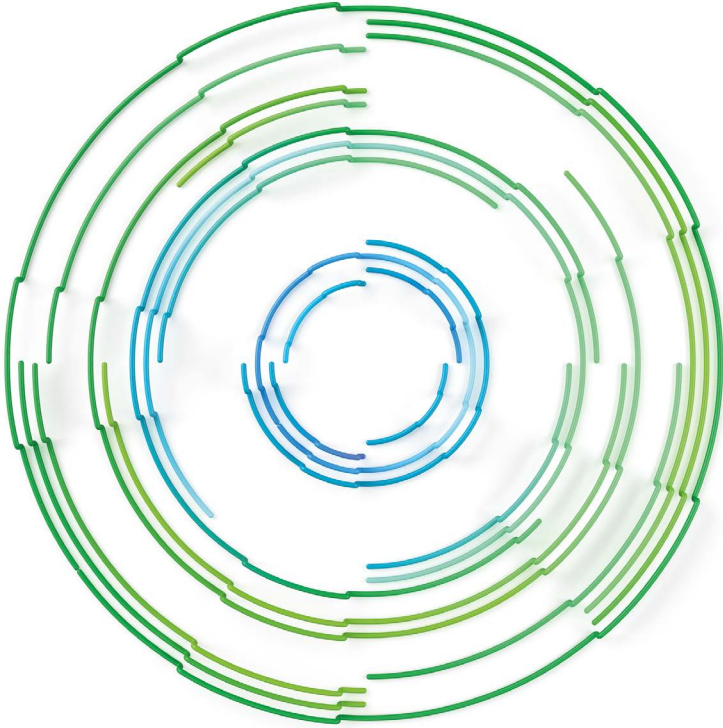


**Deloitte.**



**Deloitte Forensic**

About us

# Problem? Solved.

Our customers expect innovative solutions. For us, innovation means working out the best, most efficient and most effective solution, depending on the situation and the facts. This does not have to be new, but tailor-made and successful in order to create added value and address complex issues.

Customers requesting consulting services from our Forensic Service Line usually require a quick and discreet investigation of financial or white-collar crime.

Our employees support you in the analysis and clarification of cross-topic and cross-industry issues. Any weak points identified in processes and controls are documented according to your requirements. This enables you to implement solution-oriented and pragmatic measures for prevention.

Our purpose is to make an impact that matters.

Clear and  
focused attention.



What data protection issues arise in special investigations?

How do I sensitise my employees to compliance violations?

How do I behave in crisis situations?

How do I deal with government inquiries?



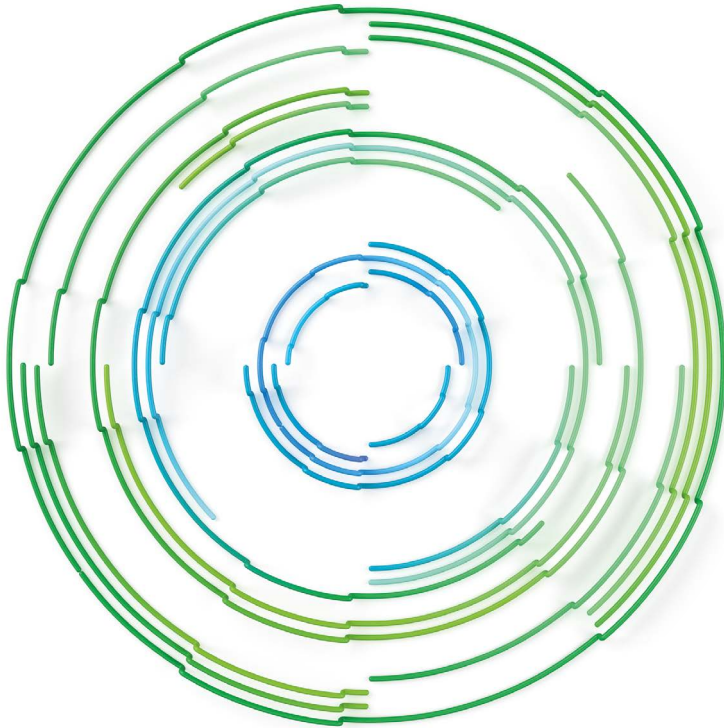
How do I conduct a special investigation?

How do my employees recognise Red Flags?

How can I protect my company against corruption and fraud?

Does my company have control and process weaknesses?

You can  
rely on us.



Financial and white-collar crime can affect a company both internally and externally and trigger crisis situations.

Identify risks with us before they become a problem, or act quickly when you become aware of problems that threaten your business and reputation.

We measure our project success by offering you the best possible solution and establishing a sustainable customer relationship with you.

**Frank Marzluf and Matthias Rode**  
Lead Partner Forensic



## Clear and focused attention.

We see it as our task to always be the right partner at your side. Our comprehensive range of services is more than just a service. Our broad know-how is characterised by networked knowledge, mature solu-

tions and a structured and appropriate presentation of the project results, which includes transparent, comprehensive and legally usable documentation. >



### Financial Crime Advisory

We will work with you to take appropriate precautions to prevent financial and white-collar crime.



### Disputes & Litigation

We ensure that in the event of legal disputes you have a complete overview of the situation and thus strengthen your position.



### Forensic Analytics

Based on your process and data landscape, we develop solutions for you to be able to make fast and precise statements in forensic investigations with our technical aids.



### Investigations & Remediations

We support you in the clarification of financial and white-collar criminal activities and assist you in the implementation of regulatory requirements.



### eDiscovery

We support you in the clarification of fraudulent actions using e-mail and document reviews. The analysis of SMS, instant messages or voice mails completes the overall picture, if required. We also make sure that all evidence is available for trial.



### Deloitte Integrity Services (DIS)

For projects with a significant proportion of review-related activities that require a large number of staff quickly and securely, we call on the expertise of Deloitte Integrity Services. This gives you the best possible and most budget-friendly solution.



## Protect your reputation.

Competitiveness means preparing your own company for external and internal influences and implementing adequate safeguarding measures. Proactively protect what is most important to you as an entrepreneur – your brand and reputation – from fraud, corruption and other forms of financial and white-collar crime.

We help companies protect their brand and reputation by developing proactive safeguards. These prevent any threat from fraud, corruption or other financial or economic crime.

With our global network of analysts, our deep industry expertise and our advanced analytical technologies, we can quickly and efficiently gain insight into your business. >



### Your topics:

- Combating money laundering and terrorist financing
- Know-Your-Customer
- Anti-Fraud
- Anti-Corruption/Bribery (Foreign Corrupt Practices Act, UK Bribery Act)
- Economic and trade sanctions
- Internal Audit and Control Audits
- Policy & Risk Assessment Tools
- Implementation and optimization of security measures
- Implementation of regulatory requirements
- Violation of internal or external guidelines (Conduct Breaches)
- Cybercrime.



### Our services:

- Integrity Due Diligence, e.g. for M&A transactions
- Implementation of regulatory requirements
- Risk analyses or fraud risk assessments to identify control weaknesses in processes and implement suitable security measures
- Detection and prevention of money laundering activities within and outside the financial industry (FSI)
- Implementation of preventive measures against fraud, corruption and fraudulent actions
- Training of your employees in control functions and in the recognition of “Red Flags”

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## Maximise the value of your data.

For the identification of accounting fraud or fraudulent actions in systems or electronic documents, the use of automated methods and individually programmed algorithms can be helpful.

In a special forensic investigation, we use advanced analytical tools and our deep technical expertise to quickly and efficiently identify problems.

Targeted analytical approaches allow us to develop a deeper and more complete understanding of what has happened and how similar actions can be prevented in the future.

We help our customers to proactively identify inefficiencies and anomalies in their systems before they become a problem. >



### Your topics:

- Advanced & predictive analytics
- Data visualisation
- Managed Solutions
- Economic and statistical analyses
- Process mining
- Forensic data reviews
- Financial crime robotics/ process automation
- Monitoring/ screening rules development, optimisation & validation



### Our services:

- Forensic accounting and Red Flag analyses (interactive visualisation, process mining)
- Process mining for optimal process transparency and control
- Data mapping to optimise the application systems to be secured in case of suspicion (Legal Hold)
- Extraction, analysis and processing of digital mass data using cross-domain data analysis methods
- Use of Big Data technologies in combination with applied machine learning
- Legally compliant documentation in reports and expert opinions
- Recognition of correlations and correlations
- Interpretation of the analysed and linked information as well as identification of data patterns of fraudulent actions

### Your contacts:

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## See beyond the matter at hand.

E-mail and document reviews make a decisive contribution to gaining knowledge in the context of investigations, litigations, out-of-court proceedings, compliance audits and regulatory inquiries. The focus is on the clarification of facts on the basis of communication data: What happened when and by whom?

Today's analysis technologies enable an early and precise assessment of whether and to which extent datasets and documents

contain factually relevant information. This is how the scope of an investigation can be derived in terms of planning and then converted into a time and cost management schedule. In addition, technologies such as text mining and artificial intelligence give us the ability to identify relevant facts and actions without the need to know a presumed pattern of action. We help you in the meaningful combination of human expertise and creativity with the precision and automation capability of state-of-the-art technologies. ➤



### Your topics:

- Forensic data backups
- Suspicion of manipulation or deletion of data
- Automatic classification of data and documents
- Automated blackening of text information
- Recognition of risks in documents
- Intelligent data reduction
- Review of masses of documents within a set time limit
- Fiduciary data custody
- Secure data deletion



### Our services:

- Backup of digital storage media and analysis of documents and digital traces
- Enabling data protection-compliant procedures
- Recovery of deleted or fragmented data
- Detection of manipulations and gaps in data
- Reduction and prioritization of documents and data
- Hosting and providing secure Web access for reviewers and analysts
- Managed Review Services
- Provision of technologies for early case assessment with regard to the relevance of documents as well as the complexity of topics, acting persons and companies
- Design and implementation of eDiscovery processes and technologies

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## Strengthen your position.

Successful companies are often confronted with conflicts that require legal advice to resolve in order to reach a quick and successful agreement with customers, competitors, authorities or employees.

We provide support in the judicial and extrajudicial assertion or processing of facts to defend against claims for damages, e.g. in purchase price disputes or settlement disputes.

As experts in financial analysis and damage quantification, we are at your side to help you educate yourself so that you are optimally prepared and can strengthen your position. [➤](#)



**Your topics:**

- Sample declaratory action/  
Class action & claims management
- Business consulting
- International arbitration
- Consultants/experts
- Litigation support
- Dispute advisor



**Our services:**

- Support in judicial and extra-judicial disputes
- Activity as court expert
- Support in the determination of breaches of obligations (professional negligence)
- Activity as arbitrator or expert commissioned by an arbitral tribunal in commercial disputes
- Support in the assertion of insurance claims in the event of, for example, claiming D&O insurance, fidelity insurance or insurance in the event of business interruption

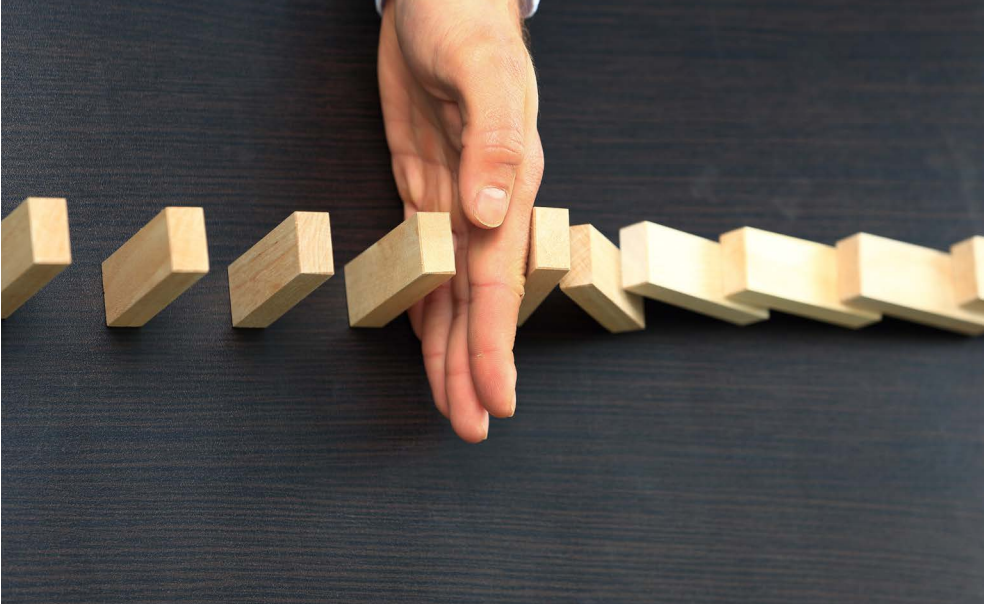
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## Act quickly and confidently.

Our Investigation Team assists companies in investigating suspicions of fraud and mismanagement, or in responding to government requests for information regarding alleged corruption practices, bribery allegations or suspicions of money laundering practices.

We help you to react quickly and reliably to regulatory requirements or official requests for information and support you in sensitive topics such as internal investiga-

tions with regard to fraud, corruption, cartel agreements and other fraudulent actions.

We measure our project success to provide you with the best possible solution and also prepare you for the future so that you can better protect your company from financial damage and loss of reputation. In line with requirements, we resort to our global network. [▶](#)



### Your topics:

- Investigation and prevention of economic and financial crime
- Ordered investigations by law enforcement agencies
- Investigations into fraud, corruption or mismanagement or irregularities in accounting
- Crisis and response management
- Business Intelligence Services (BIS)
- Infrastructure and capital projects



### Our services:

- Special investigations into suspected fraud, embezzlement, breach of trust, corruption and money laundering – Fraud & Forensic Spot Checks
- Supporting clients in their cooperation with law enforcement authorities and in special investigations ordered by regulatory authorities
- Legally usable preparation of the facts and results of the investigation
- Crisis management – conception of emergency and reaction plans
- Clarification of manipulations in accounting, annual financial statements and business plans
- Background research on legal and natural persons as well as asset tracing
- Cost and contract compliance analyses in connection with major projects
- Identification of process and control weaknesses to prevent fraudulent actions

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## Find the best possible solution.

Deloitte Integrity Services (DIS) supports companies quickly and securely in carrying out projects with a large manual review effort in a cost-effective and success-oriented way. We put together a team for you that is tailored to your project-specific requirements.

Projects are often difficult or impossible to plan in the long term. Due to our high claim to assist you with projects from the very first second, we carry out larger review and analysis projects with our highly qualified

specialists, primarily from the fields of law and economics. The scope of duties includes document reviews of all kinds, including e-mail reviews, leadership reviews interviews as well as the execution of the analyses in particular with reference to customer due diligence, Know Your Customer, money laundering and terrorist financing.

Our teams have already assisted in numerous industry sectors and in the financial services sector. [▶](#)



### Your topics:

- Performance of reviews of all kinds (e-mail, document reviews)
- Analysis of transactions potentially related to money laundering or terrorist financing
- Support of the day-to-day business of the legal and compliance departments
- Litigation support



### Our services:

- Managed review services (carrying out e-mail and document reviews taking into account national and international data protection)
- Documentation of findings from relevant communications and documents
- Support of the Know-Your-Customer process and customer due diligence
- Transaction monitoring and drafting of reports of suspicions of money laundering or terrorist financing
- Proper collection, verification, processing, maintenance and documentation of data

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**Financial Crime  
Advisory**



**Forensic Analytics**



**eDiscovery**



**Disputes & Litigation**



**Investigations &  
Remediations**



**Deloitte Integrity Services  
(DIS)**

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