, design and manufacturing of trains used in high-speed railway, passenger dedicated railway line, inter-city railway, main railway line and urban rail transportation and their key components (traction drive system, control system and braking system); R&D, design and manufacturing of passenger service facilities and equipment used in high-speed railway, passenger dedicated railway line, inter-city railway and urban rail transportation, as well as R&D and design work relating to information systems;</p>

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C 制造业 C- Manufactur- ing	C37 铁路、船舶、航空航天和其他运输设备制造业 C37-Rail, vessel, aviation and other transportation equipment manufacturing	C371 铁路运输设备制造、 C372 城市轨道交通设备制造 C371-Rail transportation equipment manufacturing, C372-Urban rail transportation equipment manufacturing	(接上页) 投资轨道交通运输设备须合资、合作: 高速铁路、铁路客运专线、城际铁路的轨道和桥梁设备研发、设计与制造, 轨道交通通信信号系统的研发、设计与制造, 电气化铁路设备和器材制造、铁路噪声和振动控制技术与研发、铁路客车排污设备制造、铁路运输安全监测设备制造 (continued) Rail transportation equipment (equity or cooperative joint ventures only): R&D, design and manufacturing of rails and bridge-related equipment used in high-speed railways, passenger dedicated railway lines and inter-city railways; R&D, design and manufacturing of communications & signals systems of rail transportation; manufacturing of electrified railway equipment; R&D of rail noise and vibration control technology; manufacturing of sewerage disposal equipment for passenger trains, as well as safety monitoring equipment for railway transportation
		C373 船舶及相关装置制造 C373-Vessel and relevant devices manufacturing	1. 投资豪华邮轮的设计, 船舶低、中速柴油机及其零部件的设计, 游艇的设计与制造须合资、合作 1. Design of luxury cruise, design of low- and medium-speed diesel engines for vessels and their components, design and manufacturing of yachts (equity or cooperative joint ventures only) 2. 投资船舶低、中速柴油机及曲轴的制造须中方控股 2. Manufacturing of low- and medium-speed diesel engines and crankshafts for vessels (equity controlled by Chinese party) 3. 投资船舶舱室机械的设计与制造须中方相对控股 3. Design and manufacturing of machinery for ship cabins (comparatively equity controlled by Chinese party ²) 4. 限制投资船舶(含分段)的设计与制造(中方控股) 4. Design and manufacturing of vessels (sub-block included) (restricted, equity controlled by Chinese party)
		C374 航空、航天器及设备制造 C374-Manufacturing of aircraft, spacecraft and relevant equipment	1. 投资民用通用飞机设计、制造须合资、合作 1. Design and manufacturing of civil aircraft for general aviation purpose (equity or cooperative joint ventures only) 2. 投资航空发动机及零部件、航空辅助动力系统、民用航空机载设备设计与制造须合资、合作 2. Design and manufacturing of aero-engines and related components, aviation auxiliary power systems and airborne equipment for civil aviation (equity or cooperative joint ventures only) 3. 投资 3 吨级以下民用直升机设计与制造须合资、合作, 投资 3 吨级及以上民用直升机设计与制造须中方控股 3. Design and manufacturing of civil helicopters (<3 tons) (equity or cooperative joint ventures only); design and manufacturing of civil helicopters (≥ 3 tons) (equity controlled by Chinese party)

² "Comparatively equity controlled by Chinese party" refers to a situation where Chinese investors' participation is higher than that of any single foreign investor.

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C 制造业 C- Manufacturing		C374 航空、航天器及设备制造 C374- Manufacturing of aircraft, spacecraft and relevant equipment	4. 投资民用干线、支线飞机设计、制造须中方控股 4. Design and manufacturing of civil aircrafts for trunk line and feeder line (equity controlled by Chinese party) 5. 投资地面、水面效应飞机制造须中方控股 5. Manufacturing of ground effect and water surface effect aircrafts (equity controlled by Chinese party) 6. 投资无人机、浮空器设计与制造须中方控股 6. Design and manufacturing of unmanned aerial vehicle and aerostat (equity controlled by Chinese party)
	C37 铁路、船舶、航空航天和其他运输设备制造业 C37-Rail, vessel, aviation and other transportation equipment manufacturing	C375 摩托车制造 C375- Motorcycle manufacturing	1. 摩托车中外合资生产企业的中方股份比例不得低于 50%；股票上市的摩托车股份公司对外出售法人股份时，中方法人之一必须相对控股且大于外资法人股之和；同一家外商可在国内建立 2 家以下（含 2 家）生产摩托车类整车产品的合资企业，如与中方合资伙伴联合兼并国内其他汽车生产企业可不受 2 家的限制 1. Manufacturing of motorcycles (Chinese participation reaching 50% in the equity joint venture); For a joint stock company as a manufacturer of motorcycles, if its shares were sold by a corporate shareholder, the company must still be controlled by one of its Chinese corporate shareholders and whose shareholding must exceed the total shareholdings by foreign corporate shareholders; One foreign investor may establish up to two equity joint ventures to manufacture motorcycles, but this restriction may not apply to the case of acquiring other domestic automobile manufacturing companies together with its Chinese partners. 2. 投资大排量（排量>250ml）摩托车关键零部件制造：摩托车电控燃油喷射技术须合资、合作 2. Manufacturing of key parts of high engine displacement motorcycles (displacement > 250ml): motorcycle electronic fuel injection technology (equity or cooperative joint ventures only)
	C38 电气机械和器材制造业 C38-Electrical machinery and materials manufacturing	C381 电机制造 C381 Electric motor manufacturing	1. 投资 100 万千瓦超超临界火电机用关键辅机设备制造须合资、合作：安全阀、调节阀 1. Manufacturing of key supporting machineries used in 1 million KW supercritical thermal power units (equity or cooperative joint ventures only): safety valves, control valves 2. 投资输变电设备制造须合资、合作：非晶态合金变压器、500 千伏及以上高压开关用操作机构、灭弧装置、大型盆式绝缘子（1000 千伏、50 千安以上），500 千伏及以上变压器用出线装置、套管（交流 500、750、1000 千伏，直流所有规格） 2. Manufacturing of electric transmission and transformation equipment (equity or cooperative joint ventures only): amorphous metal transformers, 500+ KV high voltage switch operating mechanisms, arc-control devices, large disc insulators (1000 KV+, 50 Ka+), outlet devices used in transformer of 500 KV+, casing pipes (AC 500, 750, 1000 KV, all type of DC)

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C 制造业 C- Manufactur- ing	C38 电气机械和器材制造业 C38-Electrical machinery and materials manufacturing	C381 电机制造 C381 Electric motor manufacturing	<p>(接上页)</p> <p>2.投资输变电设备制造须合资、合作：调压开关（交流 500、750、1000 千伏有载、无载调压开关），直流输电用干式平波电抗器，±800 千伏直流输电用换流阀（水冷设备、直流场设备），符合欧盟 RoHS 指令的电器触头材料及无 Pb、Cd 的焊料</p> <p>(continued)</p> <p>2. Manufacturing of electric transmission and transformation equipment (equity or cooperative joint ventures only): voltage regulation switches (AC500, 750, 1000kv on-load and no-load voltage switch), air core smoothing reactors used in AC power transmission, ±800 KV converter valves used in AC power transmission (water cooling equipment, DC field equipment), electric contact material and Pb, Cd free solder in accordance with EU RoHS directive</p> <p>3. 投资额定功率 350MW 及以上大型抽水蓄能机组制造须合资、合作：水泵水轮机及调速器、大型变速可逆式水泵水轮机组、发电电动机及励磁、启动装置等附属设备</p> <p>3.Manufacturing of large pumped-storage aggregate with rated power of 350MW and above (equity or cooperative joint ventures only): accessory equipment like pump turbines and governors, large variable speed reversible pump turbine aggregates, generator-motors and excitation and starter gear</p>
		C384 电池制造 C384-Battery cell manufacturing	<p>禁止投资开口式（即酸雾直接外排式）铅酸电池、含汞扣式氧化银电池、含汞扣式碱性锌锰电池、糊式锌锰电池、镉镍电池制造</p> <p>Manufacturing of vented lead-acid batteries (i.e. direct acid mist discharge), silver oxide batteries with mercury button, alkaline zinc-manganese batteries with mercury button, paste zinc-manganese battery and Cd-Ni battery (prohibited)</p>
	C39 计算机、通信和其他电子设备制造业 C39-Computers, communications and other electronics manufacturing	C392 通信设备制造 C392-Communications equipment manufacturing	<p>投资民用卫星设计与制造、民用卫星有效载荷制造须中方控股</p> <p>Design and manufacturing of commercial satellites; manufacturing of commercial satellite payloads (equity controlled by Chinese party)</p>
		C393 广播电视设备制造 C393-Radio and television equipment manufacturing	<p>限制投资卫星电视广播地面接收设施及关键件生产</p> <p>Manufacturing of ground satellite TV broadcasting receiving facility and key parts (restricted)</p>
	C43 金属制品、机械和设备修理业 C43 Metal products, machinery and equipment maintenance	C433 专用设备修理 C433-Specialty equipment maintenance	<p>1. 投资民用通用飞机维修、航空发动机及零部件维修、航空辅助动力系统维修须合资、合作</p> <p>1. Repair of civil aircrafts for general aviation, aircraft engine and parts, auxiliary power units (equity or cooperative joint ventures only)</p> <p>2. 投资民用干线、支线飞机维修须中方控股</p> <p>2. Repair of trunk and regional civil airliners (equity controlled by Chinese party)</p>

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C 制造业 C- Manufactur- ing	C43 金属制 品、机械和设 备修理业 C43 Metal products, machinery and equip- ment mainte- nance	C433 专用设 备修理 C433- Specialty equipment maintenance	3. 投资海洋工程装备（含模块）的修理须中方控股 3. Repair of marine engineering equipment (module included) (equity controlled by Chinese party) 4. 限制投资船舶（含分段）的修理（中方控股） 4. Repair of vessels (blocks included) (restricted, equity controlled by Chinese party)
D 电力、热 力、燃气及水 生产和供应业 D-Electricity, heating, gas and water production and Supply	D44 电力、热 力生产和供应 业 D44- Electricity and heating pro- duction and supply		1. 投资核电站的建设、经营须中方控股 1. Construction and operation of nuclear power stations (equity controlled by Chinese party) 2. 限制投资小电网范围内，单机容量 30 万千瓦及以下燃煤凝汽火 电站、单机容量 10 万千瓦及以下燃煤凝汽抽汽两用机组热电联产 电站的建设、经营 2. Within small power grids, construction and operation of coal- fired condensing power plants with unit capacity of 300,000KW or below, and coal-fired power of condensing-extraction steam plants with dual-use unit cogeneration whose unit capacity of 100,000KW or below (restricted) 3. 限制投资电网的建设、经营（中方控股），限制投资城市人口 50 万以上的城市热力管网的建设、经营（中方控股） 3. Construction and operation of power grid (restricted, equity controlled by Chinese party), and heat-supply pipe networks in cities with populations greater than 500,000 (restricted, equity controlled by Chinese party) 4. 禁止投资小电网外，单机容量 30 万千瓦及以下燃煤凝汽火电 站、单机容量 10 万千瓦及以下燃煤凝汽抽汽两用热电联产电站的 建设、经营 4. Outside small power grids, construction and operation of coal- fired condensing power plants with unit capacity of 300,000KW or below, and coal-fired power of condensing-extraction steam plants with dual-use unit cogeneration whose unit capacity of 100,000KW or below (prohibited)
	D45 燃气生产 和供应业、 D46 水的生产 和供应业 D45-Gas production and supply, D46-Water production and supply		限制投资城市人口 50 万以上的城市燃气管网、供排水管网的建 设、经营（中方控股） Construction and operation of gas-supply, water-supply and drainage pipe network in cities with populations greater than 500,000 (restricted, equity controlled by Chinese party)

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E 建筑业 E- Construction	E48 土木工程 建筑业 E48-Civil engineering construction	E481 铁路、 道路、隧道和 桥梁工程建筑 E481- Railway, road, tunnel and bridge construction	1. 投资支线铁路、地方铁路及其桥梁、隧道、轮渡和站场设施的建设、经营须合资、合作 1. Construction and operation of feeder railways, local railways with relevant bridges, tunnels, ferry facilities and station facilities (equity or cooperative joint ventures only) 2. 投资铁路干线路网的建设、经营须中方控股 2. Construction and operation of railway networks (equity controlled by Chinese party) 3. 投资高速铁路、铁路客运专线、城际铁路基础设施综合维修须中方控股 3. Comprehensive maintenance of infrastructure of high-speed railways, passenger dedicated railway lines and inter-city railway (equity controlled by Chinese party) 4. 投资城市地铁、轻轨等轨道交通的建设、经营须中方控股 4. Construction and operation of city subways and light railways (equity controlled by Chinese party)
F 批发和零售 业 F-Wholesale & retail	F51 批发业 F51- Wholesale	F511 农、 林、牧产品批 发 F511- Wholesale of agricultural, forest and husbandry products	限制投资粮食收购, 限制投资粮食、棉花的批发、配送 Purchase of grain, wholesale and distribution of grain and cotton (restricted)
		F512 食品、 饮料及烟草制 品批发 F512- Wholesale of food, bever- ages and tobacco products	1. 限制投资植物油、食糖、烟草的批发、配送 1. Wholesale and distribution of vegetable oil, sugar and tobacco (restricted) 2. 禁止投资盐的批发 2. Wholesale of salt (prohibited)
		F514 文化、 体育用品及器 材批发 F514- Wholesale of cultural, sports and appliances products	除香港、澳门服务提供者可以独资、合资、合作形式提供音像制品(含后电影产品)分销外, 限制其他国家或地区投资者投资音像制品(除电影外)的分销(限于合作) Qualified service suppliers from Hong Kong and Macao are allowed to invest in the business of distribution of audio and video products (including post-movie products) in the form of wholly-owned enterprise, equity or cooperative joint venture. Distribution of audio and video products (excluding movies) for non-Hong Kong/Macao investors (restricted, cooperative joint ventures only)

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F 批发和零售业 F-Wholesale & retail	F51 批发业 F51-Wholesale	F516 矿产品、建材及化工产品批发 F516-Wholesale of mineral products, building materials and chemical products	限制投资原油、化肥、农药、农膜、成品油（含保税油）的批发、配送 Wholesale and distribution of crude oil, chemical fertilizer, pesticide, agricultural film, refined oil products (including bonded oil) (restricted)
		F518 贸易经纪与代理 F518-Trading brokerage and agency	禁止投资文物拍卖 Auction of cultural relics (prohibited)
	F52 零售业 F52-Retail	F521 综合零售 F521-General retail	限制投资棉花、原油、农药、农膜、化肥的零售、配送（设立超过 30 家分店、销售来自多个供应商的不同种类和品牌商品的连锁店由中方控股） Retail and distribution of cotton, crude oil, pesticide, agricultural film and chemical fertilizer (restricted; chain stores with more than 30 branches and selling goods of different kinds and brands from multiple vendors – equity controlled by Chinese party)
		F522 食品、饮料及烟草制品专门零售 F522-Retail of food, beverage and tobacco products	限制投资粮食、植物油、食糖、烟草的零售、配送（设立超过 30 家分店、销售来自多个供应商的不同种类和品牌商品的连锁店由中方控股） Retail and distribution of grain, vegetable oil, sugar and tobacco (restricted; chain stores with more than 30 branches and selling goods of different kinds and brands from multiple vendors – equity controlled by Chinese party)
		F524 文化、体育用品及器材专门零售 F524-Retail of cultural, sports and appliances products	1. 除同一香港、澳门服务提供者投资图书、报纸、期刊连锁经营的出资比例不得超过 65%外，其他国家或地区投资者投资图书、报纸、期刊连锁经营，连锁门店超过 30 家的，不允许控股 1. Chain stores retailing books, newspapers and periodicals - Qualified Hong Kong/Macao service suppliers: participation rate for a single investor must be within 65%; - Investors from other jurisdictions: no controlling interest is permitted if the number of chain stores is more than 30 2. 除香港、澳门服务提供者可以独资、合资、合作形式提供音像制品（含后电影产品）分销外，限制其他国家或地区投资者投资音像制品（除电影外）的分销（限于合作） 2. Qualified service suppliers from Hong Kong and Macao are allowed to invest in the business of distribution of audio and video products (including post-movie products) in the form of wholly-owned enterprise, equity or cooperative joint venture. Distribution of audio and video products (excluding movies) for non-Hong Kong/Macao investors (restricted, cooperative joint ventures only) 3. 禁止投资文物商店 3. Cultural relic shops (prohibited)

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F 批发和零售业 F-Wholesale & retail	F52 零售业 F52-Retail	F526 汽车、摩托车、燃料及零配件专门零售 F526- Retailing of automobiles, motorcycle, fuel and components	限制投资加油站（同一外国投资者设立超过 30 家分店、销售来自多个供应商的不同种类和品牌成品油的连锁加油站，由中方控股）建设、经营 Establishment and operation of gasoline stations (restricted; chain stations with more than 30 branches and selling processed oils of different kinds and brands from multiple vendors – equity controlled by Chinese party)
		F529 货摊、无店铺及其他零售业 F529 Stalls, non-store and other retails	限制投资直销、邮购、网上销售 Direct selling, mail order selling and online retailing (restricted)
G 交通运输、仓储和邮政业 G-Transport, warehouse and postal service	G53 铁路运输业 G53-Railway transport	G531 铁路旅客运输 G531- Railway passenger transport	限制投资铁路旅客运输公司（中方控股） Investment in railway passenger transport companies (restricted, equity controlled by Chinese party)
		G532 铁路货物运输 G532- Railway cargo transport	限制投资铁路货物运输公司（限于合资、合作） Investment in railway cargo transport companies (restricted, equity or cooperative joint ventures only)
	G54 道路运输业 G54-Road transport	G542 公路旅客运输 G542- Highway passenger transport	限制投资公路旅客运输公司（限于合资），且外方投资比例不得超过 49%，主要投资者中至少一方须是中国境内从事 5 年以上道路旅客运输业务的企业 Investment in highway passenger transport companies (restricted; equity joint ventures only; foreign participation within 49%; one of major investors as a Chinese enterprise engaged in the business of highway passenger transport in China for more than five years)
		G543 道路货物运输 G543-Road cargo transport	限制投资出入境汽车运输公司 Investment in motor transport companies engaged in cross-border road transport business (restricted)
	G55 水上运输业 G55-Water transport	G551 水上旅客运输、 G552 水上货物运输 G551-Water passenger transport, G552-Water cargo transport	限制投资水上运输公司（中方控股），投资定期、不定期国际海上运输业务须中方控股 Investment in water transport companies (restricted, equity controlled by Chinese party); international maritime transport, whether scheduled or not (equity controlled by Chinese party)

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G 交通运输、 仓储和邮政业 G-Transport, warehouse and postal service	G55 水上运输 业 G55-Water transport	G553 水上运 输辅助活动 G553- Supporting activities to water transport	1. 投资国际海运货物装卸、国际海运集装箱站和堆场业务限合资、 合作 1. Cargo handling for international maritime freight transport, con- tainer freight station and container yard businesses (equity or cooperative joint ventures only) 2. 限制投资船舶代理（中方控股） 2. Shipping agency (restricted, equity controlled by Chinese party) 3. 限制投资外轮理货（限于合资、合作） 3. Cargo tally (restricted, equity or cooperative joint ventures only)
		G561 航空客 货运输 G561-Air passenger and cargo transport	投资航空运输公司须中方控股，经营年限不超过 30 年，投资公共 航空运输企业的，一家外商（包括其关联企业）投资比例不得超过 25%，法定代表人须为中国籍公民 Investment in air transport companies (equity controlled by Chi- nese party; operation period within 30 years; a foreign investor's participation (including that of its related parties) within 25% for a public-transport air company whose legal representative have to be Chinese national)
	G56 航空运输 业 G56-Air transport	G562 通用航 空服务 G562- General avia- tion service	1. 投资农、林、渔业通用航空公司须合资、合作 1. Investment in general aviation companies in the sectors of farming, forestry and fishing industry (equity or cooperative joint ventures only) 2. 投资从事公务飞行、空中游览，为工业服务的通用航空企业须中 方控股 2. Investment in general aviation companies in the sectors of business flight, sightseeing flight and industrial services (equity controlled by Chinese party) 3. 限制投资摄影、探矿、工业等通用航空公司（中方控股） 3. Investment in general aviation companies for aviation photog- raphy, mining exploration and industry purposes (restricted, equity controlled by Chinese party) 4. 通用航空企业经营年限不得超过 30 年，法定代表人为中国籍公 民 4. Operation period for a general aviation company must be within 30 years and the legal representative must be Chinese national.
		G563 航空运 输辅助活动 G563- Aviation transport supporting activities	1. 除香港、澳门服务提供者外，其他国家或地区投资者投资航空运 输辅助服务，须符合外方投资比例要求，经营年限不超过 30 年 1. Except for qualified Hong Kong/Macao service suppliers, inves- tors from other jurisdictions must comply with relevant stipulations on foreign participation when they invest in aviation supporting services, and operation period of the invested enterprise must be within 30 years.

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G 交通运输、 仓储和邮政业 G-Transport, warehouse and postal service	G56 航空运输 业 G56-Air transport	G563 航空运 输辅助活动 G563- Aviation transport supporting activities	2. 投资飞机维修（有承揽国际维修市场业务的义务）和航空油料项目限中方控股 2. Aircraft repair (with obligation of taking business from international market) and aviation fuel project (equity controlled by Chinese party) 3. 除香港、澳门服务提供者投资民航计算机订座系统限内地企业控股以外，禁止其他国家或地区投资者投资民航计算机订座系统 3. Computerized airline reservations system (prohibited for non-Hong Kong/Macao investors; equity controlled by Mainland China party for qualified Hong Kong/Macao service suppliers) 4. 投资民用机场的建设、经营须中方相对控股 4. Construction and operation of civil airports (comparatively equity controlled by Chinese party) 5. 除香港、澳门服务提供者可独资设立航空运输销售代理企业以外，其他国家或地区投资者投资航空运输销售代理企业须合资、合作 5. Air transport agency (equity or cooperative joint ventures only for non-Hong Kong/Macao investors; a wholly-owned enterprise allowed for qualified Hong Kong/Macao service suppliers) 6. 禁止投资空中交通管制公司 6. Investment in air traffic control companies (prohibited)
	G59 仓储业 G59- Warehousing	G591 谷物、 棉花等农产品 仓储 G591- Warehousing of agricultural products like grain, cotton	承担储备粮经营管理和军粮供应任务的粮食企业，由国有独资或国有控股 Food enterprises which are responsible for operation and management of grain reserves and supplying military food (solely owned or equity controlled by the State)
	G60 邮政业 G60-Postal service	G601 邮政基 本服务、 G602 快递服 务 G601-Basic postal ser- vice, G602 Courier service	禁止投资经营信件的国内快递业务和投资邮政公司 Domestic courier business of delivering letters, investment in postal service companies (prohibited)

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I 信息传输、 软件和信息技术 服务业 I-Information transmission, software and information technology (IT) service	I63 电信、广 播电视和卫星 传输服务 I63- Telecommu- nication, ra- dio/TV and satellite transmission service	I631 电信、 I632 广播电视 传输服务、 I633 卫星传输 服务 I631- Telecommu- nication, I632-Radio and television transmission service, I633-Satellite transmission service	1. 限制投资电信、广播电视和卫星传输服务 1. Telecommunication; radio, TV and satellite transmission (restricted) 2. 禁止投资各级广播电台(站)、电视台(站)、广播电视频道 (率)、广播电视传输覆盖网(发射台、转播台、广播电视卫星、 卫星上行站、卫星收转站、微波站、监测台、有线广播电视传输覆 盖网) 2. Investment in any radio station, TV station, radio and TV chan- nel and transmission network (transmitting station, relay station, broadcast satellite, satellite uplink station, satellite receiving and broadcasting stations, microwave station, monitoring station, and cable TV network) (prohibited)
	I64 互联网和 相关服务 I64-Internet and related service	I641 互联网接 入及相关服 务、I642 互联 网信息服务、 I649 其他互联 网服务 I641-Internet access and related ser- vice, I642-Internet information service, I649-Other internet ser- vice	1. 除应用商店以外，投资经营其他信息服务业务的外方投资比例不 得超过 50% 1. Information service (other than app stores) (foreign participation within 50%) 2. 投资经营国内因特网虚拟专用网业务的外方投资比例不得超过 50% 2. Domestic internet virtual private network (VPN) service (foreign participation within 50%) 3. 禁止投资新闻网站、网络视听节目服务、互联网上网服务营业场 所、互联网文化经营(音乐除外) 3. News websites, online audio-visual program service, venues to provide internet access (i.e. cybercafé), and internet culture- related services (excluding music service) (prohibited) 4. 禁止直接或间接从事和参与网络游戏运营服务 4. Direct or indirect participation in online game operation (prohib- ited)
	I65 软件和信 息技术服务业 I65-Software and IT ser- vice	I654 数据处理 和存储服务、 I659 其他信息 技术服务业 I654-Data processing and storage, I659-Other IT service	1. 除投资经营类电子商务的外方投资比例不得超过 55%以外，投 资经营其他在线数据处理与交易处理业务的外方投资比例不得超过 50% 1. E-commerce business (foreign participation within 55%); other online data and transaction processing service (foreign par- ticipation within 50%) 2. 禁止投资经营因特网数据中心业务 2. Internet data center service (prohibited)

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J 金融业 J-Financial services	J66 货币金融 服务、J67 资 本市场服务、 J68 保险业、 J69 其他金融 业 J66-Monetary financial ser- vice, J67-Capital market ser- vices, J68- Insurance, J69-Other financial ser- vices	J661 中央银行服 务、J662 货币银 行服务、J663 非 货币银行服务、 J664 银行监管服 务、J671 证券市 场服务、J672 期 货市场服务、 J673 证券期货监 管服务、J674 资 本投资服务、 J679 其他资本市 场服务、J681 人 身保险、J682 财 产保险、J683 再 保险、J684 养老 金、J685 保险经 纪与代理服务、 J686 保险监管服 务、J689 其他保 险活动、J691 金 融信托与管理服 务、J692 控股公 司服务、J693 非 金融机构支付服 务、J694 金融信 息服务、J699 其 他未列明金融业 J661-Central bank service, J662-Monetary banking service, J663-Non- monetary banking service, J664- Bank supervision service, J671- Securities market service, J672- Futures market service, J673- Securities and futures supervi- sion service, J674-Capital investment ser- vice, J679-Other capital market service, J681-Life insurance, J682- Property insur- ance, J683- Reinsurance, J684- Pension, J685-Insurance brokerage and agency, J686- Insurance super- vision service, J689-Other insur- ance activities, J691-Financial trust and man- agement service, J692-Holding company service, J693-Payment service by non- financial institu- tions, J694- Financial infor- mation service, J99-Other un- specified financial service	1. 限制投资银行、财务公司、信托公司、货币经纪公司 1. Investment in banks, finance companies, trust companies and currency brokerage companies (restricted) 2. 限制投资保险公司（含集团公司，寿险公司外方投资比例不超过 50%），保险中介机构（含保险经纪、代理、公估公司）、保险资 产管理公司 2. Investment in insurance companies (including group compa- nies), insurance intermediary institutions (including insurance brokers, agents and assessment companies) and insurance asset management companies (restricted, foreign participation in a life insurance company within 50%) 3. 限制投资证券公司（外方参股比例不超过 49%，初设时业务范 围限于股票（包括人民币普通股、外资股）和债券（包括政府债 券、公司债券）的承销与保荐、外资股的经纪、债券（包括政府债 券、公司债券）的经纪和自营，持续经营 2 年以上符合相关条件 的，可申请扩大业务范围）；证券投资基金管理公司（外方参股比 例不超过 49%）；证券投资咨询机构（仅限港、澳证券公司，参股 比例不超过 49%）；期货公司（仅限港、澳服务提供者，参股比例 不超过 49%） 3. Investment in <u>securities companies</u> (restricted; foreign partici- pation within 49%; initial business scope including underwriting and sponsorship of shares (A shares and foreign invested shares) and bonds (government/corporate bonds), brokerage of foreign- invested shares, trading and brokerage of bonds; business scope to be expanded upon application after two-year operation given other conditions qualified), <u>securities investment fund manage- ment companies</u> (restricted; foreign participation within 49%), <u>securities investment consulting institutions</u> (restricted; foreign participation limited to Hong Kong/Macao securities firms and within 49%), <u>future companies</u> (restricted; foreign participation limited to qualified Hong Kong/Macao service suppliers and within 49%) 4. 投资小额贷款公司、融资性担保公司须符合相关规定 4. Investment in micro-credit companies and financing guarantee companies shall company with relevant regulations. 5. 投资融资租赁公司的外国投资者总资产不得低于 500 万美元；公 司注册资本不低于 1000 万美元，高级管理人员具有相应专业资质 和不少于 3 年从业经验 5. Investment in financial leasing companies (total assets of the foreign investor reaching US\$ 5 million; minimum registered capi- tal of US\$ 10 million for the leasing company; senior management with required expertise and professional experiences of no less than three years)

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K 房地产业 K-Real estate	K70 房地产业 K70-Real estate	K701 房地产 开发经营 K701-Real estate devel- opment and operation	1. 限制投资土地成片开发（限于合资、合作） 1. Development of pieces of land (restricted, equity or cooperative joint ventures only) 2. 限制投资高档宾馆、高档写字楼、国际会展中心、以及大型农产品批发市场的建设、经营 2. Construction and operation of luxury hotels, high-class office buildings, international conference and exhibition centers and large wholesale markets for agricultural products (restricted) 3. 禁止投资别墅的建设、经营 3. Construction and operation of villas (prohibited)
		J703 房地产 中介服务 J703-Real estate inter- mediary ser- vice	限制投资房地产二级市场交易及房地产中介或经纪公司 Real estate transaction in secondary market, investment in real estate agents or brokerage firms (restricted)
L 租赁和商务 服务业 L-Leasing and business service	L71 租赁业 L71 Leasing	L712 文化及 日用品出租 L712 Leasing of cultural goods and daily con- sumables	1. 除同一香港、澳门服务提供者投资图书、报纸、期刊出租连锁经营的出资比例不得超过 65%外，其他国家或地区投资者投资图书、报纸、期刊出租连锁经营，连锁门店超过 30 家的，不允许控股 1. Chain stores leasing books, newspapers and periodicals - <i>Qualified Hong Kong/Macao service suppliers</i> : participation rate for a single investor must be within 65%; - <i>Investors from other jurisdictions</i> : no controlling interest is permitted if the number of chain stores is more than 30 2. 除香港、澳门服务提供者可以独资、合资、合作形式提供音像制品（含后电影产品）出租外，限制其他国家或地区投资者投资音像制品（除电影外）的出租（限于合作） 2. Qualified service suppliers from Hong Kong and Macao are allowed to invest in the business of leasing audio and video products (including post-movie products) in the form of wholly-owned enterprise, equity or cooperative joint venture. Leasing of audio and video products (excluding movies) for non-Hong Kong/Macao investors (restricted, cooperative joint ventures only)
		L72 商务服务 业 L72-Business service	L721 企业管 理服务 L721- Enterprise management service

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L 租赁和商务 服务业 L-Leasing and business service	L72 商务服务 L72-Business service	L722 法律服 务 L722-Legal service	1. 限制投资法律咨询 1. Legal consultation (restricted) 2. 外国律师事务所只能以设立代表处的形式提供法律服务 2. Foreign law firms can provide legal services only by setting up representative offices.
		L723 咨询与 调查 L723- Consultation and investiga- tion	1. 投资会计师事务所须合伙 1. Accounting firms (partnerships only) 2. 限制投资市场调查（限于合资、合作） 2. Market research (restricted, equity or cooperative joint ventures only) 3. 禁止投资社会调查 3. Social research (prohibited)
		L726 人力资 源服务 L726-Human resources service (HR service)	1. 除允许香港、澳门服务提供者设立独资人才中介机构外，其他国 家或地区投资者只能设立中外合资人才中介机构，投资比例不超过 70% 1. Except for qualified Hong Kong/Macao service suppliers which are allowed to set up wholly-owned HR agencies, non-Hong Kong/Macao investors may only set up HR agencies in the form of equity joint ventures with 70% foreign participation or below. 2. 人才中介机构最低注册资本为 12.5 万美元，外方出资者应是 从事 3 年以上人才中介服务的外国公司、企业和其他经济组织 2. Minimum registered capital for HR agencies is US\$ 125,000; the foreign investor must be a foreign company, enterprise or other business organization with at least three years of experi- ence in HR agency service
		L727 旅行社 及相关服务 L727-Travel agencies and related ser- vice	投资从事出境旅游业务的旅行社限合资（不得从事赴台湾地区旅游 业务） Overseas travel agencies (excluding tourism business in Taiwan) (equity joint ventures only)
		L728 安全保 护服务 L728-Security service	投资武装守护押运服务的保安服务公司外方投资比例不得超过 49% Security companies engaged in armed security service (foreign participation within 49%)
		L729 其他商 务服务业 L729-Other business service	限制投资评级服务公司 Rating service (restricted)

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M 科学研究和技术服务业 M-Scientific research and technology service	M73 研究和试验发展 M73 Research and experimental development	M731 自然科学研究和试验发展 M731- Research and experimental development on natural sciences	禁止投资人体干细胞技术开发和应用 Development and application of technologies in respect of human stem cells (prohibited)
		M734 医学研究和试验发展 M734- Medical research and experimental development	禁止投资基因诊断与治疗技术开发和应用 Development and application of gene technologies in diagnosis and medical treatment (prohibited)
	M74 专业技术服务业 M74-Special technology service	M744 测绘服务 M744-Surveying and mapping service	1. 限制投资测绘公司（中方控股） 1. Investment in surveying and mapping companies (restricted, equity controlled by Chinese party) 2. 禁止投资大地测量、海洋测绘、测绘航空摄影、行政区域界线测绘、地形图和普通地图编制、导航电子地图编制 2. Geodetic surveying, marine charting, aerial photography for surveying and mapping, surveying and mapping of territorial boundaries of administrative divisions, compiling topographic and common maps and navigation e-maps (prohibited)
		M745 质检技术服务 M745-Quality inspection service	1. 限制投资进出口商品认证公司 1. Investment in companies engaged in certification service for import/export goods (restricted) 2. 投资认证机构的外方投资者应取得其所在国家或地区认可机构的认可，并具有 3 年以上从事认证活动的经历 2. A foreign investor of a certification institution must be accredited by authorities in its home country and have three years of experience in certification activities.
		M747 地质勘查 M747-Geological survey	1. 投资煤层气勘探，石油和天然气的风险勘探，油页岩、油砂、重油、超重油等非常规石油资源勘探，页岩气、海底天然气水合物等非常规天然气资源勘探须合资、合作 1. Exploration of coal bed gas, junior exploration of oil and natural gas, exploration of unconventional oil resources (e.g. oil shale, oil sands, heavy crude oil and extra-heavy crude oil, etc.) and unconventional natural gas resources (e.g. shale gas, submarine natural gas hydrate, etc.) (equity or cooperative joint ventures only) 2. 限制投资贵金属（金、银、铂族）和金刚石、高铝耐火粘土、硅灰石、石墨等重要非金属矿勘查 2. Exploration of noble metals (gold, silver and platinum group) and important non-metal ores such as diamond, high-aluminum fireclay, wollastonite, graphite etc. (restricted)

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M 科学研究和技术服务业 M-Scientific research and technology service	M74 专业技术服务业 M74-Special technology service	M747 地质勘查 M747-Geological survey	3. 限制投资重晶石勘查（限于合资、合作） 3. Exploration of barites (restricted, equity or cooperative joint ventures only) 4. 限制投资特殊和稀缺煤类勘查（中方控股） 4. Exploration of special and rare types of coal resources (restricted, equity controlled by Chinese party) 5. 禁止投资钨、钼、锡、锑、萤石、稀土及放射性矿产勘查 5. Exploration of tungsten, molybdenum, tin, antimony, fluorite, rare earth and radioactive minerals (prohibited)
		M749 其他专业技术服务业 M749-Other special technology service	限制投资摄影服务（含空中摄影等特技摄影服务）（限于合资） Photography service (including special photography such as aerial photography) (restricted, equity joint ventures only)
N 水利、环境和公共设施管理业 N-Management of water conservancy, environment and public facilities	N76 水利管理业 N76-Water conservancy management	N762 水资源管理、N763 天然水收集与分配 N762-Water resource management, N763-Natural water collection and resource allocation	投资综合水利枢纽的建设、经营须中方控股 Construction and operation of comprehensive hydro projects (equity controlled by Chinese party)
		N77 生态保护和环境治理业 N77 Ecological and Environmental protection	1. 禁止投资自然保护区和国际重要湿地的建设、经营 1. Construction and operation of nature reserves and internationally important wetland (prohibited) 2. 禁止投资国家保护的产于我国的野生动、植物资源开发 2. Exploitation of wildlife animals and plants originating from China and protected by the State (prohibited)
P 教育 P-Education	P82 教育 P82-Education	P821 学前教育、F822 初等教育、F823 中等教育、F824 高等教育、F825 特殊教育、F829 技能培训、教育辅助及其他教育 P821-Preschool education, P822-Primary education, P823-Secondary education, P824-Higher education, P825-Special education, P829-Vocational & skills training, education support and other forms of education	1. 投资经营性教育培训机构、职业技能培训机构限合作 1. Investment in profit-seeking education and training institutions, profit-seeking vocational skills training institutions (cooperative joint ventures only) 2. 投资非经营性学前教育、中等职业教育、普通高中教育、高等教育等教育机构，以及非经营性教育培训机构、职业技能培训机构限合作，不允许设立分支机构 2. Investment in non-profit education institutions (preschool education, secondary vocational education, normal high school education and higher education), non-profit education and training institutions, non-profit vocational skills training institutions (cooperative joint ventures only; no branch is allowed) 3. 禁止投资义务教育，以及军事、警察、政治、宗教和党校等特殊领域教育机构；禁止投资经营性学前教育、中等职业教育、普通高中教育、高能教育等教育机构 3. Investment in compulsory education, as well as special education institutions focus on military affairs, policemen, political, religions and Party school (prohibited); investment in profit-seeking education institutions (preschool education, secondary vocational education, normal high school education and higher education) (prohibited)

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Q 卫生和社会工作 Q-Health and social activities	Q83 卫生 Q83-Health	Q831 医院、 Q832 社区医疗和卫生院、 Q833 门诊部(所)、Q835 妇幼保健院(所、站)、 Q839 其他卫生活动 Q831- Hospitals, Q832- Community medical and health center, Q833- Clinics, Q835- Maternal and child-care service center Q839 Other health-related activities	投资医疗机构投资总额不得低于 2000 万元人民币，不允许设立分支机构，经营期限不超过 20 年 Minimum total investment in a medical institution is RMB 20 million; no branch is allowed; maximum operation period is 20 years
R 文化、体育和娱乐业 R-Culture, sports and entertainment	R85 新闻和出版业 R85-News and publishing	R851 新闻业、R852 出版业 R851-News agency, R852- Publishing	1. 禁止投资新闻机构 1. Investment in news agencies (prohibited) 2. 禁止投资图书、报纸、期刊的出版业务 2. Publishing of books, newspapers and periodicals (prohibited) 3. 禁止投资音像制品和电子出版物的出版、制作业务 3. Production and publishing of audio-visual products and electric publications (prohibited)
	R86 广播、电视、电影和影视录音制作业 R86- Production of radio, television program, film and relevant audio recording	R861 广播、 R862 电视、 R863 电影和影视节目制作、 R864 电影和影视节目发行、 R865 电影放映、R866 录音制作 R861-Radio, R862- Television R863-Film and TV program production, R864-Film and TV program distribution, R865-Film broadcasting, R866-Audio recording	1. 限制投资电影院的建设、经营（中方控股） 1. Construction and operation of movie theaters (restricted, equity controlled by Chinese party) 2. 限制投资广播电视节目、电影的制作业务（限于合作） 2. Production of radio, TV program (works) and films (restricted, cooperative joint ventures only) 3. 禁止投资广播电视节目制作经营公司、电影制作公司、发行公司、院线公司 3. Investment in production companies of radio and TV program (works), film-making companies, film distribution and marketing companies (prohibited)

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R 文化、体育和娱乐业 R-Culture, sports and entertainment	R87 文化艺术业 R87 Culture and arts	R871 文艺创作与表演、R872 艺术表演场馆、R873 图书馆与档案馆、R874 文化及非物质文化遗产保护、R875 博物馆、R876 烈士陵园、纪念馆、R877 群众文化活动、R879 其他文化艺术业	投资文化艺术业须符合相关规定 Investment in culture and art industry must comply with relevant regulations.
		R871-Literary and artistic creation and performance, R872-Arts performance venues, R873-Library and archives, R874-Protection of cultural relics and intangible cultural heritage, R875-Museum, R876-Martyrs' park and memorial hall, R877-Cultural activities of the public, R879 - Other culture and arts industry	
	R88 体育 R88 Sports	R882 体育场馆 R882-Stadiums	禁止投资高尔夫球场的建设、经营 Construction and operation of golf courses (prohibited)
	R89 娱乐业 R89 Entertainment	R891 室内娱乐活动 R891-Indoor entertainment activities	禁止投资互联网上网服务营业场所（网吧活动） Investment in venues to provide internet access (i.e. cybercafé) (prohibited)
		R892 游乐园 R892- Amusement park	限制投资大型主题公园的建设、经营 Construction and operation of large-scale theme parks (restricted)
		R893 彩票活动 R893 Betting activities	禁止投资博彩业（含赌博类跑马场） Gambling (including investment in gambling horse racing turfs) (prohibited)
		R899 其他娱乐业 R899-Others	禁止投资色情业 Erotic industry (prohibited)

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