

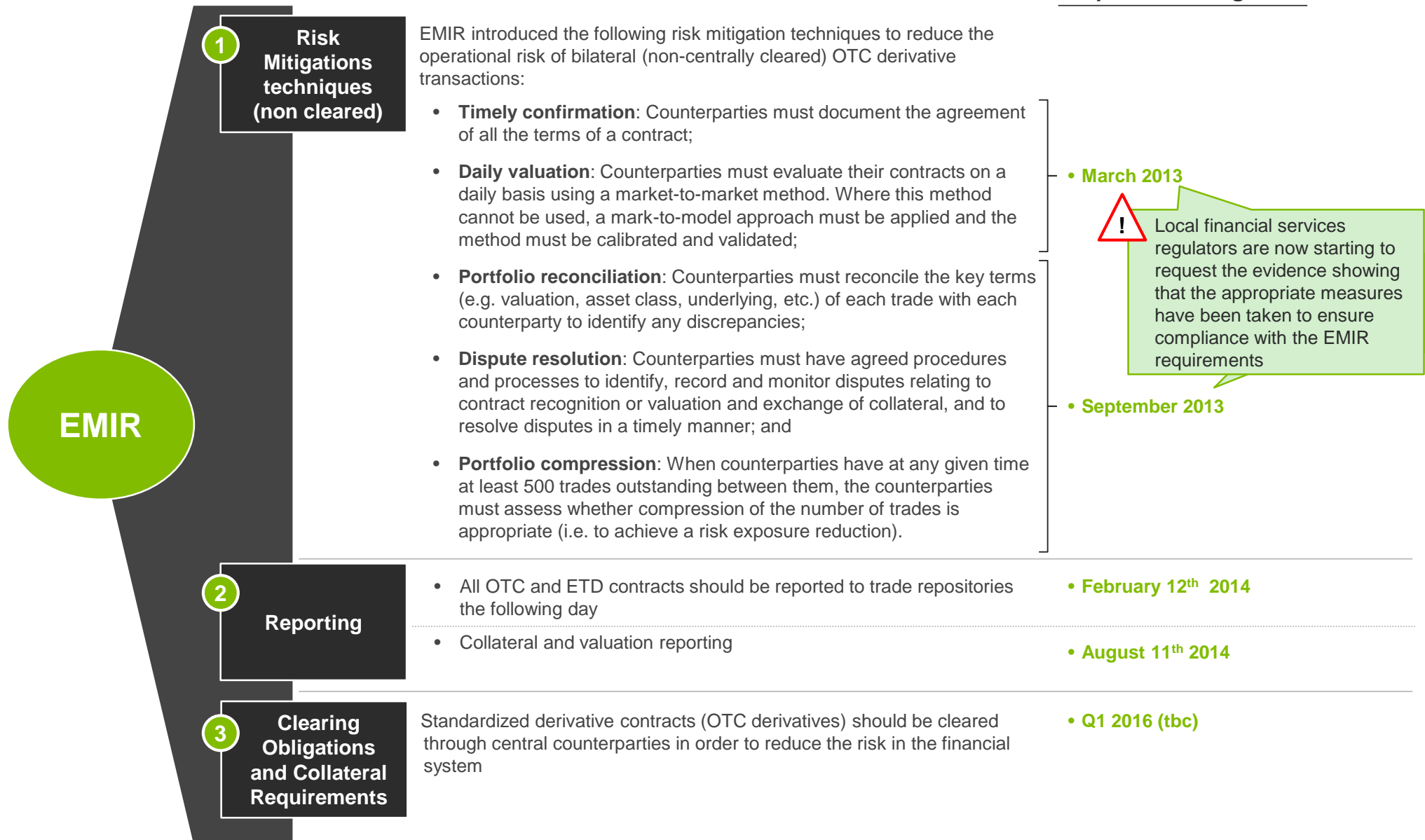


EMIR - The right time for your
annual check up !

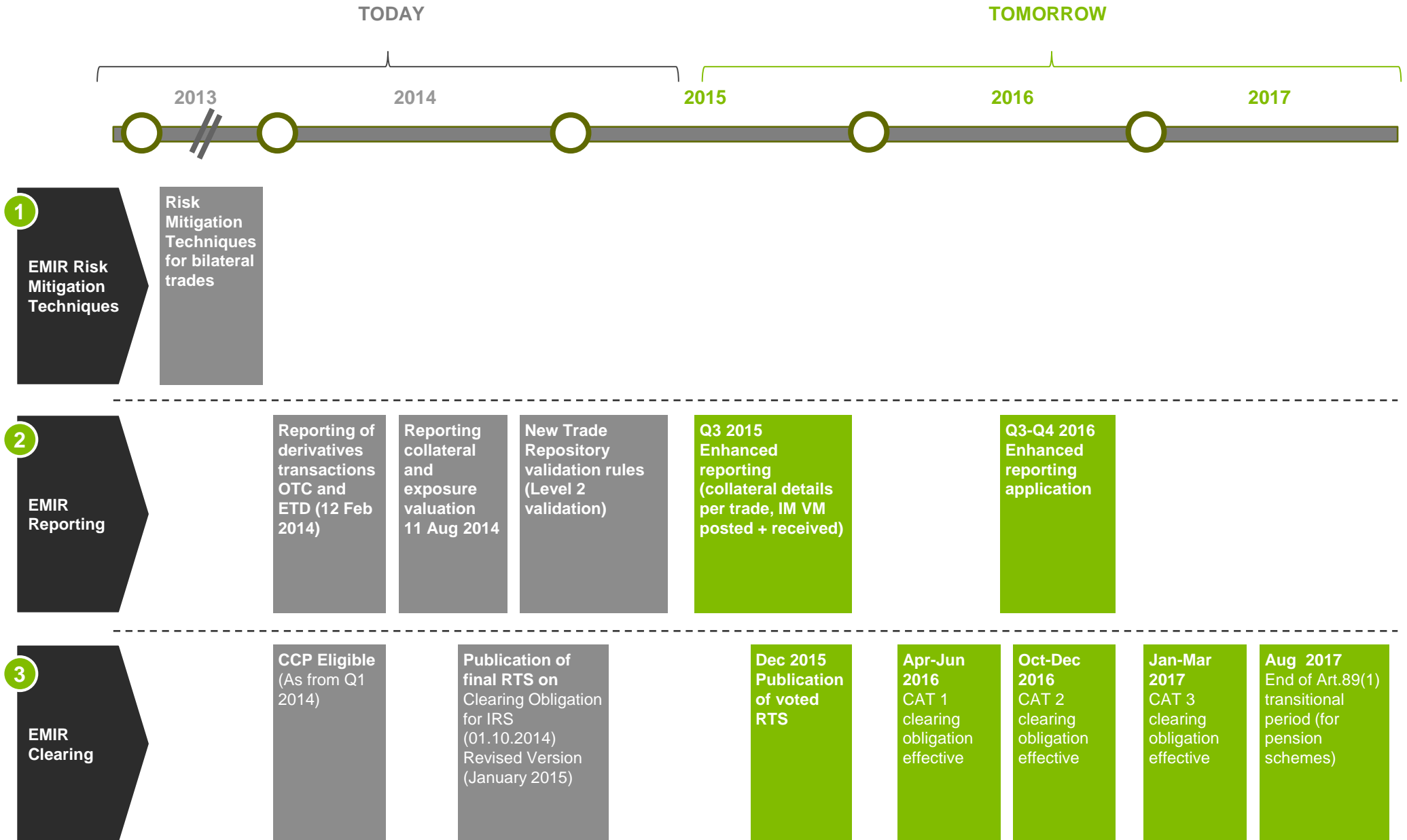
Advisory & Consulting Services
August 2015



EMIR is organized around **three main pillars**



EMIR Timeline



Our proposed approach to assess whether you meet EMIR obligations



Objectives

- Ensure that appropriate governance and documentation (e.g. legal agreements) are in place
- Ensure that the Risk Mitigations Techniques (RMT) and Reporting processes are compliant from the EMIR requirement point of view
- Define remediation plan for all the gaps identified in the previous phases classified per priority
- Close all the gaps identified and reach assurance of EMIR compliance

Activities

- Define roles and responsibilities for RMT and Trade Reporting activities
- Review policies and procedures in place and identify gaps
- Review legal agreements based on a checklist and identify missing documentation (SLA, ISDA, etc.)
- Perform review of a 2-day-production data (Front to Back review) and identify gaps and issues
- Review and validate gaps identified
- Collect evidence (reconciliation statement, confirmation of trades, reporting of derivatives trades, etc.)
- Propose actions to close the gaps identified
- Review and agree on actions proposed, timeline and criticality
- Implement proposed actions

Deliverables

- Detailed list of documentation requirements
- List of gaps identified (incl. description) categorized per level of risk
- List of recommendations
- Implementation roadmap
- Gaps closed
- List of gaps identified (incl. description) categorized per EMIR requirement and level of risk
- Final compliance report

* The duration depends on a number and the complexity of gaps identified

Our selected **credentials** and experience

Deloitte Luxembourg has a proven track record of helping firms to assess the impacts of EMIR on their business models and implement strategies, systems and controls to achieve compliance

Deloitte.

EMIR
EMIR quality control review
for Private Banks and Asset
Managers
Luxembourg & Switzerland

2015

Deloitte.

EMIR
Operational Impact
assessment for Private
Banks
Luxembourg & Switzerland

2013-2014

Deloitte.

EMIR
Project Management
and Subject Matter
Expertise for major
post-trade market
player

2012

Deloitte.

Design and
implementation of a
collateral management
platform for an
international bank

2012

Deloitte.

EMIR compliance
review (RMT and
reporting) for
Japanese
Investment Bank in
London

2014

Deloitte.

EMIR
Target Operating Model
for an international
bank

2012

Deloitte.

EMIR
Operational and Financial
Impact assessment
Business Case for a major
international insurance
company

2012

Deloitte.

Adaption of the listed
derivatives processes
to Eurex requirements
Design and
implementation of the
Eurex CCP settlement
process for a German
bank

2012

Your main **contacts**

Our practitioners have proven track records, experience and expertise in such similar projects. Moreover they bring with them practical hands on experience of having run management companies, and depositary banks through major change projects.



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