

5 October 2016

Time	Topic	Notes
10:00	Welcome	
10:30	Key note address	
11:00	Overview of Financial Crime	Cover all the areas of Financial Crime Integrated Financial Crime Risk Management
11:30	Legislative & Regulatory Structures/Bodies overview	Global Regulations/Guidance (including local) JMLSG FATF Recommendations EU 4th ML Directive Wolfsberg Egmont Group
12:00	Risk-based Approach	Risk Based Approach – <ul style="list-style-type: none">• BRA• CRA• PRA
12:30	Lunch	
13:30	Governance & Reporting Lines	<ul style="list-style-type: none">• Reporting lines• Effective oversight and reporting• Lessons learnt• Industry insights
15:30	Case Study/ Plenary session	
16:30	Closing	

6 October 2016

Time	Topic	Notes
8:00	Welcome	
8:30	PEP/ PIPS & High Risk Accounts	<ul style="list-style-type: none">• FICA & FIC Amendment Bill• FATF Guidance
9:00	EDD & AML Managed Solutions	<ul style="list-style-type: none">• Enhanced Due Diligence• Adverse Media• Managed Solutions
10:30	Tea	
11:00	End-to-End AML, CFT & Sanctions lifecycle	<ul style="list-style-type: none">• The interdependencies between people, processes, systems & data
11:30	Transactions & Activity Monitoring	<ul style="list-style-type: none">• Data/system trends• Customer types and regular activities• Red flags
12:30	Lunch	
13:30	Sanctions	<ul style="list-style-type: none">• Sanctions• List management• Screening process• Fuzzy logic
14:30	AML, CFT & Sanctions Target Operating Model	<ul style="list-style-type: none">• Inputs - AS-IS assessment• Maturity Assessment• Design Decisions• Building the TOM• Implementation Roadmaps
15:30	Case studies/ Plenary session	
16:30	Closing	

7 October 2016

Time	Topic	Notes
8:00	Welcome	
8:30	STR, CTR & TPR	<ul style="list-style-type: none">• Reporting timelines• Systems and aging analysis• Aggregation• Training
10:30	Tea	
11:00	Management Information & Reporting	<ul style="list-style-type: none">• The importance of data• The use of systems
11:30	Training & Awareness	<ul style="list-style-type: none">• Best practice guidelines• Levels of training and content Frequency of training• Financial Crime Programme
12:30	Lunch	
13:30	General Legislative Universe	<ul style="list-style-type: none">• OECD• FATCA• UKCDOT• FCPA
14:30	Emerging Risks	<ul style="list-style-type: none">• Bitcoin• Correspondent Banking• Trade finance
15:30	Case studies/ Plenary session	
16:30	Closing	