



# Regulation and Compliance

## Mastering Complexity with RegMonitoring by Deloitte

# Introduction

# Speakers

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# RegMonitoring by Deloitte

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Three major areas of RegMonitoring by Deloitte



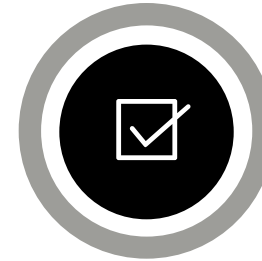
## 01 Regulation

Get **upcoming and current regulations** and keep track of your internal knowledge about the specific legal regulations.



## 02 Regulatory Change

Stay on top of the **changes** with up-to-date alerts provided by Deloitte with verified **legal summary** and indicative relevance.

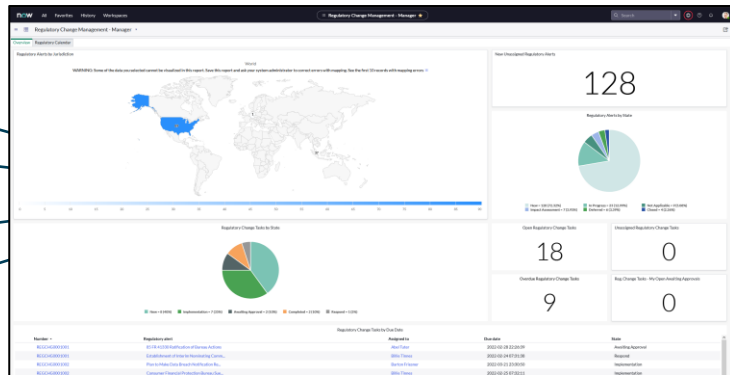
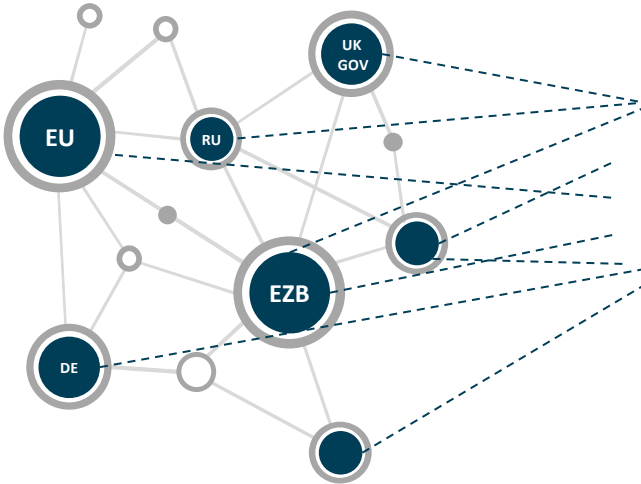


## 03 Action Tasks

Integrate process from alert, **evaluation** of regulatory change, planning impact **implementation**, and keeping eye on legal risks and **compliance** within organization.

# RegMonitoring by Deloitte

## High Level Approach



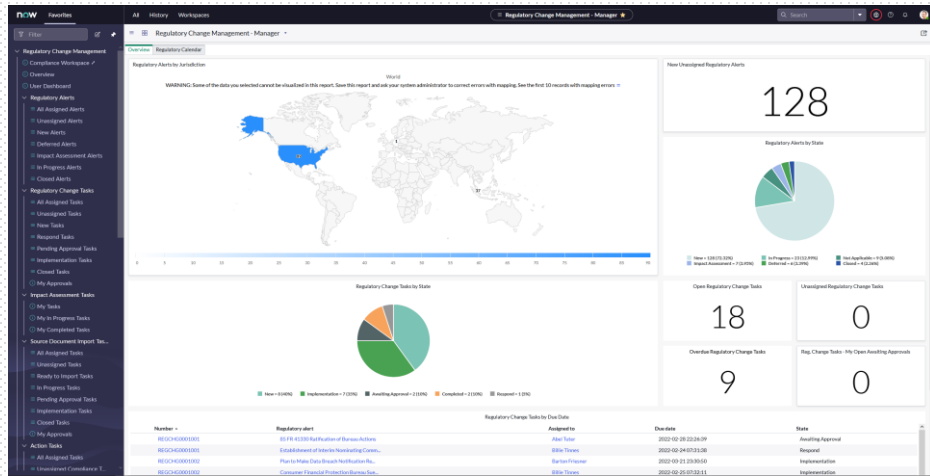
- Single Source of Truth for regulatory changes and actions necessary to conduct
- News and alerts are screened and structured by their Legal Themes, Sectors, and Jurisdictions
- Initial Relevance and Impact are assessed and verified
- Compliance tasks defined and tracked across whole organization

Reg. Changes	Alerts & News
New Laws	Readings
Discussion Papers	...

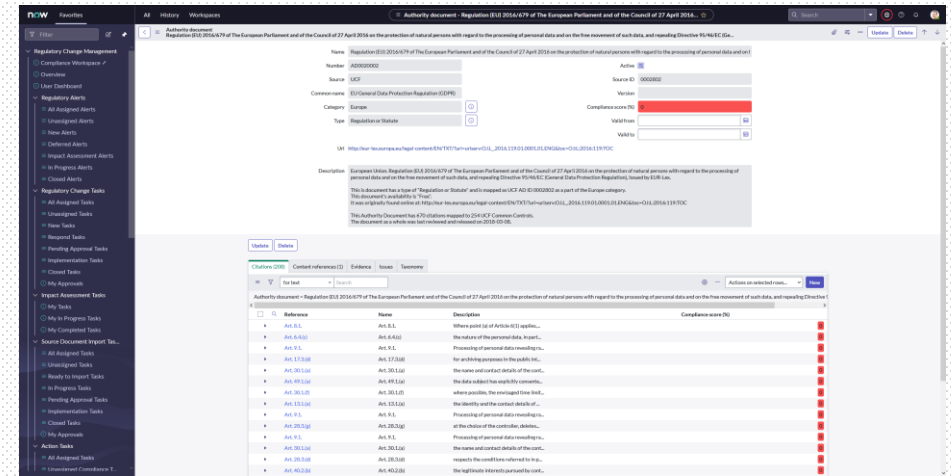
# RegMonitoring by Deloitte

## E2E-Solution on ServiceNow

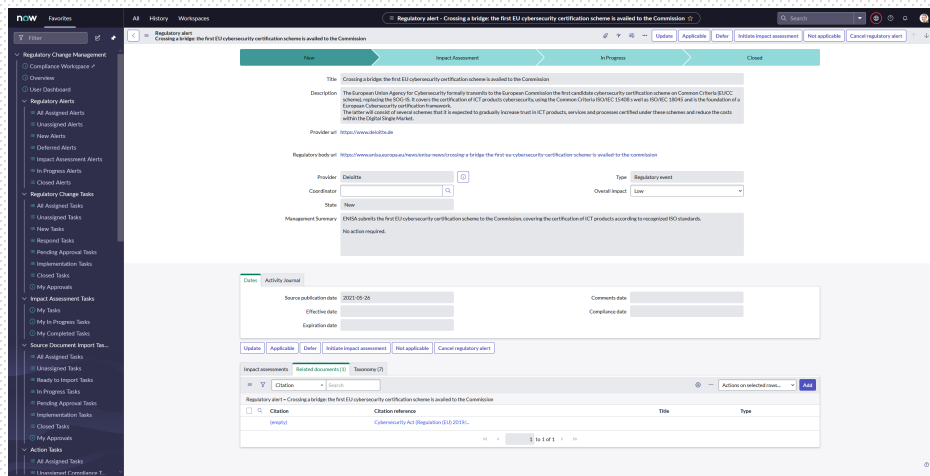
Central dashboard



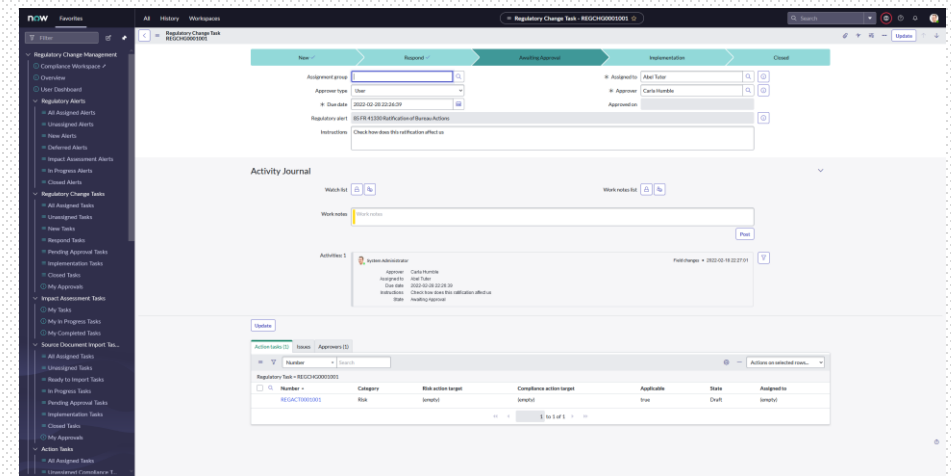
Details for each regulatory framework



Details for each alert



Workflows with action tasks for E2E tracking



# RegMonitoring by Deloitte (Alert Sample View)

## E2E-Solution on ServiceNow

### Details for each alert

The screenshot displays the ServiceNow interface for a regulatory alert. The left-hand navigation menu is expanded to show 'Regulatory Alerts' and 'Regulatory Change Tasks'. The main content area shows the details of a regulatory alert titled 'Crossing a bridge: the first EU cybersecurity certification scheme is availed to the Commission'. The alert is in the 'New' stage of a process that includes 'Impact Assessment', 'In Progress', and 'Closed'. The form fields include Title, Description, Provider url, Regulatory body url, Provider (Deloitte), Coordinator, State (New), Type (Regulatory event), and Management Summary. Below the form, there are tabs for 'Dates' and 'Activity Journal', and a table of 'Related documents (1)'. The table has columns for Citation, Citation reference, Title, and Type, and shows one entry for 'Cybersecurity Act (Regulation EU 2019/...)'. The interface also includes a top navigation bar with search and navigation icons, and a bottom navigation bar with '1 to 1 of 1'.



# Q & A



**Thank you**  
for your attention!

# Your Contact



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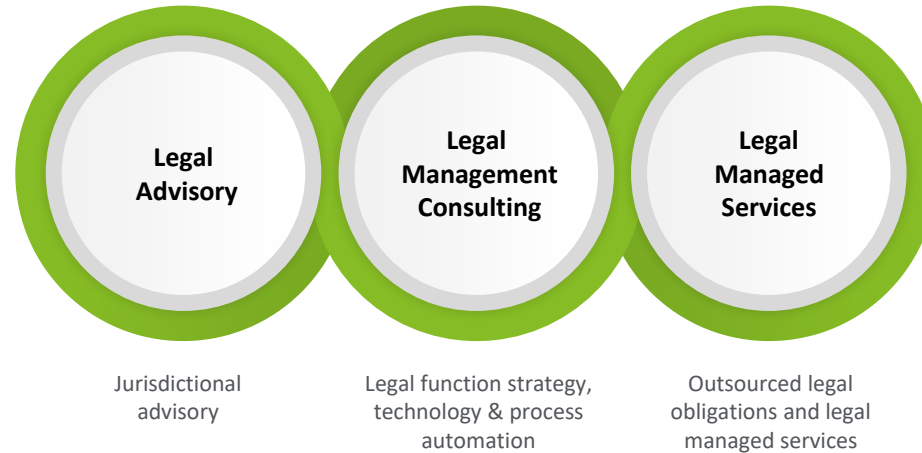
more than **2,500** legal professionals  
operating in **75+** jurisdictions



**collaborating seamlessly**  
across borders and with other Deloitte  
business lines

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with your enterprise-wide vision



**Tailored**  
to your business units and geographies



**Technology-enabled**  
for improved collaboration and transparency

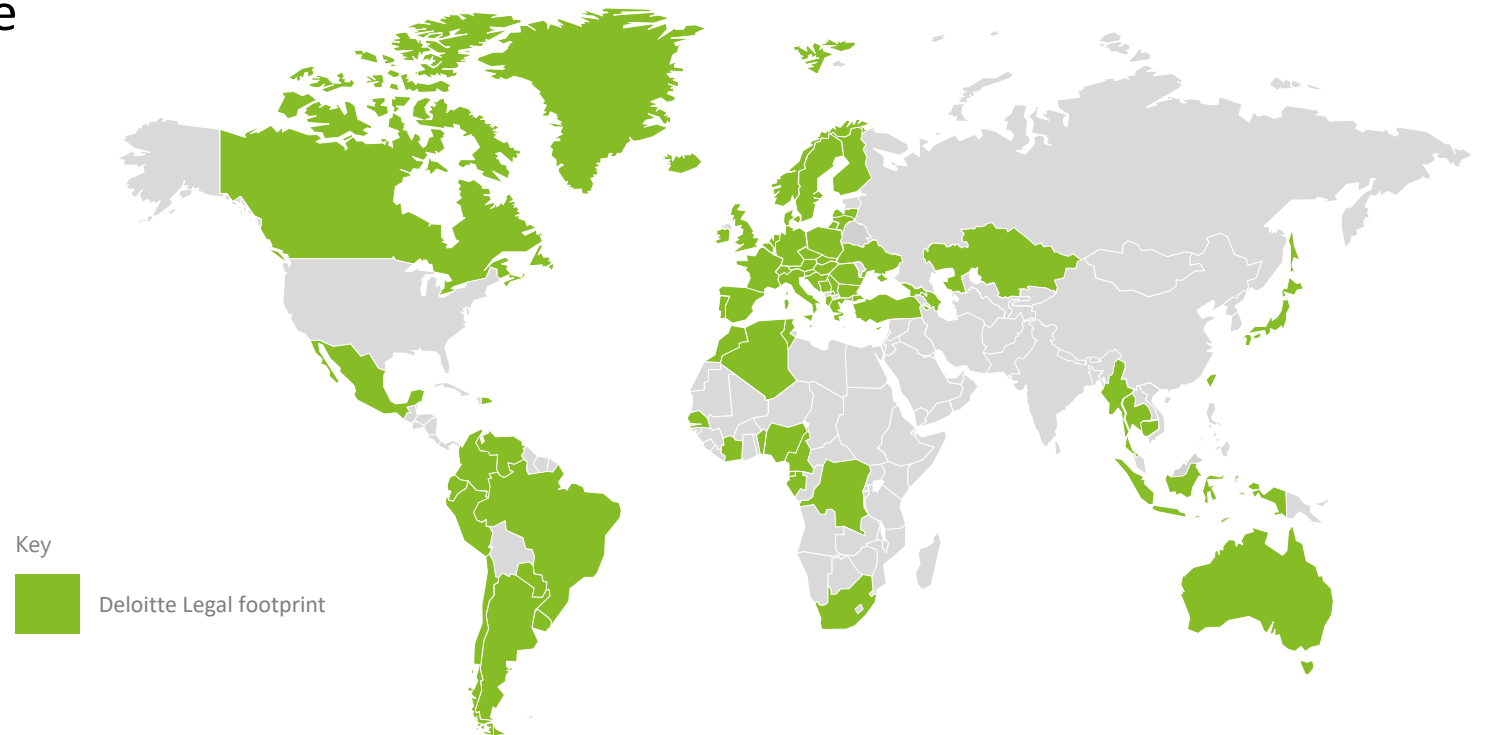


**Sensitized**  
to your regulatory requirements

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