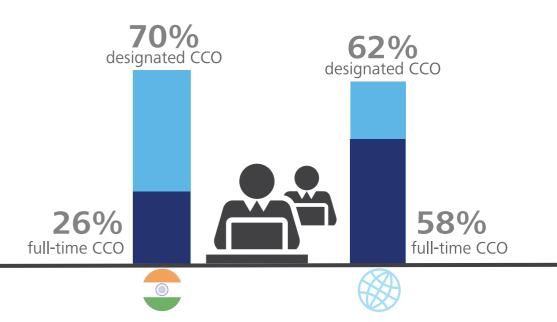
Deloitte. Compliance Trends Report



Compliance Structure



DESIGNATED CHIEF COMPLIANCE OFFICER (CCO) INDIAN ORGANISATIONS VIS-À-VIS GLOBAL **ORGANIZATIONS**



92%

respondents mentioned

Code of Conduct as a

key area of responsibility

Are CCOs addressing the right risks?

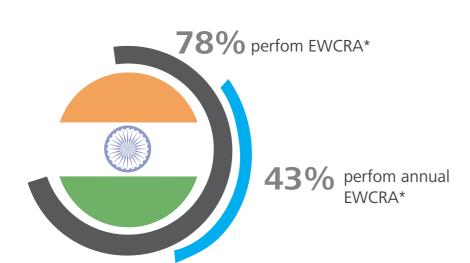
36%

report to the Board

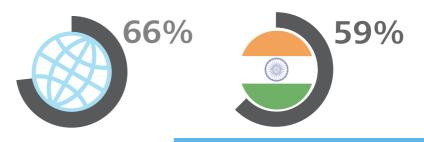
report to the CEO

ORGANIZATIONS PERFORMING AN ENTERPRISE-WIDE **COMPLIANCE RISK ASSESSMENT (EWCRA)***





ORGANIZATIONS OUTSOURCE OR CO-SOURCE **COMPLIANCE ACTIVITIES**



PROMINENT COMPLIANCE REPORTING USED

78% Compliance Voilence Report

74% Compliance Issue Resolution Status Tracking Report

COMPLIANCE PERFORMANCE METRICS

70% Indian respondents chose the "Compliance performance metrics" reporting which is higher than global average of 63%





Types of compliance

program reporting

Deloitte refers to one or more of Deloitte Touche Tohmatsu Limited, a UK private company limited by guarantee ("DTTL"), its network of member firms, and their related entities. DTTL and each of its member firms are legally separate and independent entities. DTTL (also referred to as "Deloitte Global") does not provide services to clients. Please see www.deloitte.com/about for a more detailed description of DTTL and its member firms.

This material is prepared by Deloitte Touche Tohmatsu India LLP (DTTILLP). This material (including any information contained in it) is intended to provide general information on a particular subject(s) and is not an exhaustive treatment of such subject(s) or a substitute to obtaining professional services or advice. This material may contain information sourced from publicly available information or other third party sources. DTTILLP does not independently verify any such sources and is not responsible for any loss whatsoever caused due to reliance placed on information sourced from such sources. None of DTTILLP, Deloitte Touche Tohmatsu Limited, its member firms, or their related entities (collectively, the "Deloitte Network") is, by means of this material, rendering any kind of investment, legal or other professional advice or services. You should seek specific advice of the relevant professional(s) for these kind of services. This material or information is not intended to be relied upon as the sole basis for any decision which may affect you or your business. Before making any decision or taking any action that might affect your personal finances or business, you should consult a qualified professional adviser.

No entity in the Deloitte Network shall be responsible for any loss whatsoever sustained by any person or entity by reason of access to, use of or reliance on, this material. By using this material or any information contained in it, the user accepts this entire notice and terms of use.

©2015 Deloitte Touche Tohmatsu India LLP. Member of Deloitte Touche Tohmatsu Limited