Deloitte.

RegTech
Business Cases 2023

Explore the tangible value
of RegTech solutions

JUNE 2023

Content

Explore the mission-critical solutions orbiting the RegTech space

When we began exploring the RegTech Universe in 2017, we predicted that demand would go stratospheric, fueled by rocketing compliance challenges and technological development.

Since then, the adoption of RegTech solutions has soared. While fast-moving regulations and technologies remain key drivers, the tech boom is also revolutionizing all economic actors across the board. This transformation is catalyzed by the digitalization of human interactions, including social media and web3 technologies, giving rise to new applications and regulations.

The technology leading this evolution is artificial intelligence (AI), which has made massive strides in analyzing large volumes of data in near real-time to help humans expand their skill sets and make more informed decisions, amongst other breakthroughs.

For example, the AI chatbot ChatGPT has attracted sensationalist headlines since its launch. Using large language models and reinforcement learning techniques, the chatbot not only holds realistic conversations but also answers complex questions, composes poetry and debugs code. However, it has raised serious ethical and data protection concerns, with tech leaders signing an open letter in March 2023 to pause AI development and expedite its governance.

This raises the dilemma for compliance departments and regulatory entities—should we embrace AI or oppose it?

At Deloitte, we believe the progress of AI and other cutting-edge technologies will only accelerate, with AI already being built into the most common work applications. Consequently, many regulations in this area are in the works, including the EU's recent proposal for far-reaching AI legislation. Companies that adapt to this technological revolution and leverage RegTech to manage their mounting compliance needs are set to thrive in this brave new world, while those that turn their backs risk becoming obsolete.

On this note, we introduce the 2023 RegTech report, featuring around 100 RegTechs delivering solutions for regulatory reporting, risk management, identity management, compliance and transaction monitoring. Several RegTechs have also shared their points of view on the industry and where it is headed.

We hope this report will help you find the right spaceship to take your business to the next galaxy.

FRANÇOIS-KIM HUGÉ
Partner
RegTech Leader

PATRICK LAURENT
Partner
Innovation Leader

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Reading guide

**The solutions are divided into 5 categories**
- Regulatory reporting
- Risk management
- Identity management & control
- Compliance
- Transaction monitoring

Benefits
When implementing RegTech solutions, companies can benefit from quantifiable savings, whether a reduction in time/ workload or costs.

Outcomes
Benefits can result in specific outcomes. The most common among RegTech solutions are listed here.

CATEGORY

COMPANY

SOLUTION

BENEFITS

OUTCOMES

AREA OF EXPERTISE
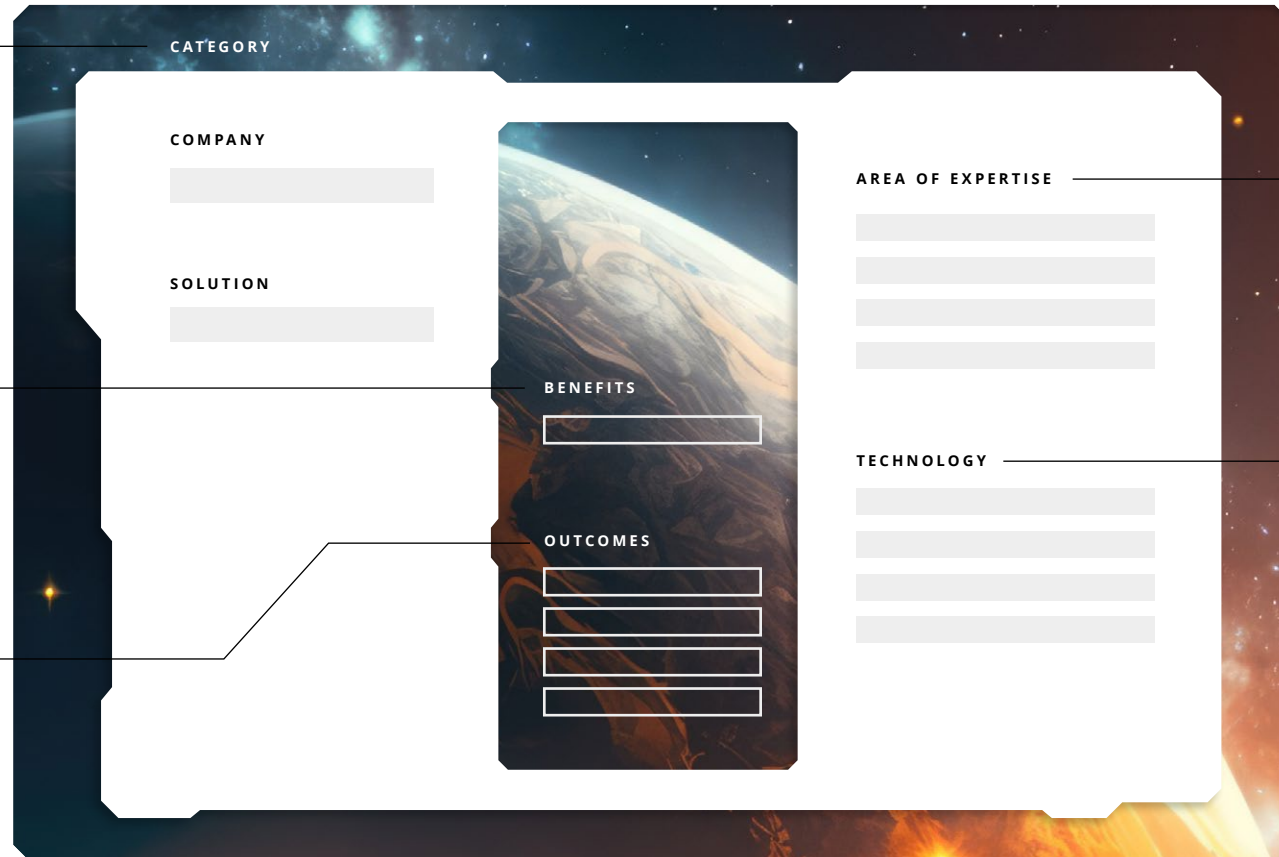
TECHNOLOGY

Area of expertise
RegTech solutions often specialize in one or more industries. These sectors are listed here.

Technology
The advanced technologies leveraged by the solution are indicated here.

Want to know more about them?

List of data points available for more than 100+ RegTechs

Company information

Company profile

- Trading and legal name
- Founding date
- Number of employees
- Category
- Website
- Twitter handle
- Contact person
- Headquarter address
- Branches
- Business purpose
- Number of paying clients

Financials

- Revenues
- Free cash flow
- Average total assets over the past 2 years
- Cash and marketable securities
- Operating income
- Debt
- EBITDA
- CAPEX
- Interest expenses

Product/service offering (for each product/service of the company)

Solution profile

- Name of the solution
- Predecessor

Value proposition

- Features
- Benefits
- Competitive advantage
- Time/cost saving potential
- Satisfaction survey frequency
- Future features

Market positioning

- Launch date
- Target industry
- Target function
- Number of existing clients
- Target country scope
- Competitors
- Awards
- Target laws/regulations
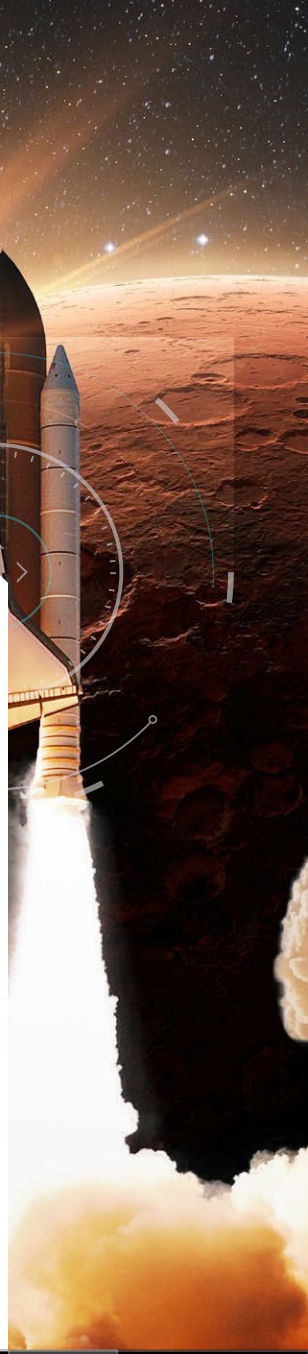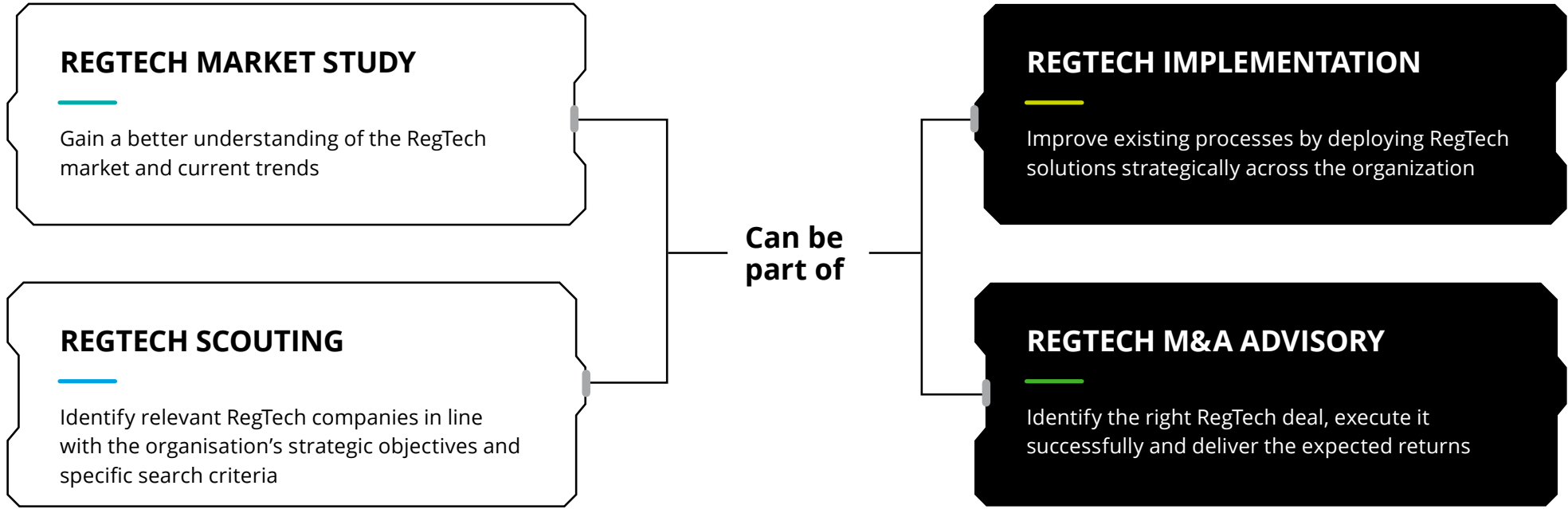- Languages
- Licensing model
- Delivery model

Technology

- On premise vs. cloud
- Integration features
- Customization possibility
- Underlying technologies

**Data available upon request.
Please contact us.**

Our service offering

Our RegTech expertise and assets can be used in the context of various engagements

REGTECH MARKET STUDY

Gain a better understanding of the RegTech market and current trends

REGTECH SCOUTING

Identify relevant RegTech companies in line with the organisation's strategic objectives and specific search criteria

Can be part of

REGTECH IMPLEMENTATION

Improve existing processes by deploying RegTech solutions strategically across the organization

REGTECH M&A ADVISORY

Identify the right RegTech deal, execute it successfully and deliver the expected returns
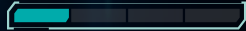
01

Regulatory Reporting

Enable automated data distribution and regulatory reporting through big data analytics, real time reporting and cloud.

POINT OF VIEW

TRAction

QUESTION_01

Let's start with a brief introduction—what can you tell us about TRAction and your RegTech offering?

Many firms assist with trade and transaction reporting; some provide data extraction and conversion software, some offer consultancy services on reporting regulations, and others act as agents reporting trades.

TRAction is different—not just a software provider, consulting firm or reporting agent. Our complete end-to-end solution not only assists organizations with all aspects of regulatory reporting, but also saves costs.

TRAction only charges organizations for their transaction reporting, with no additional fees for software, setup or the guidance we provide.
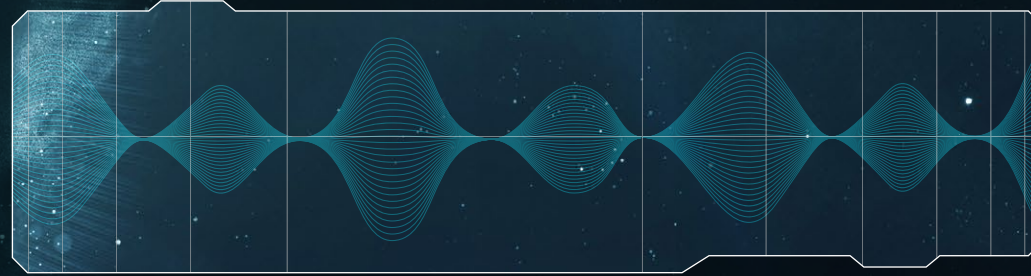
QUESTION_02

What are the concrete challenges/use cases that your solution addresses?

The common reporting regulations, EMIR, MiFIR and SFTR, have their own rigid file formats and validation rules. TRAction allows clients to submit data in their native format from their trading platform, back office or OMS. We then enrich and transform the data to meet regulators' requirements without burdening our clients.

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POINT OF VIEW

TRAction

QUESTION_03

What specific technology do you rely on? How important is it for your solution?

Amazon Web Services (AWS), because keeping everything in the cloud enhances security and provides scalability for our rapid growth. SQL databases, because we often process up to 10 million trades per day and need a robust database with massive storage capabilities.

QUESTION_04

Why do you think this is the right time to implement your services?

"If it ain't broke, don't fix it" is a common saying in the back offices of financial markets firms. We find the best time to implement our system is when clients have new regulatory requirements, like the EMIR-refit that is due in 2024.

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COMPANY

Artius Global

AREA OF EXPERTISE

· Financial services
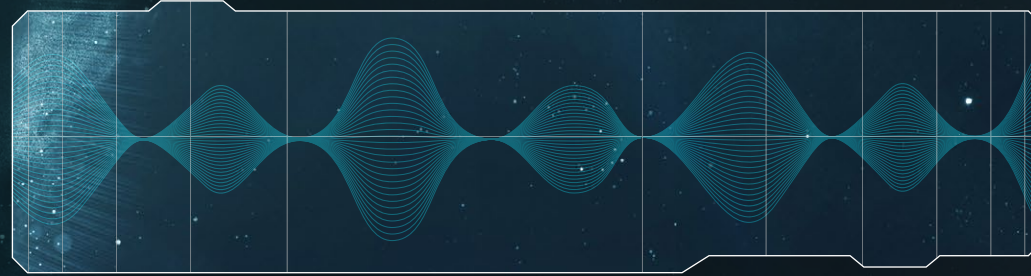
SOLUTION

Artius Global Shareholding Disclosure

The Artius Global Shareholding Disclosure solution allows financial organizations to automate their shareholding disclosure process from end to end, allowing them to quickly rectify issues by consolidating, validating and calculating data across the entire organization. The solution digitalizes the regulatory rules across 100+ jurisdictions, automatically notifying institutions of the need to disclose and auto-filling the multiple mandatory forms/templates required by the respective jurisdictions.
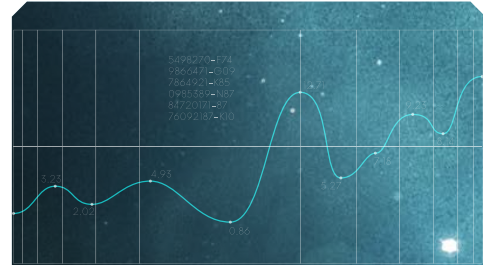
BENEFITS

TIME SAVING POTENTIAL ✕
11-30%

COST SAVING POTENTIAL ✕
11-30%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ●

Blockchain ○

Cloud computing ●

Robotics ○

Smart contracts ○

Voice and text recognition ○

WEBSITE
www.artiusglobal.com

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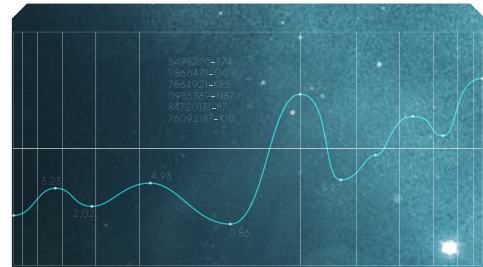
COMPANY

Blockpit

SOLUTION

Blockpit API Suite

Blockpit API Suite is a crypto tax app that connects exchanges and wallets, automatically classifying transactions according to country-specific laws. It generates tax reports as a PDF in minutes, giving users the exact contribution to report to the tax office. The solution also allows multi-shore tax calculation frameworks and report generation.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

- Financial services
- Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	●
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.blockpit.io

COMPANY

CG3-1 Regulatory Capital

SOLUTION

15c3-1

15c3-1 is a regulatory capital compliance solution that allows fast, easy calculations with transparent reporting of capital charges on qualified stock baskets (risk-based haircuts); capital charges for US government bonds (debt securities haircut); and deficits, excesses and deductions for repurchase agreements and reverse repurchase agreements (repurchase agreements deductions).
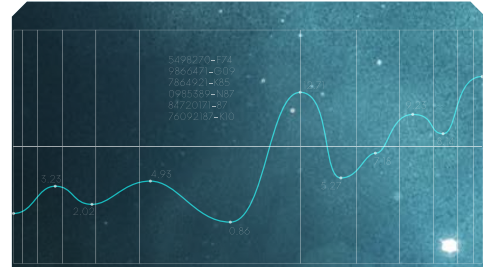
BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	○
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.cg3-1.com

COMPANY

Control Now

AREA OF EXPERTISE

· Financial services

SOLUTION

TR Accuracy

TR Accuracy collects data reported to regulators or vendors and executes validations to assess the reported information's accuracy. The solution references market data and provides the reporting firm with a report highlighting any relevant inaccuracies. It can ingest any reporting data format and run over 5,000 business-specific validations.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	○
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.control-now.com

COMPANY

CRT

SOLUTION

IFSS

IFSS (Integrated Financial Supervisory System) provides organizations with an identity and access management facility, an API endpoint for report submission and processing, Report Template Manager for creating new reports, and a submission portal for human2human interaction.
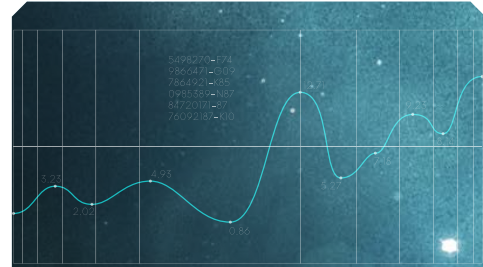
BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	○
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.crt.hr

COMPANY

Dash Regulatory Technologies

SOLUTION

DASH 360R

DASH 360R is a comprehensive, hosted regulatory reporting portal, delivering a sophisticated suite of solutions to simplify the ever-changing compliance landscape. Organizations can quickly generate reports and streamline audit, back office, and reconciliation efforts through a single platform to fulfill their regulatory capital and compliance requirements, including risk-based haircuts, fixed-income haircuts, financial reporting, and more.

BENEFITS

TIME SAVING POTENTIAL ✕
>50%

COST SAVING POTENTIAL ✕
11-30%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ○

Blockchain ○

Cloud computing ○

Robotics ○

Smart contracts ○

Voice and text recognition ○

WEBSITE

www.dashregtech.com

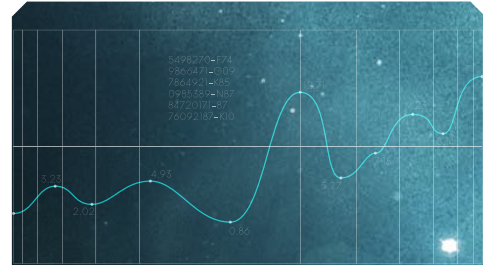COMPANY

DeltaconX

AREA OF EXPERTISE

· Financial services

SOLUTION

DeltaconX

DeltaconX is a unique regulatory, state-of-the-art platform offering enhanced multi-regulatory capabilities in exception management, data management, and reconciliation management on declared transactions. Users can retrieve all reports and records for supported regulations, including the entire history and lifecycle events. The fully flexible platform accepts declarations in XML, CSV and Excel, and data via API, SFTP, manual upload, or even manual data entry.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.deltaconx.com

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COMPANY

Fenion

SOLUTION

Fenion

Fenion delivers regulatory managed services to organizations, producing complex regulatory data formats and quantitative services and delivering fund data in all required formats. Invoicing is fully automated on the fund and/or client level and only includes services provided to the client.

BENEFITS

TIME SAVING POTENTIAL	×
31-50%	

COST SAVING POTENTIAL	×
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

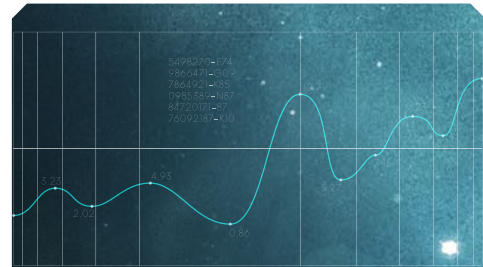| Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.fenion.at

COMPANY

Fintellix

SOLUTION

Fintellix

Fintellix is a multi-country regulatory reporting platform allowing organizations to automate their complete data and reporting workflows, enabling user collaboration and compliance oversight. The "low code" BCBS239 lined platform follows the single extract multiple implementations approach, allowing data reuse to meet multiple risk and regulatory requirements. The intuitive user interface empowers business users and reduces their dependency on technology teams to manage ongoing operations and changes. This operating and database agnostic platform works both on-cloud or on-premises.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.fintellix.com

COMPANY

Fundvis

SOLUTION

Fundvis Platform

The Fundvis Platform allows organizations to digitalize their operational workflows, create custom cooperative work environments, and add any relevant partner and stakeholder to their process management. Companies can receive detailed reports with all relevant decisions, transactions and involved users for each process, upload their documents or templates in a safe environment with real-time collaboration, and track document advancements and team members' contributions to stay current.

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

- Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

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WEBSITE

www.fundvis.org
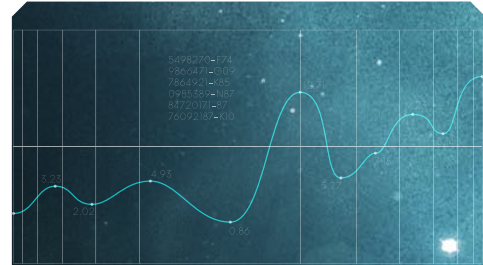
COMPANY

Kundesjekk

SOLUTION

Kundesjekk

Kundesjekk is an effective, easy and ready-to-use solution that simplifies organizations' AML, signatures and control processes. It allows users to check private individuals against UN, EU and US sanctions lists by creating new customer relationships.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

- Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.kundesjekk.no

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COMPANY

Novatus Advisory

SOLUTION

Novatus Transaction Reporting Analysis

Novatus Transaction Reporting Analysis is a cloud-based solution that gives leaders greater control over meeting complex global regulatory reporting requirements, including MiFID II, EMIR, SFTR, and Dodd-Frank. Interactive dashboards present results clearly, providing transparent management information and precise root cause analysis. The tool ensures the high levels of accuracy necessary for organizations to meet their ever-changing risk and regulatory requirements.
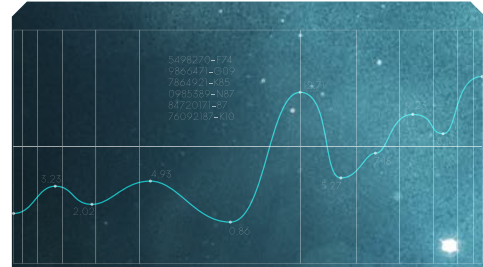
BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	○
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	●
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.novatusadvisory.com

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COMPANY

Objective Corporation

AREA OF EXPERTISE

· Healthcare

SOLUTION

Objective RegWorks

Objective RegWorks is an end-to-end regulatory software with low-code/no-code automation that provides organizations with best-practice regulatory workflows, powerful reporting dashboards, and risk-based decision-making.

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.objective.com.au

COMPANY

Pincvision

SOLUTION

DEVI

DEVI automates compliance processes by converting data from organizations' own system formats into the format required by authorities, and checks for data completeness. If necessary, data is automatically enriched based on smart business rules.

AREA OF EXPERTISE

- Healthcare
- Manufacturing
- Retail

BENEFITS

TIME SAVING POTENTIAL ✕

31-50%

COST SAVING POTENTIAL ✕

11-30%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ●

Blockchain ●

Cloud computing ●

Robotics ○

Smart contracts ○
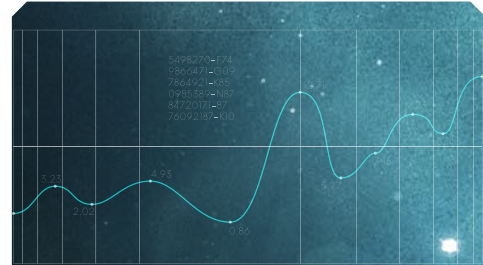
Voice and text recognition ●

WEBSITE

www.pincvision.com

COMPANY

Qomply

AREA OF EXPERTISE

- Financial services

SOLUTION

Qomply ReportAssure

Qomply ReportAssure is a proprietary assurance engine solution that allows organizations to check every piece of transaction report data for accuracy, and performs sophisticated matrix rules for accuracy, validity and timeliness. The solution checks date inputted manually through the UI or through an API; detects issues with both a firm's input files and the regulator's MDP output files; analyzes reports both before and after they are sent to the regulator; performs two-way or three-way reconciliations; and verifies that transactions sent from front-office systems to the regulator match transactions received by the regulator.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.qomply.co.uk

COMPANY

Regcentric

SOLUTION

Reg360

Reg360 is an out-of-the-box regulatory taxonomy solution (data model, validation rules, submission formats and report forms) that is continuously updated to reflect the latest regulatory requirements. This solution integrates, curates and quality controls enterprise data sets for regulatory reporting, management reporting and enterprise analytics.

AREA OF EXPERTISE

· Financial services

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.regcentric.com

COMPANY

Reporting Center

SOLUTION

Elite Reporting Platform

Elite Reporting Platform is a flexible-by-design solution that allows banks and other financial institutions to accurately automate their regulatory reporting. The solution covers data extraction, data parsing and algorithm computations, and allows users to export reports in standard formats (including Excel, XBRL, XML, CSV, and TXT) and drill down to the lowest level of detail.

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

>50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ○

Blockchain ○

Cloud computing ○

Robotics ○

Smart contracts ○

Voice and text recognition ○

WEBSITE

www.reportingcenter.eu
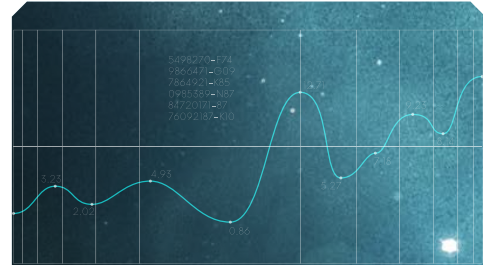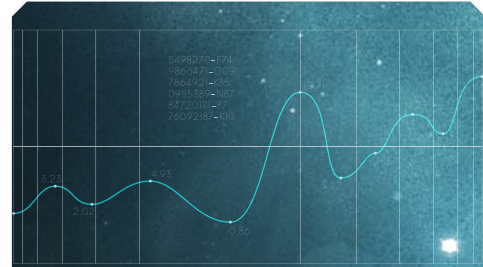
COMPANY

Reportix

AREA OF EXPERTISE

· Financial services

SOLUTION

Reportix CellStore

Reportix CellStore is a no-code user interface for defining extract, transform and load (ETL) workflows for XBRL reports, using a scalable Kubernetes-based platform to deploy and run these workflows. The solution supports any target database system and processes almost all data formats, including Excel and CSV files. The solution holds a full XBRL software certification.

BENEFITS

TIME SAVING POTENTIAL ×

31-50%

COST SAVING POTENTIAL ×

31-50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.reportix.com

COMPANY

Risklytics

AREA OF EXPERTISE

· Financial services

SOLUTION

Risklytics

Risklytics is a risk management software for the factoring and leasing industry. It digitizes risk management and controls customers' requirements, automating regular work such as reporting. The solution helps companies avoid copy-and-paste errors, and generates alerts for omitted data transfers and alerts to the management to limit violations. It offers a documentation/reporting system, a risk inventory limit and early warning system, a dashboard, and risk-bearing capacity calculation.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	●
Voice and text recognition	○

WEBSITE

www.risklytics.de

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COMPANY

TRAction

AREA OF EXPERTISE

· Financial services

SOLUTION

TRAction Trade Reporting

TRAction Trade Reporting is a regulatory solution that allows financial institutions to extract, convert and enrich data. Organizations can manage their European obligations under EMIR, MiFIR/MiFID II, SFTR and best execution rules; follow ASIC rules in Australia; and comply with MAS reporting and best execution requirements in Singapore.
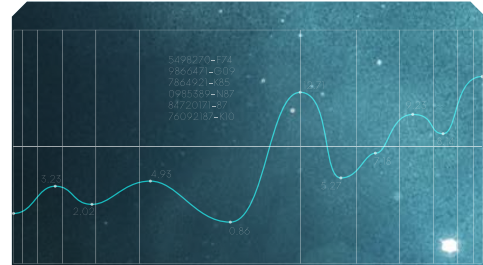
BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

31-50%

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.tractionfintech.com

COMPANY

Wecan Group

SOLUTION

Wecan Comply

Wecan Comply is a compliance platform that provides auditability, privacy, smart storage and quality data for the compliance and financial sector. The solution secures and simplifies external asset manager (EAM) compliance with custodian banks by creating a standard and removing repetitive submissions, while improving data quality for all stakeholders.
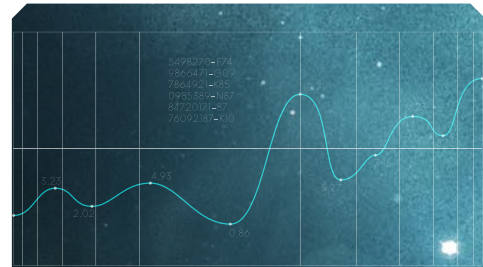
AREA OF EXPERTISE

· Financial services

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	○
Process acceleration	○
Process transformation	●
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	●
Voice and text recognition	○

WEBSITE

www.wecangroup.ch

02

Risk Management

Detect compliance and regulatory risks, assess risk exposure and anticipate future threats.

POINT OF VIEW

Linkurious
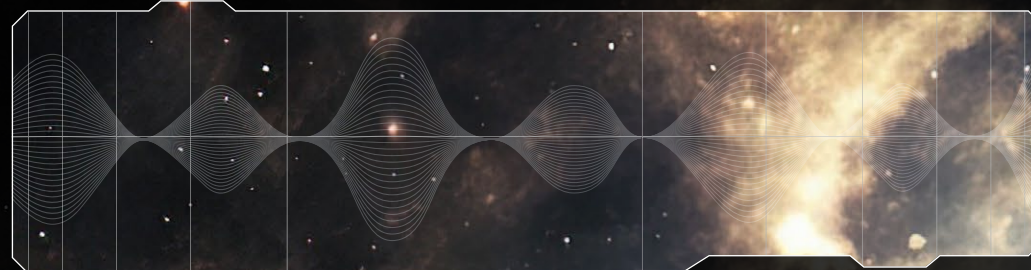
QUESTION_01
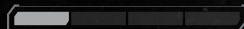
Let's start with a brief introduction—what can you tell us about Linkurious and your RegTech offering?

Linkurious provides technical and non-technical users with an intuitive solution allowing swift, precise detection and investigation of even the most sophisticated financial crime networks for optimal risk mitigation and protection. More than 3,000 investigators across Global 2000 companies, public organizations, governments, and NGOs use Linkurious to harness their complex connected data to uncover fraud and money laundering schemes.

QUESTION_02
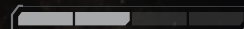
What are the concrete challenges/use cases that your solution addresses?

Institutions often struggle to harness their data to understand their clients' contexts, identify fraud or money laundering rings, and recognize sophisticated suspicious activities. Analysts must open dozens of tabs to identify everything directly and indirectly related to a person and connect the dots between data points. Therefore, it is cumbersome, time-consuming and sometimes even impossible to spot and analyze complex fraud and money laundering schemes that represent organizations' biggest non-compliance risks and financial losses.

1 / 2

POINT OF VIEW
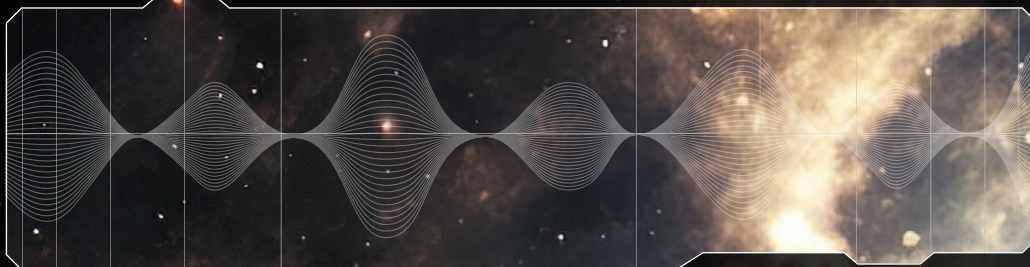
Linkurious

QUESTION_03

What specific technology do you rely on? How important is it for your solution?

Linkurious relies on graph analytics and is compatible with the leading graph databases. We leverage graph analytics in three ways:

1. To detect complex patterns across billions of transactions that would go unnoticed with traditional approaches;

2. To consolidate multiple alerts of a particular entity into a single case, enabling analysts to prioritize cases and reducing the alerts backlog and false positives/false negatives; and

3. To facilitate the visual understanding of a client, transaction and alert's context for faster and more informed decision-making.

QUESTION_04
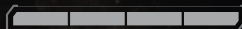
Why do you think this is the right time to implement your services?

Financial crime fighters struggle to keep up with savvy fraudsters and money launderers that slip through the cracks of current systems, while the cost of financial crime continues to rise for financial institutions or governmental agencies. New technologies like graph visualization, analytics, AI and machine learning have matured, offering a game-changing opportunity to supercharge organizations' tech stack, increase their anti-financial crime practice's cost efficiency, and improve risk mitigation.

2 / 2

COMPANY

BCube Analytics

SOLUTION

RegStacker

RegStacker is a client portal that enables end-to-end workflows involving all stakeholders, allowing organizations to securely manage the delivery of audit, compliance and regulatory services. It also provides real-time reporting on risk, compliance and audits to executive management.

AREA OF EXPERTISE

- Financial services
- Healthcare
- Retail

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.bcubeanalytics.com

COMPANY

Derivitec

SOLUTION

Derivitec Risk Portal

Derivitec Risk Portal is an out-of-the-box web platform solution that can be integrated into organizations' own applications. Risk needs are covered from initial analysis to final attribution, providing complete transparency across all stakeholders. It increases reporting coverage, API connectivity and report sharing within and outside the organization.

BENEFITS

TIME SAVING POTENTIAL ×

>50%

COST SAVING POTENTIAL ×

>50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	○
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.derivitec.com

COMPANY

FundCalcs

SOLUTION

FundCalcs

FundCalcs is a customized calculation and process solution for the fund industry with rapid deployment. Its off-the-shelf, one-size-fits-all platform allows firms to avoid complex configuration and training requirements. The solution covers different fund types, including hedge funds, mutual funds, fund of funds, and private equity funds.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.fundcalcs.com

COMPANY

GeneriTrend

SOLUTION

GeneriTrend

GeneriTrend is an extensive data analytic platform backed by expert consulting that formalizes and automates the process of determining if a trademark is becoming—or has already become—a generic term. The solution automates and formalizes the monitoring of trademark genericness, as well as evidence if challenged as generic.

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

>50%

OUTCOMES

Process enhancement ○

Process acceleration ○

Process transformation ○

Quality improvement ○

AREA OF EXPERTISE

· Financial services
· Healthcare
· Manufacturing
· Retail

TECHNOLOGY

Application Program Interface (API) ○

Big data and analytics ●

Blockchain ○

Cloud computing ○

Robotics ○

Smart contracts ○

Voice and text recognition ○

WEBSITE

www.generitrend.com

COMPANY

Linkurious

SOLUTION

Linkurious Enterprise

Linkurious offers an easy-to-use user interface that enables organizations to visually explore and analyze complex networks helping to detect and investigate threats. It supports a range of graph databases, is compatible with high security requirements and can be deployed on-premise or in the cloud.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ○

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ○

Big data and analytics ●

Blockchain ○

Cloud computing ○

Robotics ○

Smart contracts ○

Voice and text recognition ○

WEBSITE

www.linkurious.com

COMPANY

Ncontracts

AREA OF EXPERTISE

· Financial services

SOLUTION

Operational Risk Management Software Suite

Operational Risk Management Software Suite is a group of solutions for managing integrated vendors, risks, business continuity, compliance, internal audits, and findings for US-based financial institutions. The suite also offers vendor management, risk assessment creation, and policy development services.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	○
Process acceleration	○
Process transformation	●
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.ncontracts.com

COMPANY

Neterium

SOLUTION

Jetscan and Jetflow

Jetscan and Jetflow are complementary watchlist screening technology solutions that are API-native. Jetscan allows counterparty screening (e.g., for customer records), while Jetflow allows transaction screening (e.g., for payments).

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.neterium.io

COMPANY

Risklution

SOLUTION

Risklution

Risklution is a software solution that automatically takes care of risk inventory; internal control systems (ICS); reporting such as risk and compliance reports; loss database; case management; monitoring and notification systems; alarm chain; delegated data collection; StaRUG; and sustainability risks/ESG.

BENEFITS

TIME SAVING POTENTIAL	×
>50%	

COST SAVING POTENTIAL	×
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	○

AREA OF EXPERTISE

· Healthcare
· Manufacturing
· Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.risklution.de

COMPANY

SAI360

SOLUTION

SAI360 Integrated GRC

SAI360 Integrated GRC is a software solution that monitors, manages and prevents disruption by offering organizations a unified view of enterprise risk management, from risk and audit to compliance and ESG. The solution is easy and quick to operationalize with preconfigured modules and a fast-start implementation.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare
· Manufacturing
· Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.sai360.com

COMPANY

Secuvy AI

SOLUTION

Secuvy Data Discovery & Classification

Secuvy Data Discovery & Classification allows companies to collect, organize and build a live data catalog to handle privacy, security and governance requirements for various IT resources, including databases, object stores and storage environments. Customers can view a snapshot of all sensitive files, emails and images, and automatically classify them by category, type, operational purpose and access or even custom sensitivity labels.

BENEFITS

TIME SAVING POTENTIAL ✕

31-50%

COST SAVING POTENTIAL ✕

>50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ○

AREA OF EXPERTISE

· Financial services
· Healthcare
· Retail

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ●

Blockchain ○

Cloud computing ●

Robotics ●

Smart contracts ○

Voice and text recognition ●

WEBSITE

www.secuvy.ai

COMPANY

TrusTrace

SOLUTION

Certified Material Compliance (CMC)

CMC provides fashion brands with complete, digital chain-of-custody information at the product level, including all associated evidence to help them answer any regulatory questions from customs, consumer authorities or other organizations. It fills evidence gaps in certified material supply chains with AI digitization and enables firms to back up their product claims faster.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	○
Process acceleration	○
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

- Manufacturing
- Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.trustrace.com

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COMPANY

VIGIL

SOLUTION

VIGIL

VIGIL is an all-in-one solution offering a range of modules, such as Process, where organizations can map and structure their knowledge into processes; Controls Manager, to link controls to processes; Internal Control, to develop effective and transparent internal control; Internal Audit, to organize and centralize internal audit missions; Compliance, to organize and centralize compliance and/or RC missions; Risk Management, to manage operational risks in a single tool; GDPR, with 25 customizable data processing sheets; and GAP and due diligence, to create customized missions in line with companies' needs.

BENEFITS

TIME SAVING POTENTIAL   ✕

11-30%

COST SAVING POTENTIAL   ✕

11-30%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ○

Big data and analytics ○

Blockchain ○

Cloud computing ○

Robotics ○

Smart contracts ○

Voice and text recognition ○

WEBSITE

www.vigil-solutions.eu

COMPANY

Workscope

SOLUTION

Workscope

Workscope is a platform solution that allows organizations to automate file, data and task mining; analyze and visualize data; and create file lifecycle management and risk notification workflows, all in real-time.

AREA OF EXPERTISE

- Financial services
- Healthcare

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

>50%

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

WEBSITE
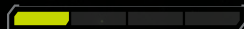
www.workscope.com

03

Identity Management & Control

Facilitate counterparty due diligence and Know Your Customer (KYC) procedures. AML and anti-fraud screening and detection.

POINT OF VIEW

Norbloc

QUESTION_01

Let's start with a brief introduction—what can you tell us about Norbloc and your RegTech offering?

Norbloc is redefining how customer data is handled and shared by leveraging innovative technologies to solve financial institutions' KYC problems globally.

Norbloc's platforms cover the entire KYC lifecycle, enabling individuals, financial institutions and licensing authorities to manage and securely share verified KYC data within a secure ecosystem. By putting customers in control of their data and ensuring a "single version of truth" for each customer file, Norbloc improves customer onboarding, increases data security and mitigates systemic risks.

All our solutions comply with the fifth and sixth AML Directives and GDPR.

QUESTION_02

What are the concrete challenges/use cases that your solution addresses?

Our platforms directly address the two challenges of KYC today; lack of digitization and lack of data portability.

Sancus, a tailored digital orchestrator platform, digitizes and optimizes customer onboarding, KYC, KYB, AML and remediation. It synthesizes a variety of data sources and services around one zero-code workflow editor/orchestrator.

Fides and **Atlas**, the decentralized data-sharing platforms, facilitate the secure sharing of validated KYC information across an ecosystem of participating entities. They mutualize the burden of compliance and ensure a single version of truth per customer file across institutions.

1 / 2

POINT OF VIEW

Norbloc

QUESTION_03

What specific technology do you rely on? How important is it for your solution?

Norbloc's data-sharing modules leverage DLT, allowing financial institutions to handle and share private data in a legally compliant way.

A distributed database abstraction layer and a distributed key management system, both Norbloc IP, are coupled with the blockchain/DLT ledger. This provides operational efficiencies and legal compliance, and DLT is only used as metadata and pointer holder.

Our platforms' IP and extensive production testing position Norbloc as an expert and leading innovator in this space.

QUESTION_04

Why do you think this is the right time to implement your services?

We see two evolution waves in the RegTech space; digitization and collaboration.

Digitization has gained significant ground, mainly driven by the COVID-19 pandemic, with growth in remote onboarding and electronic ID verification.

Once customer data has been digitized and verified, the next step is to share it across institutions with the consent of its owner, the customer. Norbloc platforms sit on the nexus of digitization and regulated data portability, anticipating the next wave of RegTech evolution. And, that next wave is here.

2 / 2

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COMPANY

AsiaVerify

SOLUTION

AsiaVerify

AsiaVerify offers APAC's most comprehensive and compliant ultimate beneficial owner (UBO), KYB, and KYC intelligence in one convenient, easy-to-use package. Users have real-time access to official data sources that are live, up-to-date and translated into English. The UBO algorithm continuously searches for cross-border shareholder information through the various layers of company ownership to identify a company's UBO.
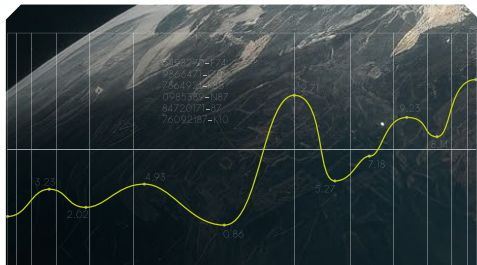
AREA OF EXPERTISE

· Financial services
· Healthcare
· Manufacturing
· Retail

BENEFITS

TIME SAVING POTENTIAL	×
>50%	

COST SAVING POTENTIAL	×
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.asiaverify.com

COMPANY

NewBanking Identity

AREA OF EXPERTISE

- Financial services
- Manufacturing

SOLUTION

NewBanking Identity

NewBanking Identity's platform provides a range of features to address businesses' critical challenges regarding customer onboarding, compliance, security, and identity verification. Its main features include automated customer onboarding; compliance checks; advanced security measures; advanced identity verification; customizable workflows; and comprehensive reporting.
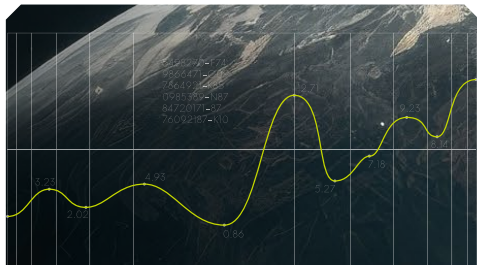
BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	○
Process transformation	○
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.newbanking.com

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COMPANY

norbloc

AREA OF EXPERTISE

· Financial services

SOLUTION

Fides

Fides is a KYC data-sharing ecosystem using innovative and secure distributed ledger and blockchain technologies to improve data quality and streamline data verification. Customers share validated KYC data across institutions in the Fides ecosystem, allowing banks to share the burden of validating and maintaining KYC data.
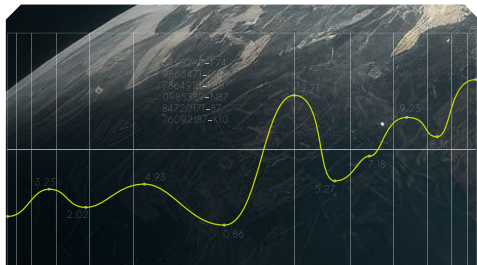
BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	●
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.norbloc.com

COMPANY

Regula

SOLUTION

Regula Document Reader SDK

Regula Document Reader SDK is a standalone software product that enhances organizations' ID verification processes from any source, including mobile, web and document reader. The solution allows the fully automatic reading and verification of personal data in passports, ID cards, driver's licenses, visas and other identity documents, enabling comprehensive identity verification while requiring limited action from users.
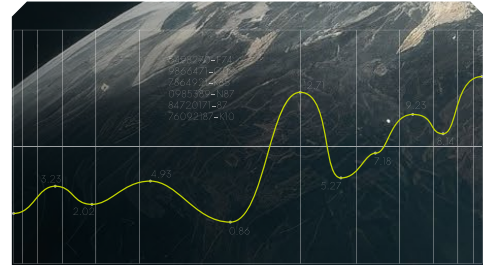
BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

- Financial services
- Healthcare

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.regulaforensics.com

COMPANY

Sedicii

SOLUTION

KYCexpert

KYCexpert allows financial institutions to operate as a network to securely share client or transaction information without disclosing underlying personal identifiable information (PII). Organizations can identify potentially suspicious transactions and clients more accurately by pooling their risk information, allowing a more effective detection of criminal activity. In addition, Sedicii has developed a specific application to eliminate a pervasive form of fraud—authorized push payment (APP) fraud.
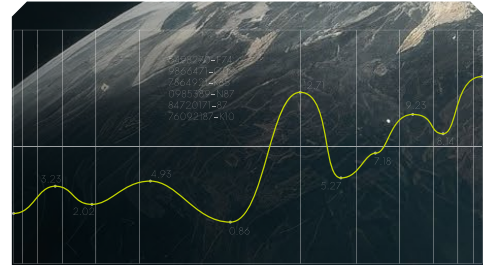
BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	○
Big data and analytics	●
Blockchain	●
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.sedicii.com

COMPANY

Vital4

SOLUTION

Vital4

Vital4's corporate risk and compliance solutions deliver up-to-date, high-quality data to protect organizations against financial crimes, terrorism, bribery and corruption, helping them save time and money while mitigating the risks of third-party relationships. Users can screen and monitor employees and third parties against thousands of global financial sanctions, watch lists, PEPs, and news and media sources. Vital4 delivers detailed data on individuals and businesses worldwide, enabling subscribers to perform simple, streamlined due diligence.
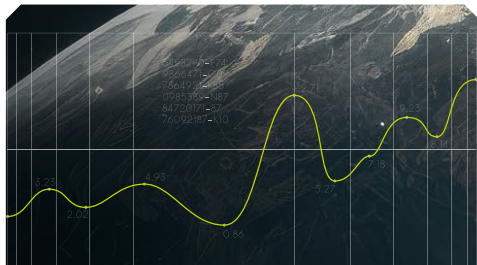
BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	●
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.vital4.net

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04

Compliance

Real time monitoring and tracking
of current state of compliance
and upcoming regulations.

POINT OF VIEW

Fenergo

QUESTION_01
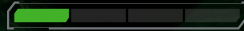
Let's start with a brief introduction—what can you tell us about Fenergo and your RegTech offering?

Fenergo is the leading provider of client lifecycle management (CLM) SaaS solutions, digitalizing how financial institutions, asset management and FinTech firms onboard and manage clients throughout the client lifecycle while providing regulatory assurance.

Every client journey is digitalized, from initial client onboarding to compliance, continuous monitoring and offboarding.

Our in-depth financial services and regulatory expertise and out-of-the-box rules engine future-proofs clients against evolving ESG, KYC, AML, tax and prudential regulations across more than 120 jurisdictions.

QUESTION_02

What are the concrete challenges/use cases that your solution addresses?

- Lengthy and frustrating client onboarding journeys;
- Manual processes, siloed data and legacy tech preventing single client overviews;
- Rising regulatory obligations and risk and reputational damage from regulatory fines;
- High cost to comply ; and
- Negative client experiences leading to abandoned client onboarding and an inability to expand the business with existing clients.

1 / 2

POINT OF VIEW

Fenergo

QUESTION_03

What specific technology do you rely on? How important is it for your solution?

We use AWS because it allows our clients to leverage the ultimate SaaS technology solution for their unique business challenges. AWS enables us to create a secure and serverless architecture leveraging API layers, containerized services and native cloud data storage services. It also allows best practice cloud security, governance, and compliance implementation enabling frictionless application migration, build development and infrastructure migration to AWS at scale.

QUESTION_04
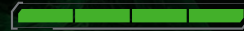
What's next? How do you see the compliance area evolving?

Digitization and automation will remain key strategic priorities to tackle economic volatility.

With ESG becoming mandatory in many jurisdictions, financial institutions are prioritizing their ESG compliance. Smart organizations will explore automating data and processes and consolidate their operating models.

Further sanctions due to the Ukraine war and guidance for regulated entities will arise in 2023. Institutions must focus on accounts and relationships with potential connections to sanctioned entities and individuals, including PEPs.

2 / 2

COMPANY

6clicks

SOLUTION

6clicks

6clicks is an AI-powered governance, risk and compliance (GRC) platform and marketplace solution. Its features include risk management; audit and assessment; issues and incident management; policy and control management; risk news and alerts; third-party compliance; asset management; custom registers; vulnerability management; projects and playbook automation; and a custom report generator.
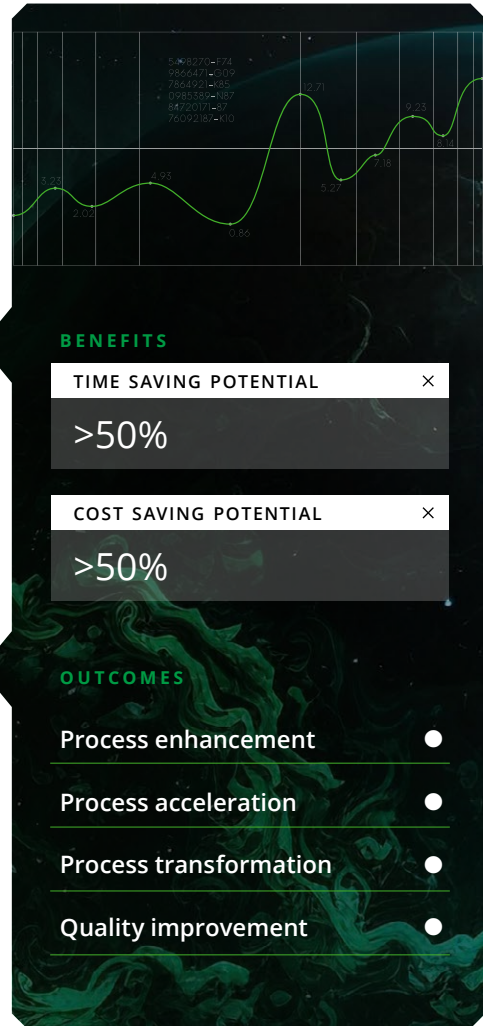
BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare
· Manufacturing
· Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.6clicks.com

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COMPANY

Aigine AB

SOLUTION

Aigine Control

Aigine Control allows companies to extract text from practically all data sources, databases and file formats. The solution's large language model (LLM) is trained to identify direct and in-direct personal data according to the GDPR, and assesses and classifies content according to Article 30 of the GDPR (including type of personal data, purpose, business process, legal basis, and retention schedule).

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

- Financial services
- Healthcare
- Manufacturing
- Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.aigine.se

COMPANY

AML Partners

SOLUTION

RegTech ONE

RegTech ONE is a secure, flexible and scalable platform with a fully dynamic multi-dimensional risk engine, offering organizations a one-stop, single-platform solution for KYC, AML monitoring and screening, case management, ID verification, and more. Companies can reduce their technical debt by activating different RegTech ONE modules.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.amlpartners.com

COMPANY

Armadillo

SOLUTION

Armadillo

Armadillo is a platform that provides organizations with original registry documents, corporate reports, and PEPs and sanctions checks, as well as ID verification for know your customer (KYC), due diligence and enhanced due diligence (EDD) through proprietary technological solutions.

BENEFITS

TIME SAVING POTENTIAL	✕
No information available	

COST SAVING POTENTIAL	✕
No information available	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

- Financial services
- Healthcare
- Manufacturing
- Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	●
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.armadillo.co.uk

COMPANY

BeCLM

SOLUTION

BeCLM

BeCLM is an integrated solution that provides several modules to help organizations automate their AML, asset freezes, sanctions and PEPs checks; KYC processes; requirements control; sales; and regulatory documentary base.
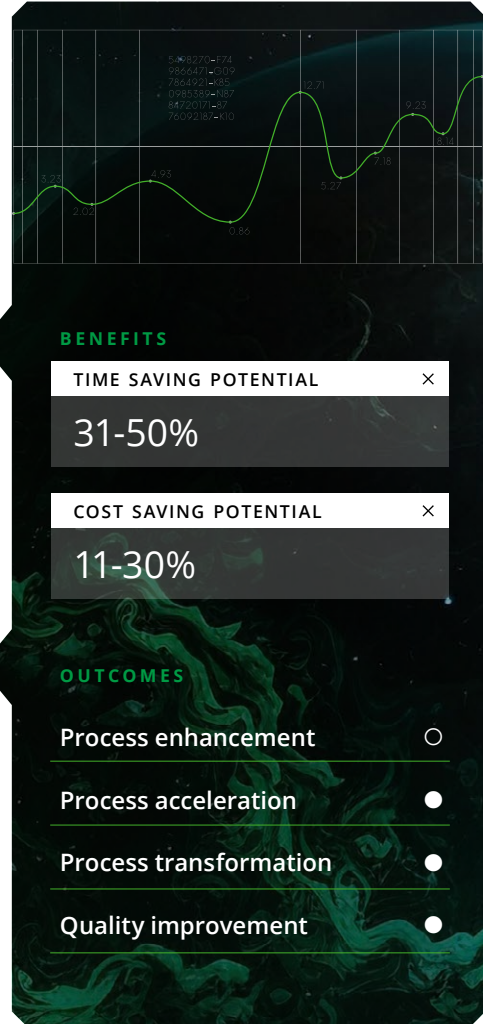
BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	○
Process acceleration	●
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.beclm.com

COMPANY

BoardiGO

SOLUTION

BoardiGO

BoardiGO is an all-in-one, easy-to-implement solution with all the features organizations need to perform governance online. All governance services are grouped in one place, allowing companies to streamline and simplify their governance management.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare
· Manufacturing
· Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.boardigo.com

COMPANY

Carne Group

SOLUTION

Curator

The Curator digital platform covers the entire fund lifecycle for asset managers and management companies, including product masterview, risk, oversight, reporting, due diligence and global registrations. By leveraging industry-leading technology and a risk-driven platform, organizations can choose from a wide array of tried and tested solutions to increase their efficiency and cost-effectiveness.

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

>50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ●

Blockchain ○

Cloud computing ○

Robotics ○

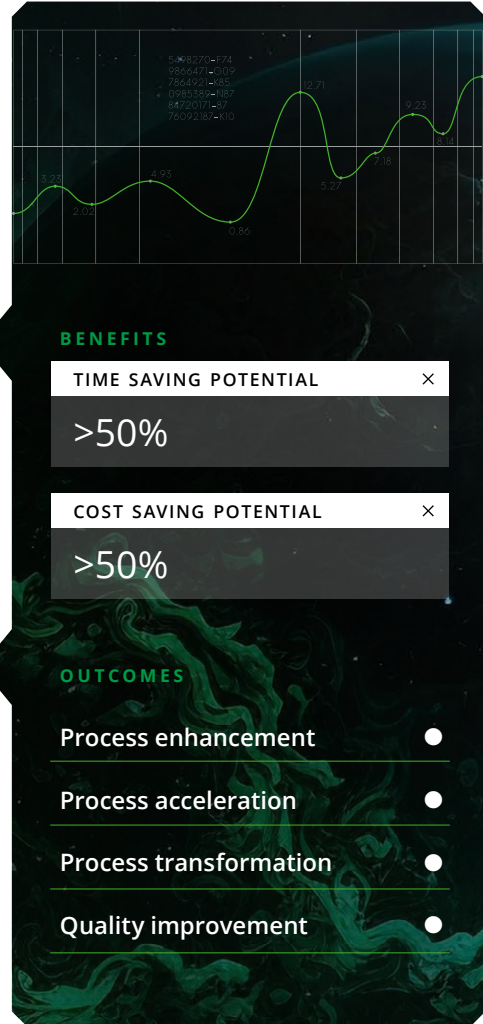Smart contracts ○

Voice and text recognition ○

WEBSITE

www.carnegroup.com

COMPANY

Cascade

SOLUTION

Cascade

Cascade is a platform that allows organizations to digitalize their AML/ KYC procedures and adopt a complete risk-based approach, offering integrated daily name, PEPs, sanctions and adverse media screening. Through a central dashboard, users are given a live overview of the AML status of each client file, and can view alerts on next risk reviews and monitor missing documents and ID expiration dates.
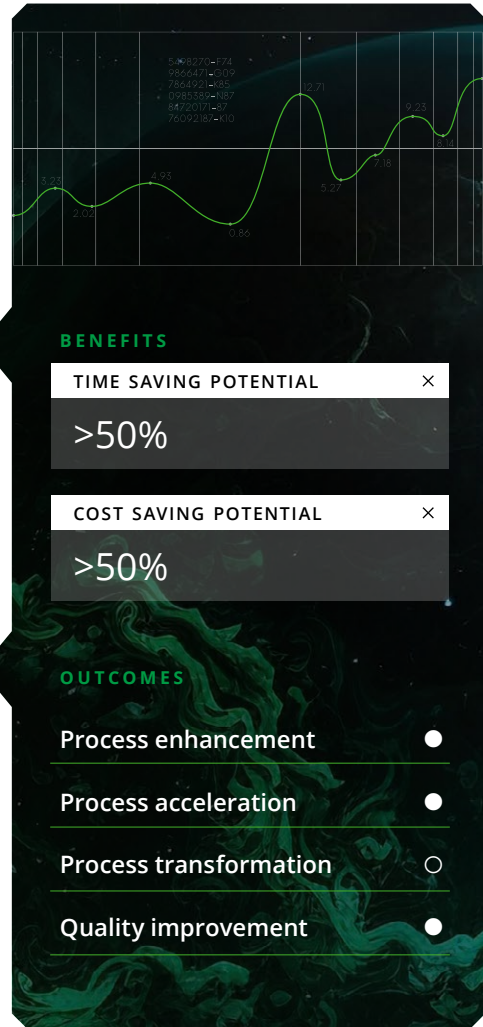
BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.cascade.lu

COMPANY

Clausematch

SOLUTION

Policy Portal

The Policy Portal is an all-in-one platform that allows real-time workflows, collaboration, and communication. It creates a precise, paragraphed audit trail of who did what and when, benefiting personal accountability. Compared to similar solutions built around Word and PDF documents, it can map content on a paragraph-to-paragraph (P2P) level and then apply machine learning algorithms on top.

BENEFITS

TIME SAVING POTENTIAL ✕

31-50%

COST SAVING POTENTIAL ✕

31-50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.clausematch.com

COMPANY

Compliance Solutions

SOLUTION

MCS Compliance Platform

The MCS Compliance Platform provides organizations with a centralized solution to handle all their compliance tasks. It offers more than 20 systems, including supply chain compliance; business partner compliance; whistleblowing; compliance risk management; gifts and hospitality management; a competitor contact register; and M&A due diligence.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare
· Manufacturing
· Retail

TECHNOLOGY

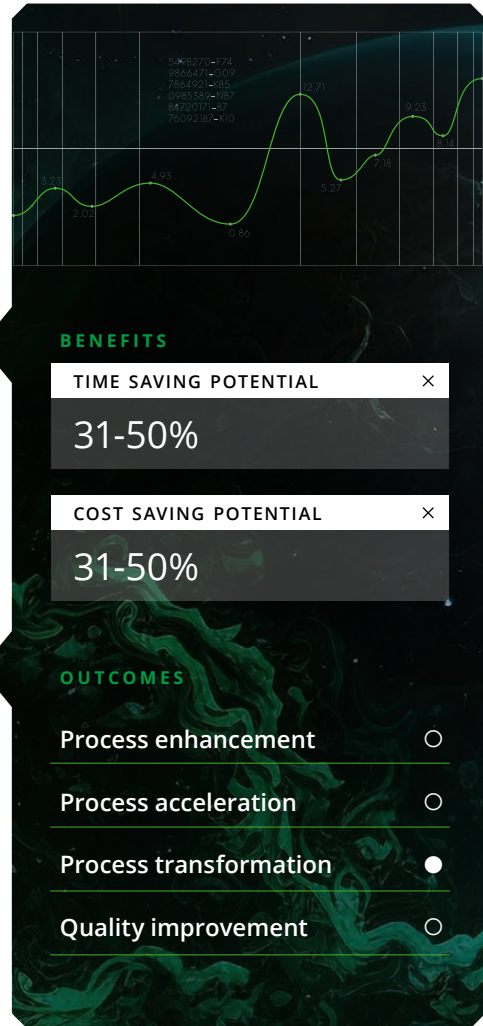| Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	●
Voice and text recognition	●

WEBSITE

www.compliancesolutions.com

COMPANY

Corlytics

SOLUTION

Corlytics Regulatory Monitoring Platform

Corlytics' Regulatory Monitoring Platform includes a taxonomy mapping and a regulation library. It allows organizations to perform regulatory monitoring, regulatory risk analytics, and compliance policy management.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	○
Process acceleration	○
Process transformation	●
Quality improvement	○

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

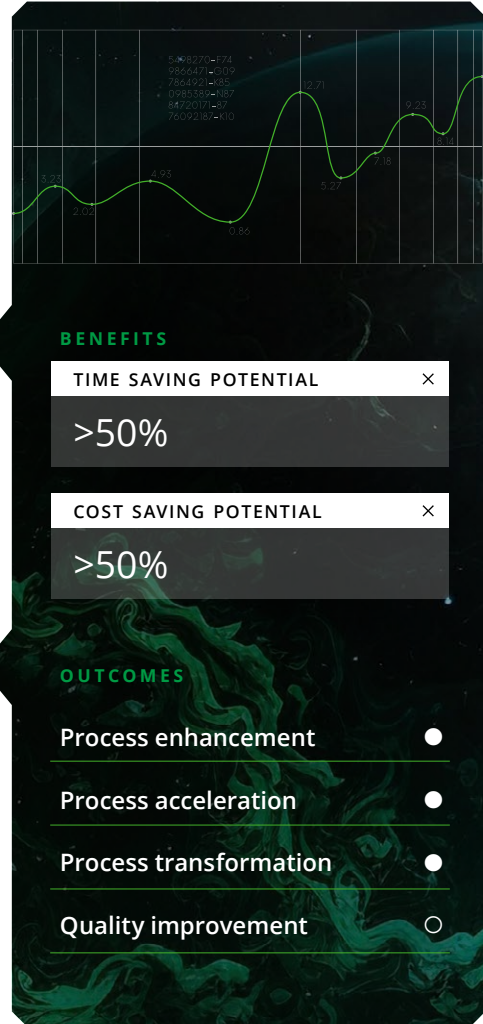|	
Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	●
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.corlytics.com

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COMPANY
Credas

SOLUTION
Credas

Credas is an ID verification solution that allows companies to streamline their onboarding process through market-leading technologies, revolutionizing their compliance and due diligence management. It includes biometrics and document verification; PEPs and sanctions screening and monitoring; open banking financial insights; customizable data capture forms; and eSign.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	○

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.credas.com

COMPANY

Cynopsis

SOLUTION

Ares/Artemis/Athena

Ares is a digital onboarding platform that provides non-face-to-face know-your-customer (KYC) processes, allowing organizations to conduct e-KYC in a way that integrates effortlessly with their own systems. Artemis is a unique KYC automation tool specifically designed to meet the regulatory requirements of small-and-medium-sized financial institutions, professional services providers, and FinTech startups. Athena automates the post-transaction monitoring obligations of regulated financial institutions to combat money laundering and terrorism financing activities.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

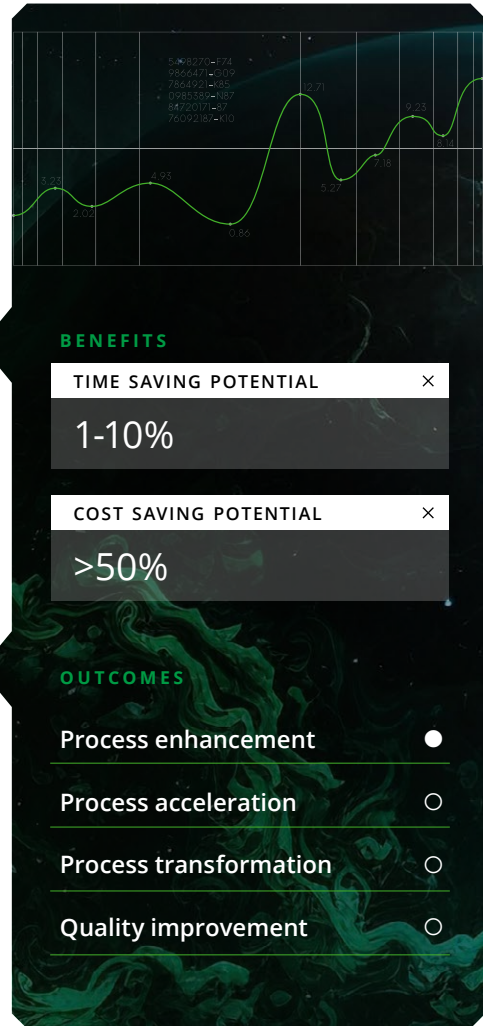|	
Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.cynopsis-solutions.com

COMPANY
Dilisense

SOLUTION
Dilisense

Dilisense is an easy-to-integrate API solution that allows clients to download the complete database (e.g. sanction, PEP, criminal watchlist etc.) to run coverage analytics. It helps organizations perform sanction checks and PEP screenings by accessing a myriad of official government sources from around the globe.

BENEFITS

TIME SAVING POTENTIAL	✕
1-10%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	○
Process transformation	○
Quality improvement	○

AREA OF EXPERTISE

- Financial services

TECHNOLOGY

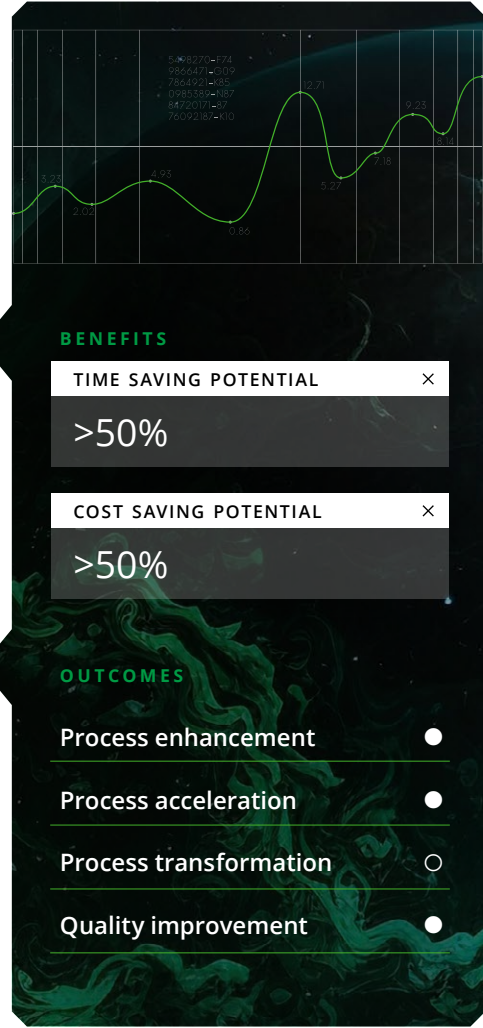| Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.dilisense.com

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COMPANY

DRINKS

SOLUTION

DRINKS

DRINKS is a real-time Wine as a Service (WaaS) platform enabling licensed and unlicensed businesses to bring beverage alcohol to consumers. It is also a tax and compliance solution that allows full compliance within Shopify and the DRINKS platform.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Non financial services

TECHNOLOGY

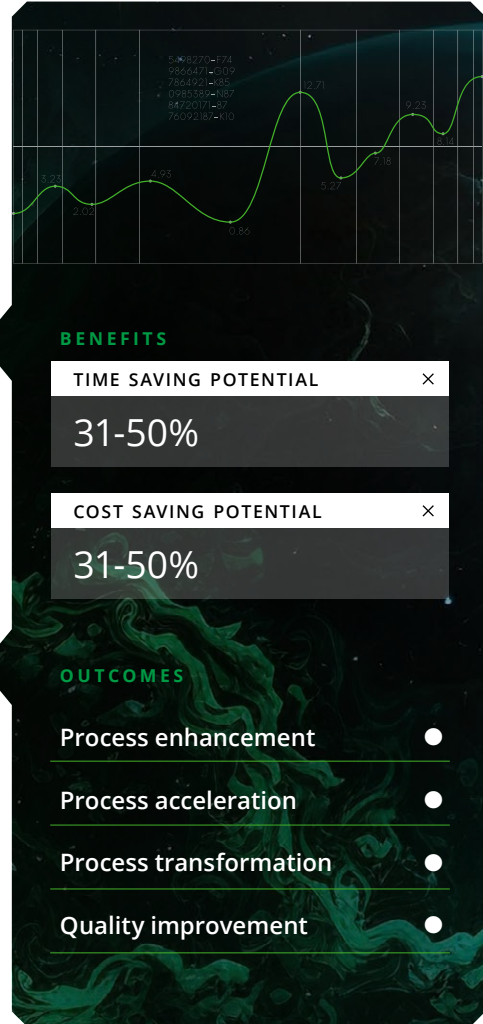|	
Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.drinks.com

COMPANY

Droit

SOLUTION

Adept

Adept is a platform allowing companies to operationalize laws, rules and policies to improve compliance within their existing systems, providing the consensus view and industry standard for regulatory interpretation. To verify decisions, Adept generates a logic model with traceable pathways linked to the original source text. The platform enhances clarity and confidence, increases operational efficiencies, and provides a repeatable, auditable and defendable process.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.droit.tech

COMPANY

EPICTec

SOLUTION

etac

etac is a software application that enables process digitalization in the financial industry. The solution allows organizations to automate and streamline business processes and minimize errors, providing measurable quality improvement and cost reductions. Customers can efficiently fulfill their regulatory requirements in investment compliance and optimize their collaboration with external auditors.

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.epictec.ch

COMPANY

Ethic Alliance

SOLUTION

Ethic Alliance

Ethic Alliance helps whistleblowers find the best law firm to take their case while advising them on how to best structure their case. It also helps law firms market to and find whistleblowers, filter out the best cases, and structure evidence for easy submission. Ethic Alliance also educates the public on whistleblower laws through marketing campaigns, and helps governments ensure companies are complying with laws and not stealing government funds.

BENEFITS

TIME SAVING POTENTIAL   ✕

31-50%

COST SAVING POTENTIAL   ✕

31-50%

OUTCOMES

Process enhancement   ●

Process acceleration   ●

Process transformation   ●

Quality improvement   ●

AREA OF EXPERTISE

· Financial services
· Healthcare
· Manufacturing

TECHNOLOGY

Application Program Interface (API)   ○

Big data and analytics   ○

Blockchain   ○

Cloud computing   ○

Robotics   ○

Smart contracts   ○

Voice and text recognition   ○

WEBSITE

www.ethicalliance.com

COMPANY

Fenergo

SOLUTION

Fenergo KYC

Fenergo is an onboarding and operations management platform that digitally orchestrates client journeys through every point in the client lifecycle—from initial prospecting to digital account opening, maintenance and refresh, ongoing due diligence, and client offboarding. Multi-channel by design and powered by API integrations, Fenergo creates a streamlined process for all client data and documentation, minimizing time to revenue.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

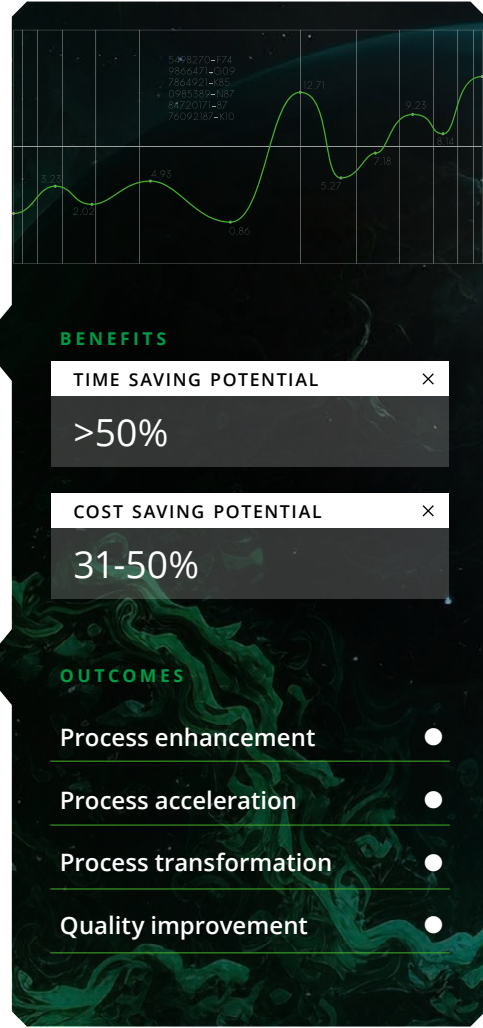| Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.fenergo.com

COMPANY

Fineksus

SOLUTION

PayGate Inspector

PayGate Inspector is a blacklist filtering application solution that allows financial institutions to comply with AML regulations by checking financial transactions and customer databases against official and private watchlists and blacklists, helping them focus on high-probability detections by using intelligent detection prioritization and false-positive reduction. It allows PEP and RCA screening, name scans, sanction filtering and batch scanning.

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

31-50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.fineksus.com

COMPANY

Finologee

AREA OF EXPERTISE

· Financial services

SOLUTION

ENPAY

ENPAY is a professional payment and multi-bank account access management platform for the finance industry.
Users can streamline and monitor their payment initiation, validation and reporting workflows, manage all incoming and outgoing payments, and connect to the international PEPPOL network.

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

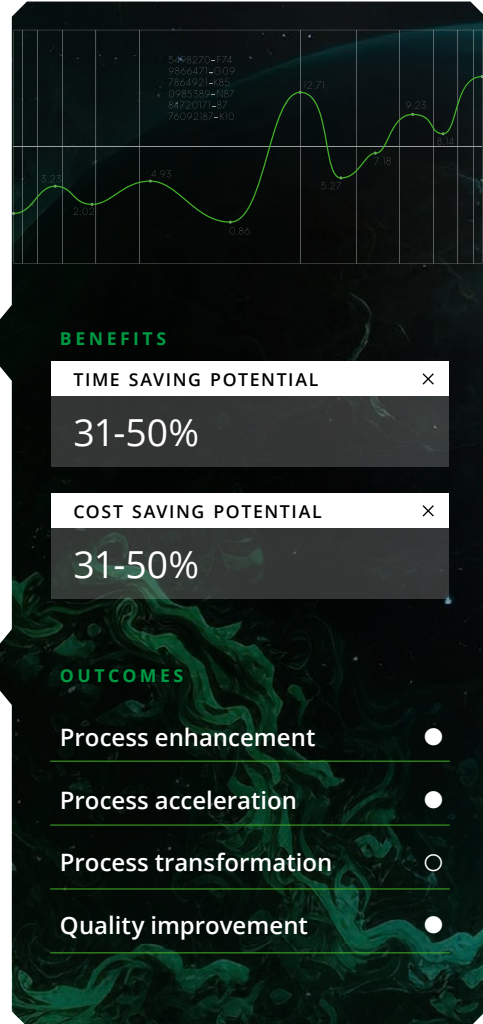www.finologee.com

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COMPANY

Four Eyes Financial

SOLUTION

The Four Eyes Intelligent Compliance Platform

The Four Eyes Intelligent Compliance Platform provides a variety of modules for both head offices and advisors of organizations, including Onboarding; Trade Suitability & Surveillance; Shelf Radar; Point of Sale Product Comparison; Approved Product Management; and Discovery Zone.

BENEFITS

TIME SAVING POTENTIAL	×
31-50%	

COST SAVING POTENTIAL	×
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

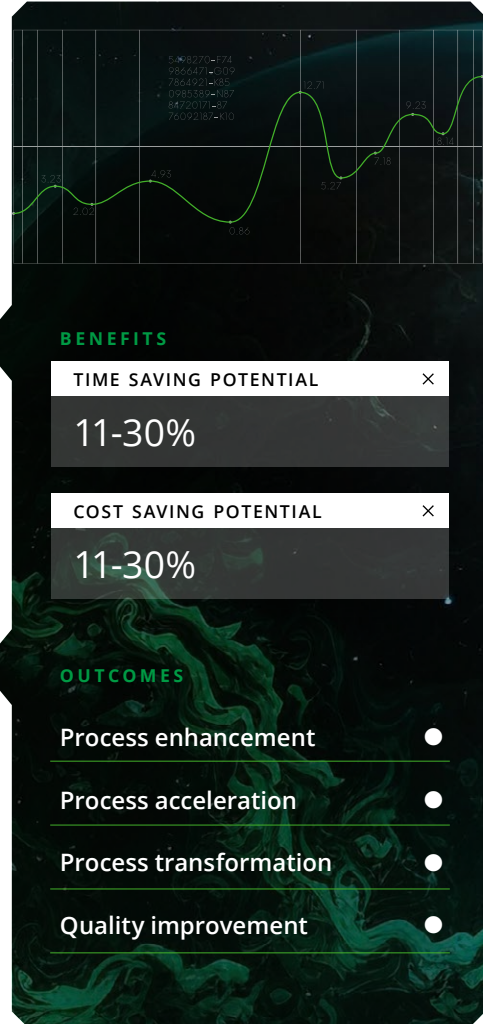|	
Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.foureyes.financial.com

COMPANY

Grath Ltd

SOLUTION

Grath Platform

Grath is a governance risk and control platform that allows organizations to perform risk management and risk scoring, and map a controls inventory to rules and obligations. It processes and stores auditable evidence to demonstrate firms' compliance, maturity and capabilities.

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

- Financial services

TECHNOLOGY

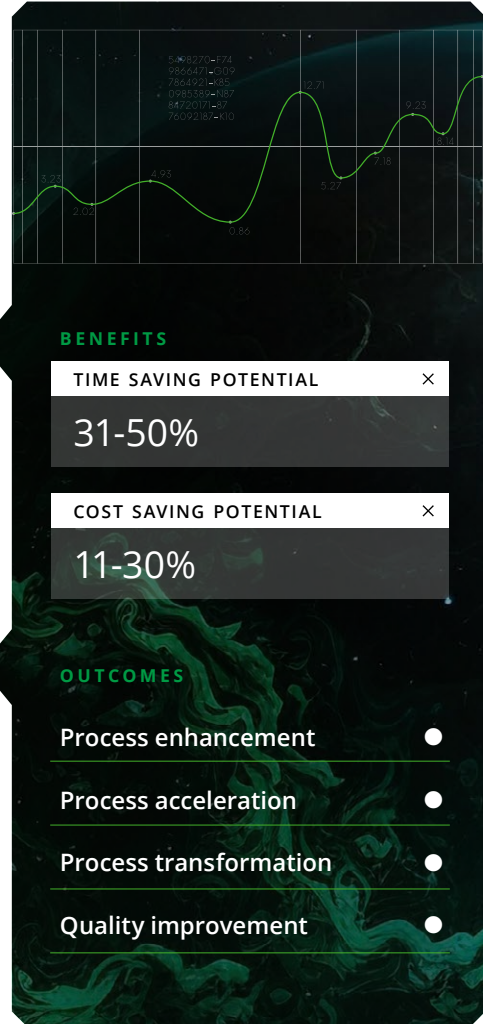|	
Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.grath.com

COMPANY

Harpocrates

SOLUTION

HARPOCRATES

HARPOCRATES is a Compliance-as-a-Service (CaaS) platform that provides organizations with a highly automated technology stack to analyze documents and contracts, and convert laws and regulations into digital assets to ensure compliance or contract understanding.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

- Financial services
- Manufacturing
- Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.harpocrates-corp.com

COMPANY

HelloFlow

SOLUTION

HelloFlow

HelloFlow is an innovative no-code, drag-and-drop builder for client onboarding, monitoring and digital workflow solutions. It provides a range of services and tools, including KYC, KYB, contract handling, application lifecycle management (ALM), digital identification, customer relations management, flow builder, risk engine and customer portal, and can be customized to the company's needs.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
No information available	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

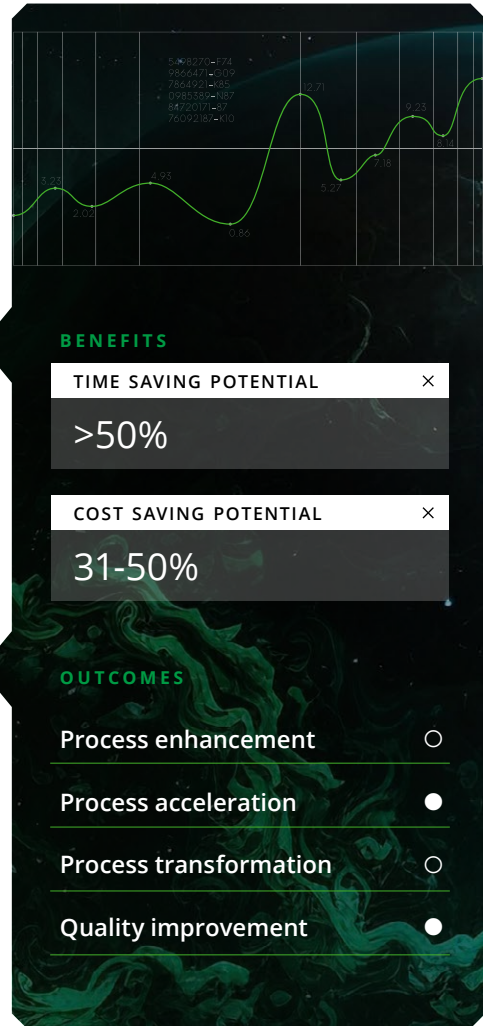|	
Application Program Interface (API)	○
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	●
Voice and text recognition	○

WEBSITE

www.trulioo.com

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COMPANY

InvestorCOM

SOLUTION

InvestorCOM Compliance Platform

The InvestorCOM Compliance Platform for wealth and asset managers includes RolloverAnalyzer TM, a tool providing a single workflow for recommending, documenting and disclosing rollovers; PeerCompareTM, a tool showing reasonably available alternatives that have been considered; and ShelfMonitor TM, a tool that monitors and manages an investment product shelf in real-time.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ○

Process acceleration ●

Process transformation ○

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
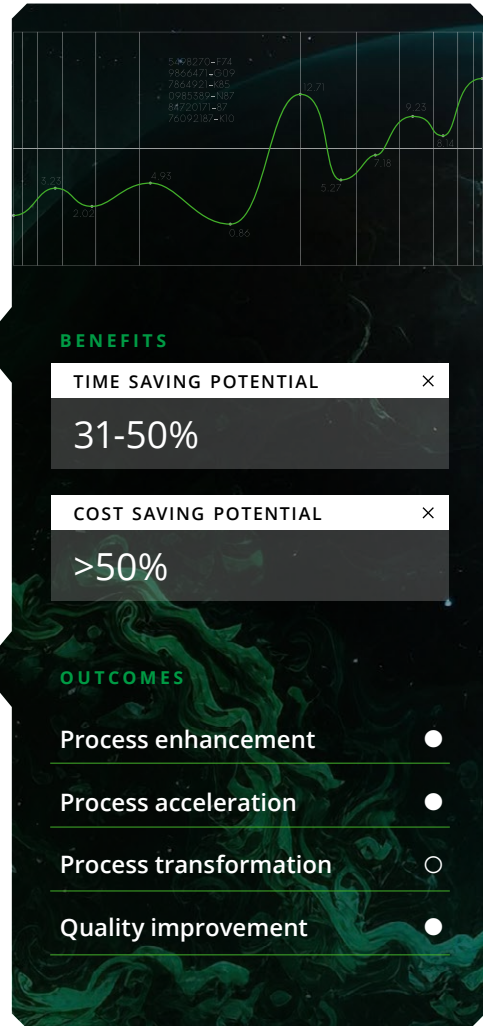
www.investorcom.com

COMPANY

KYC2020

SOLUTION

DecisionIQ

DecisionIQ is an API-first Software-as-a-Service (SaaS) solution for enterprises that is easy to integrate. This global sanction, PEP and adverse media screening solution allows users to screen thousands of global news sources with a structured, de-duplicated, and regularly updated AML-watchlist database of over 1,500 public sources across 193 countries. The AI-based service auto-clears false positives and delivers a more than 70% reduction in false positives, a more than 50% reduction in manual workloads, and a more than 35% reduction in total onboarding and ongoing monitoring costs.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.kyc2020.com

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COMPANY
Lawsnote

SOLUTION
Lawsnote Compliance System

Lawsnote Compliance System is a customizable solution for highly regulated organizations, such as banks and insurance, financial and logistics companies. It supports legal compliance for automation work processes, enables quick responses to government regulations, and determines the necessity of internal regulation amendments. Three main modules are provided: auto notification, regulation-linking AI services, and an internal notification platform.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
No information available	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

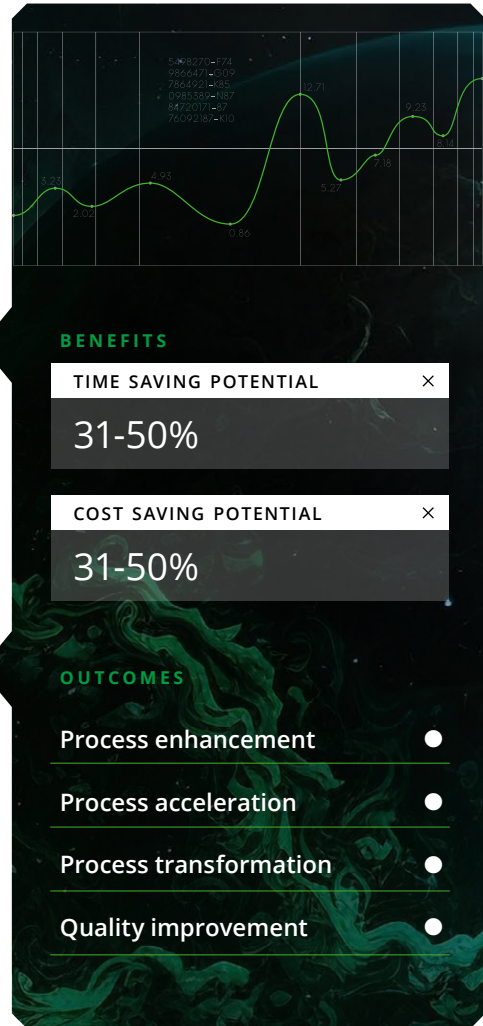|	
Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.about.lawsnote.com

COMPANY
MCO
(MyComplianceOffice)

SOLUTION
MyComplianceOffice

MyComplianceOffice is a powerful compliance management platform with a centralized data center, allowing companies of all sizes to meet their evolving regulatory needs and ensure compliance more easily. It provides different services all from one place, including know your employee, know your transactions, know your third party, and know your risk.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.mycomplianceoffice.com

COMPANY

Microvista Technologies

SOLUTION

GST

GST is a tax compliance software that helps businesses manage and file their goods and services tax returns. The solution allows the automation of calculating, recording and reporting processes.

BENEFITS

TIME SAVING POTENTIAL	×
1-10%	

COST SAVING POTENTIAL	×
1-10%	

OUTCOMES

Process enhancement ○

Process acceleration ○

Process transformation ○

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	○
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.microvistatech.com

COMPANY

My Compliance Centre

SOLUTION

My Compliance Centre

My Compliance Centre is a single, shared and integrated cloud-based compliance management solution for regulated financial services firms. It provides centralized task management, integrated document management and compliance monitoring, replacing a complex network of worksheets, documents and systems. The solution is flexible and easy to configure.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.mycompliancecentre.com

COMPANY

Napier

SOLUTION

Napier Continuum

Continuum is an all-in-one solution that equips organizations with a robust financial crime risk management system that spans their entire risk profile. As a modular solution, clients can configure their compliance systems according to their individual requirements. The solution covers transaction monitoring, client screening, transaction screening, client activity reviews, regulatory reporting management, client risk assessment, and KYC onboarding.

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

31-50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ●

Blockchain ○

Cloud computing ●

Robotics ○

Smart contracts ○

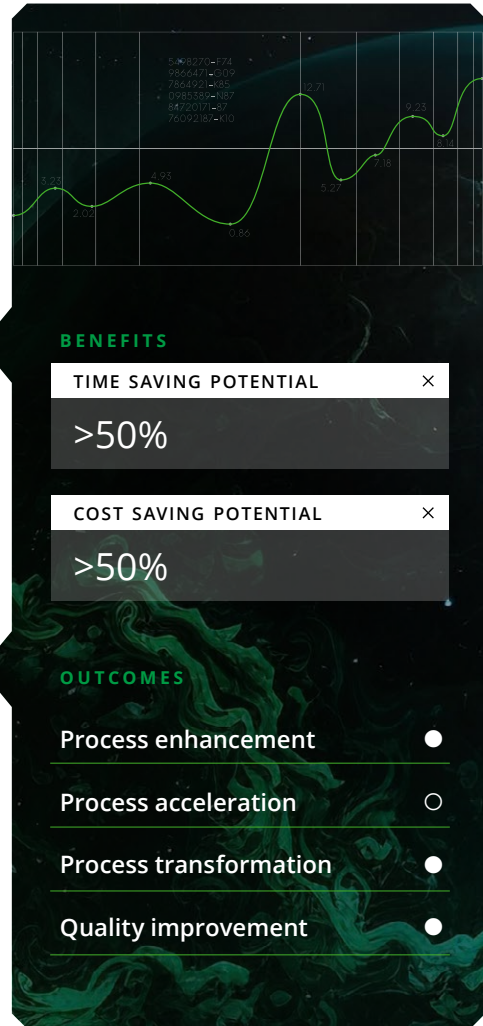Voice and text recognition ○

WEBSITE

www.napier.ai

COMPANY

PerformLine

SOLUTION

PerformLine

PerformLine is a regulatory and compliance monitoring platform that empowers compliance teams to control web, call, messaging, email, document, and social media risk with a unified solution. It provides organizations with comprehensive coverage across all marketing channels and a complete view of risk.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.performline.com

COMPANY

Polixis

AREA OF EXPERTISE

· Financial services

SOLUTION

ARDIS

ARDIS is designed to help front-office and compliance teams conduct complex AML, PEP, sanctions and KYC research, enhancing their existing KYC review and supporting new onboarding. The solution allows interconnection of data and features a pricing architecture for end users

BENEFITS

TIME SAVING POTENTIAL	×
>50%	

COST SAVING POTENTIAL	×
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	○
Process transformation	●
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	●
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.polixis.com

COMPANY

PRIVAPI

SOLUTION

Privapi Portal

The Privapi Portal allows organizations to partially automate GDPR data subject access requests, by recording these requests and verifying requesters' identities.   It enables the system-based creation of individualized responses and an end-to-end encrypted communication channel to securely exchange messages and files. The tool systematically integrates all entities in the responding organization by assigning roles and responsibilities.   Other features include deadline monitoring, management overview, notifications via email, and an audit trail for evidence obligations.

BENEFITS

TIME SAVING POTENTIAL ✕

31-50%

COST SAVING POTENTIAL ✕

31-50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare
· Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.privapi.io

COMPANY

Red Marker

SOLUTION

Red Marker

Red Marker analyzes document text and images to ensure brand and regulatory compliance up to 30 times faster than manual review. By supporting numerous file types, organizations can identify potential legal and brand risks in any document. From checking for updated licensing and disclaimers to ensuring consistency in web content, Red Marker helps companies effectively manage their online presence to comply with regulations.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.redmarker.ai

COMPANY

RegLab

SOLUTION

Legal Compliance Software

Legal Compliance Software allows companies to entirely digitalize their AML process according to automated workflows. The Regulatory Lab digital process covers all the AML Directive's requirements and is updated in the event of legislative changes. It ensures all steps are fully and logically registered, allowing users to submit an audit trail with extensive supporting documents during audits.

BENEFITS

TIME SAVING POTENTIAL	×
>50%	

COST SAVING POTENTIAL	×
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.reglab.com

COMPANY

RegMetrics

SOLUTION

RegMetrics

RegMetrics helps organizations identify the general safety and performance requirements for their medical devices. It allows firms to efficiently classify medical devices and retrieves specific standards and test houses relevant to the user.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ○

Quality improvement ○

AREA OF EXPERTISE

· Healthcare

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.reg-metrics.com

COMPANY
Relata

SOLUTION
Relata

Relata offers multiple services for organizations, including Relata Track, a detailed email engagement tool that tracks who is interacting with your emails, and Relata Explore, a fully automated, organization-wide network mapping tool that allows users to view who knows whom. Organizations can also harness advanced data techniques to score and monitor the strength of relationships, such as Relata Report, a reporting capability for relationship data, and Relata Data, a fully automated relationship tracking tool.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.relata.ai

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COMPANY
Sanction Scanner

SOLUTION
AML Screening

AML Screening offers organizations a comprehensive suite of products to mitigate financial crime risk. Its customer screening tool performs AML checks on customers before onboarding to ensure they are not involved in money laundering, terrorist financing, or fraud. The transaction screening feature enables businesses to check third-party transaction owners and beneficiaries in real-time to prevent suspicious transactions.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE
· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.sanctionscanner.com

COMPANY

Sanctions.io

SOLUTION

Sanctions.io

Sanctions.io is a smart AI-powered sanction, PEP and criminal watchlist screening solution for companies' AML, KYC and trade compliance needs. Users have access to worldwide sanctions lists, criminal watchlists and PEP data, and can screen against high-risk jurisdiction lists, including the FATF blacklist/grey list and FinCen.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

- Financial services
- Manufacturing
- Retail

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.sanctions.io

COMPANY

Sinpex

SOLUTION

Sinpex Platform

Sinpex is a platform that enables the complete automation of organizations' B2B KYC checks internationally, improving data quality. It extracts all data from primary sources, such as official documents, which can be obtained worldwide in real-time.

BENEFITS

TIME SAVING POTENTIAL ✕

31-50%

COST SAVING POTENTIAL ✕

31-50%

OUTCOMES

Process enhancement ⬤

Process acceleration ⬤

Process transformation ⬤

Quality improvement ⬤

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ⬤

Big data and analytics ⬤

Blockchain ◯

Cloud computing ⬤

Robotics ⬤

Smart contracts ◯

Voice and text recognition ◯

WEBSITE

www.sinpex.ch

COMPANY

Skillcast

SOLUTION

Skillcast Compliance Portal

Skillcast Compliance Portal is a learning management system that allows companies to create off-the-shelf e-learning course libraries; policy attestations, staff surveys and declarations; compliance registers for recording activities like gifts, hospitality, personal account dealing and whistleblowing; and record in-person training with the Training 360 tool.

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	○
Process transformation	●
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.skillcast.com

COMPANY

SmartKYC

SOLUTION

SmartKYC

smartKYC's technology drives faster, better and more cost-effective KYC at every stage of the relationship – liberating human effort to focus on decision-making rather than laborious research. smartKYC fuses artificial intelligence with linguistic and cultural sensitivity and deep domain knowledge to set new standards for KYC quality, whilst transforming productivity and hardwiring compliance conformance.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.smartkyc.com

COMPANY

Surety

SOLUTION

Surety

Surety helps companies meet their regulatory obligations irrespective of sector or jurisdiction. The toolset covers key compliance processes, including regulatory document interpretation/ disambiguation via OCR test analysis; mapping of regulatory text to internal controls; review of compliance requirements, evidence provision and compliance scoring; compliance data visualization and analytics and internal/ external compliance reporting.

BENEFITS

TIME SAVING POTENTIAL	✕
11-30%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Manufacturing

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	●

WEBSITE

www.complianceasaservice.com

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COMPANY

TAINA Technology

SOLUTION

TAINA FATCA & CRS Validation Platform

The TAINA FATCA & CRS Validation Platform is a fully automated, flexible and lightweight solution for organizations. The platform allows front- and back-office compliance operations, covering the customer lifecycle management journey from document request to validation, audit and reporting.

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

>50%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

- Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.taina.tech

COMPANY

VerifyVASP

SOLUTION

VerifyVASP

VerifyVASP provides counterparty due-diligence support to virtual asset service providers (VASPs), enabling the immediate and secure transmission of travel rule data that is fully encrypted, decentralized and end-to-end.
The solution is easy to integrate by downloading and deploying an enclave server in organizations' infrastructure.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	○
Process transformation	●
Quality improvement	○

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.verifyvasp.com

COMPANY
Winnow

SOLUTION
Winnow

Winnow's automated regulatory compliance platform helps businesses stay current with regulatory requirements. By providing constantly updated information, businesses can avoid the pitfalls of using old, inaccurate guidance that can lead to costly mistakes. Organizations can harness a comprehensive suite of features to manage their regulatory compliance more effectively, including the ability to rapidly generate state and federal compliance surveys.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.winnow.law

106

05

Transaction Monitoring

Solutions for real-time transaction monitoring and auditing. Leverage the benefits of distributed ledger through blockchain technology and cryptocurrency.
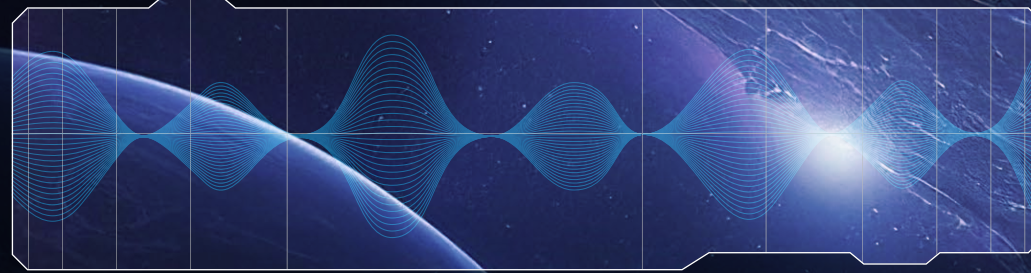
POINT OF VIEW

Vneuron

QUESTION_01

Let's start with a brief introduction—what can you tell us about Vneuron and your RegTech offering?

Vneuron provides comprehensive financial crime technology solutions. Our team stays at the forefront of the industry by continually striving to combine the latest agile and modern technologies to create advanced enterprise solutions that set new industry standards.

This means that all financial institutions, whether top-tier banks or startup FinTechs, do not need to choose between having comprehensive AML controls and responding to increasing operational excellence requirements.

QUESTION_02

What are the concrete challenges/use cases that your solution addresses?

As AML compliance is constantly evolving due to regulatory changes, sophisticated ML practices, and growing cash flows and money channels, it consumes more resources and is more difficult to achieve with legacy frameworks. Therefore, we designed a robust technology solution providing financial institutions a holistic approach to modern challenges:

• **Evolutive and customizable:** catering for regulatory, IT, infrastructure and organizational changes.

• **Seamless:** enabling organizations to fully address their regulatory requirements and streamline business processes and monitoring to achieve operational efficiency.

• **Supports smart decision-making:** advanced screening and monitoring algorithms provide explainable outputs and visual analytics.

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POINT OF VIEW

Vneuron

QUESTION_03

Why do you think this is the right time to implement your services?

Financial crimes are growing in number and sophistication, making traditional AML approaches less effective. In tandem, regulations require stronger AML/CFT controls and place significant fines on non-compliant institutions, causing serious reputational damage.

We believe financial institutions have a unique opportunity to adopt behavioral, modern, and comprehensive AML technologies. By embracing holistic solutions integrating cutting-edge technology, institutions are not limited by siloed and narrow-scoped approaches and can achieve a new level of AML effectiveness.
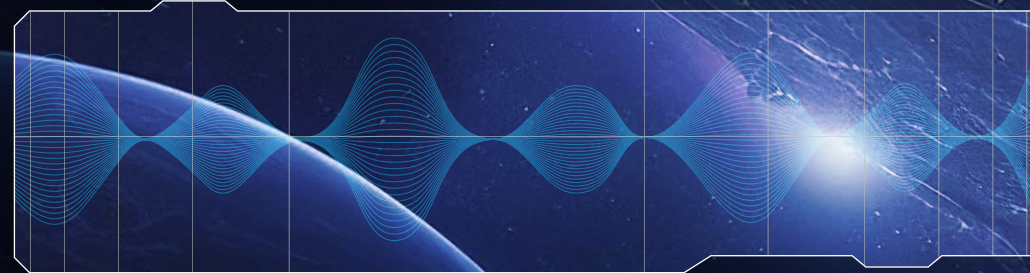
QUESTION_04

What are the key benefits that transaction monitoring solutions can provide to clients?

Novel transaction monitoring (TxM) systems offer robust and resilient responses to financial institutions' expectations:

- **Accuracy:** ML behavior detection curbs false positives, avoiding swamping teams with useless investigations. Accuracy is achieved by simulating and finetuning monitoring rules.
- **Explainability:** once a red flag is detected, TxM provides a rationale for the behavior's detection.
- **Automation:** investigation processes are automated up to the submission of suspicious transaction reports.
- **Audit-proof:** because every decision is auditable, TxM records every rationale used to make recommendations and decisions.
- **Future-proof:** evolving monitoring rules, reporting and workflows are customizable through user-friendly graphical user interfaces.

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COMPANY

Ceptinel

SOLUTION

CEP (Complex Event Processing)

CEP allows organizations to process a large and complex amount of information to detect money laundering, providing a comprehensive view from initial alert to final report. Users can investigate and report potential compliance or AML events in real-time and identify fraud or abuse by clients, brokers or insurance adjusters. They can also detect and cancel unauthorized operations between brokers and clients, automatically identify internal fraud schemes or suspicious activity such as accessing unauthorized data, and detect fraud before it causes harm by preventing malpractices.

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ○

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ○

Blockchain ○

Cloud computing ○

Robotics ○

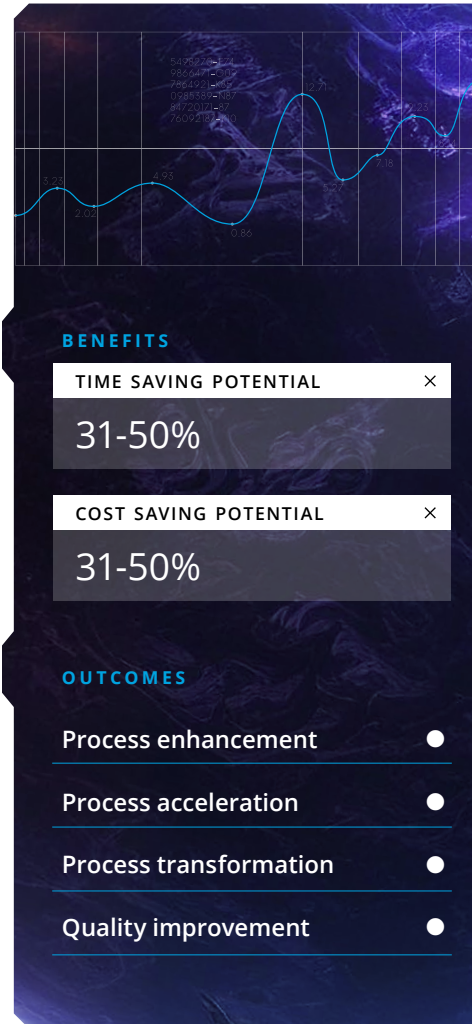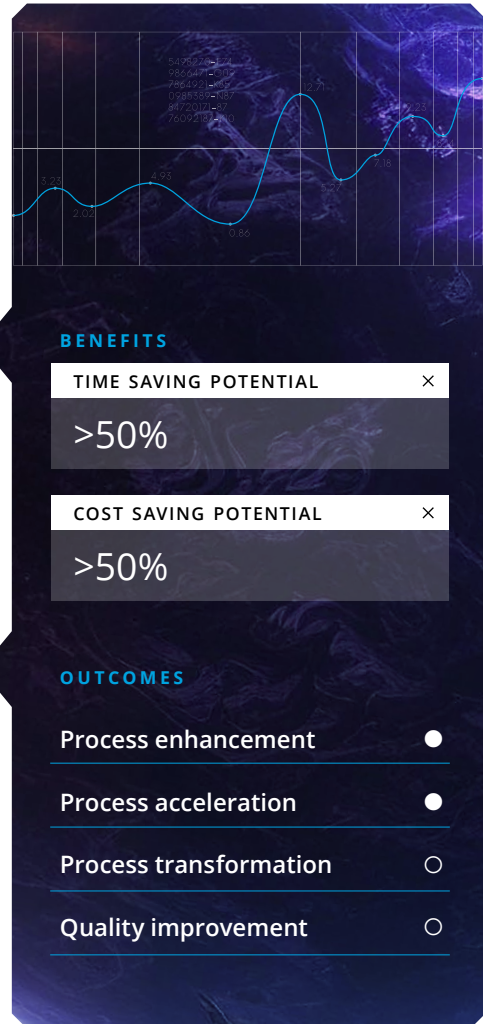Smart contracts ○

Voice and text recognition ○

WEBSITE

www.ceptinel.com

COMPANY

Chainalysis

SOLUTION

Chainalysis KYT (Know Your Transaction)

Chainalysis KYT (Know Your Transaction) is a cryptocurrency transaction monitoring solution that automatically detects and alerts organizations to potential high-risk activity patterns. These include anomalous transactions, scams, darknet markets, and addresses on the OFAC's sanctions list. Users can monitor transactions across any cryptocurrency, understand their overall risk, receive automated alerts for suspicious activity, and leverage data trusted by law enforcement and regulatory agencies globally. The solution reduces manual workflows and is easily customizable to particular risk appetites.

BENEFITS

TIME SAVING POTENTIAL ✕

>50%

COST SAVING POTENTIAL ✕

11-30%

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ○

Quality improvement ●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API) ●

Big data and analytics ●

Blockchain ●

Cloud computing ○

Robotics ○

Smart contracts ○

Voice and text recognition ○

WEBSITE

www.chainalysis.com

COMPANY

I-Spiral

SOLUTION

RegTek+

RegTek+ is a unified KYC and AML platform. This solution allows a seamless onboarding and ongoing monitoring; it centralizes compliance and case reporting with real time monitoring. The solution provides an easy parameterization, risk -based approach.

BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ●

Process acceleration ●

Process transformation ●

Quality improvement ●

AREA OF EXPERTISE

· Financial services
· Healthcare

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	●
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

🔗

WEBSITE

www.complytek.ai

COMPANY
Divly

SOLUTION
Divly

Divly is an international cryptocurrency tax platform for investors and accountants, allowing them to track their cryptocurrency portfolios and generate tax reports that comply with local regulations. Users can aggregate their entire cryptocurrency activity in one place and generate tax reports with all necessary information for their tax declarations. Accountants can gain an overview of all their clients, check their clients' tax calculation progress, and access their clients' accounts to perform actions on their behalf.

AREA OF EXPERTISE
· Financial services

BENEFITS

TIME SAVING POTENTIAL	✕
>50%	

COST SAVING POTENTIAL	✕
>50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	○

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE
www.divly.com

COMPANY

KeeSystem

SOLUTION

KeeSense

KeeSense is a portfolio management software for asset management companies, wealth management firms and family offices, allowing them to connect and communicate with key players such as clients, custodian banks, regulators and auditors. This solution provides CRM with a compliance aspect, including KYC, document management, client onboarding, and suitability and appropriateness; a portfolio management system offering benchmarking processes, asset consolidation, performance calculation and cash flow; risk management; an ordering module; and a client portal.
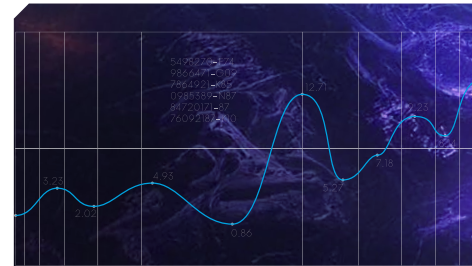
BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
11-30%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

- Financial services

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	○
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.keesystem.com

COMPANY

Nerodata

AREA OF EXPERTISE

· Financial services

SOLUTION

Nero Compliance Cloud

Nero Compliance Cloud is an all-in-one compliance software that helps companies with all their risk mitigation needs. The solution allows AML name screening; transaction monitoring; AML and fraud rule and scenario creation and monitoring; and merchant and customer risk assessment.
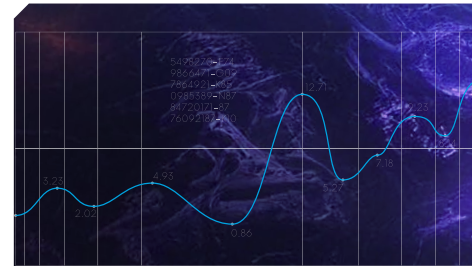
BENEFITS

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COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement ○

Process acceleration ○

Process transformation ○

Quality improvement ○

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.nerodata.com

COMPANY

Spotixx

SOLUTION

AMALIA

AMALIA is a workbench solution that allows organizations to build, test and simulate models to score and auto-close AML alerts, providing a fully integrated production process for daily scoring and decision recommendation on AML alerts. The solution has a full score and decision explainability through transparent, rule-based models and comprehensive decision audits, and integrated live model validation and monitoring to track model performance over time.
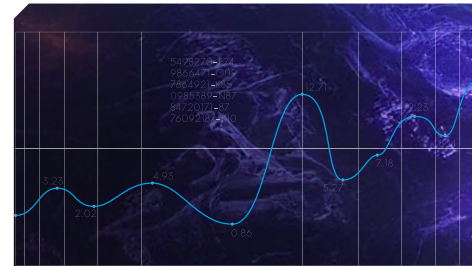
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OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

AREA OF EXPERTISE

· Financial services

TECHNOLOGY

Application Program Interface (API)	○
Big data and analytics	●
Blockchain	○
Cloud computing	●
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.spotixx.com

COMPANY

Vneuron Risk and Compliance

AREA OF EXPERTISE

· Financial services

SOLUTION

Reis Risk and Compliance Suite

Reis Risk and Compliance Suite is a sophisticated anti-money laundering (AML) solution for financial institutions, equipping banks, insurance companies, FinTechs, payment providers, and investment institutions with state-of-the-art functionalities and customization options for AML compliance.
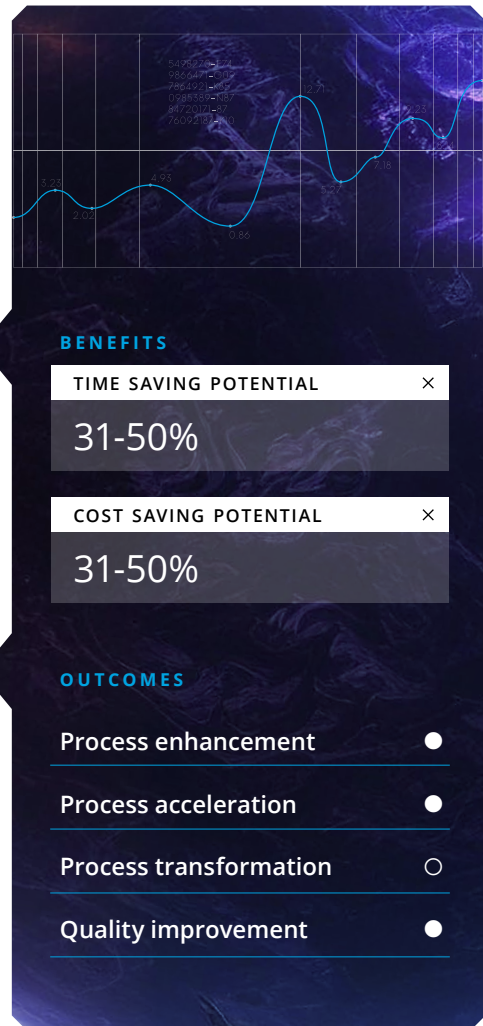
BENEFITS

TIME SAVING POTENTIAL	✕
31-50%	

COST SAVING POTENTIAL	✕
31-50%	

OUTCOMES

Process enhancement	●
Process acceleration	●
Process transformation	○
Quality improvement	●

TECHNOLOGY

Application Program Interface (API)	●
Big data and analytics	●
Blockchain	○
Cloud computing	○
Robotics	○
Smart contracts	○
Voice and text recognition	○

WEBSITE

www.vneuron.com

Deloitte RegTech assets

Launch your regulatory mission with Deloitte's innovative RegTech products and services.

REGULATORY REPORTING

Regulatory Watch

Deloitte's Regulatory Watch alert service allows organizations to anticipate compliance challenges and transform their regulatory obligations into opportunities. It helps firms foresee changes with ample time to comply with essential laws and develop their business strategy with clear regulatory priorities.

Luxembourg

IDENTITY MANAGEMENT AND CONTROL

AML Cloud Platform

AML Cloud Platform offers organizations a cloud-native, ready-to-use transaction monitoring (TM) platform that ingests, prepares and analyzes data to generate AML alerts on suspicious transactions.

The AML pathway's core business process supports the entire TM cycle, from defining the model to evaluating outcomes and supporting continuous improvement.

The Netherlands

IDENTITY MANAGEMENT AND CONTROL

KYC Report Automation (KYC RA)

KYC RA automatically creates AML suspicious activity and risk reports for organizations based on AI and natural language generation (NLG) technologies. Organizations gain a high-quality holistic KYC view while reducing their manual workload and associated costs, and can also compensate for a lack of qualified compliance staff.

Germany

IDENTITY MANAGEMENT AND CONTROL

Versatile Customer Underwriting (VCU)

Deloitte and Web Shield's VCU solution offers a robust due diligence process, testing organizations' existing systems and processes for potential weaknesses. By using proven intelligence screening and web crawling technologies, VCU analyzes organizations' control structures, identifies possible risks not yet recognized or sanctioned, and issues the results in a report.

Germany

Deloitte RegTech assets

Launch your regulatory mission with Deloitte's innovative RegTech products and services.

IDENTITY MANAGEMENT AND CONTROL

AML-in-a-box

AML-in-a-box offers organizations Deloitte's best-in-class tools, strategies and guidelines for a complete AML framework. An experienced team tailors the features to clients' individual needs, including AML policies and procedures; templates for AML risk assessment and enhancement plans; templates for CDD client file documentation; and TM alert review.

The Netherlands

IDENTITY MANAGEMENT AND CONTROL

Deloitte Know Your Customer (DKYC)

DKYC is an integrated and customizable managed service of multiple KYC, AML and CTF solutions, expertise and workflow management, reducing organizations' onboarding time and enhancing data quality. The multi-channel web-based platform allows organizations to delegate selected activities to Deloitte, including collecting and qualifying data and documents, risk scoring and due diligence, shareholding structure collection, and watchlist screening.

Luxembourg

COMPLIANCE

Deloitte Compliance Monitor

Deloitte Compliance Monitor helps organizations understand and tackle their compliance landscape by distilling compliance literature into doable tasks. By deploying the solution, organizations can automate these tasks and track, report on and monitor their compliance performance.

India

COMPLIANCE

SmartComply

SmartComply is a web-based engine hosted in a secure environment and remotely accessible. It helps compliance and risk control functions effectively identify, assess and mitigate the full spectrum of risks, and follow up on their risk profile, monitoring and internal control deficiencies. Its reporting and aggregation capabilities also support these functions' communication efforts.

Luxembourg

Deloitte RegTech assets

Launch your regulatory mission with Deloitte's innovative RegTech products and services.

TRANSACTION MONITORING

PayTracer

PayTracer leverages Deloitte's anti-financial crime (ATC) expertise with Web Shield's technology infrastructure, providing financial institutions a 360° real-time and modular payment screening solution with transaction tracking and analysis. With only basic payment data —the involved parties' names, IBANs, addresses and transaction amount—PayTracer can produce a risk score for the transaction and its parties. Its case management system also allows users to check and flag transactions for appropriate action.

Germany

TRANSACTION MONITORING

Crypto Guardian

Crypto Guardian translates blockchain transactions into real-world interactions, helping clients of all sectors trace and counter illegal entities and activities like money laundering or terrorist financing. It combines established blockchain analytic tools with state-of-the-art data analytics techniques to deliver tracing, monitoring and forecasting capabilities.

Germany

Let's continue to fuel this Universe together!

RegTech Community of Practice
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If you are a RegTech...

... and want to modify some information on your solution? Please contact our team.

... **and want to be part of our RegTech Universe?** Do not wait any longer and submit your request!

Deloitte.