



## ISO 37001 International Certification of Anti-Bribery Management Systems



ISO 37001:2016 was approved on October 15, 2016 and currently is available for certification. This is a global tool that allows businesses (large, medium, small), public or government agencies and non-profit entities to implement and demonstrate to domestic and international markets that have management systems that combat bribery and corruption.

The adoption of ISO 37001:2016 grants **benefits at domestic and international levels**. For example:

- **Maximize financial profit and/or minimize financial losses** to shareholders through the creation of controls, procedures and processes to mitigate the bribery and corruption in business operations.
- **Create or reinforce an anti-bribery culture** based on the adoption of this certification which it is a distinction for companies and government agencies.
- **Provide a competitive and a differentiator tool** as advantages among the domestic and international markets – showing positive signals that the bribery

risk is minimized, controlled and monitored by controls, processes and procedures.

- **Increase the visibility and reputation** of the brand or public entity in front of the society, customers, vendors and potential investors.
- **Implement a management system** focused on monitoring transactions and human behavior that may be exposed to bribery risk.
- **In case of investigations**, it provides evidence to the company (internally), society, prosecutors or courts (depending on the scope of work and legal instances) that are needed to detect, react, implement and prevent bribery and corruption. ➤



The key elements to adopt ISO 37001:2016, which is certifiable by legal entity, are:

- Commitment of top and senior management. Decide and determine the importance to adopt this certification, the value and competitive advantage.
- As required, ask support from experts in the field and/or have experienced staff, respectively trained and skilled.
- Assessment, design, implement, monitor – focus on execution, results and data driven.
- Ask the main business partners and suppliers to be certified based on the risk appetite.

### Methodology

A risk assessment is carried on by experts in the field of ISO 37001, risk management and compliance with local and international anti-bribery knowledge and experience. The ISO 19600: 2014, Compliance, may be taken as a guidance; however, the ISO 37001 is the key for the certification. It is important that the entity, institution or organization established this certification as a priority in the agendas. It is key the support of the heads of the organization.

The risk assessment generates the input for the planning, design and implementation of the anti-bribery management system.

This system is supported by technology capable of measuring, control, and prevent improper or inadequate human behavior, operations and transactions. The definition and implementation of processes, procedures and controls are key to the success of the system.

ISO 37001 establishes that there must be at least one person (the expert), or one area, that lead this process. The responsible(s) will be trained by the experts of ISO 37001 and anticorruption compliance, who will set up the tone and share the experience how to maintain and operate effectively this management system.

All human patterns are measurable and controllable through monitoring systems. This is accomplished through algorithms and technology. Technological tools are applied and meet corruption risk management experts with specialization in key controls, processes and procedures. It will depend on the size of the organization.

In case of incidents or allegations of bribery and corruption, it should be investigated to determine if it incurred in such acts and the responsible, as applicable. This management system is applicable on any compliance program or for any legal anticorruption

framework in any part of the world, especially in countries where there is a high risk of bribery and corruption and little or no enforcement.

### Deloitte, Forensic Services

Our ISO 37001 service is focus to advice our clients on the readiness to adopt the standard and obtain the certification, based on bribery risk assessments and action plans that can be implemented, considering effective controls, processes and procedures, according to this management system. In addition, based on our capabilities, we support ISO auditors as technical experts during the audit process, as appropriate.

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