



# Auditing PeopleSoft

## Course Schedule – Topics & Activities

### Auditing Environment

- Core PeopleSoft modules
- UNIX/Oracle Basic control mechanisms
- Common organization impacts
- PeopleSoft support resources

### Auditing PeopleSoft Enterprise

- Internal control components
- Common system architectures
- Tables in PeopleSoft
- Internal control considerations
- PeopleSoft support tools
- PeopleSoft and audit materiality

### Security Architecture

- Security mechanisms
- Security architecture components
- Reporting and object security

### PeopleSoft User Authentication

- Authentication process
- Password management
- Enterprise directory and key benefits

### Security Administration

- Configurable components: user id's, permission lists, roles, passwords
- Key functionality: page actions, security and password setup tabs
- Key audit considerations

### Query Security

- Configurable components: query trees, access groups, public queries
- Key functionality: query profiles, query configurations
- Key audit considerations

### Process Scheduler Security

- Configurable components: jobs, security groups, requests
- Key audit considerations

### Object Security

- Configurable components: security rules, PeopleTools, change control
- Functionality: menu access security, object and tool permissions
- Key audit considerations

### Financials Security

- Configurable components: row level security, user preferences
- Control features: ledger security, book security
- Key audit considerations

### HRMS Security

- Configurable components: local country menu, global security, dept. id

- Row-level security
- Key audit considerations

### **Architecture Overview**

- Two-tier architecture — Benefits and shortcomings
- Three-tier architecture — Benefits
- PeopleSoft Internet architecture (PIA)

### **Customizations and Patch Migration**

- Change control rules
- Change control migration path
- Naming conventions
- What's new?

### **Interfaces and Conversions**

- Key process steps
- Uploading data into PeopleSoft
- Control components: version control, error logs, data mapping
- Audit considerations

### **Workflow**

- Basic components: App designer, process maps
- Primary agents
- Rules, roles, routings
- Control features: role user maintenance, approval rule definition
- Audit considerations

### **Financial Reporting Cycle (FCRP)**

- Describe the financial reporting process
- Key segregation of duties
- Key setup and maintenance
- Transaction processing
- Period end close considerations

### **Human Resources and Payroll Cycle**

- Describe the HR and payroll process
- Key segregation of duties
- PeopleSoft HRMS integration
- Table structure and auditing considerations

### **Expenditure Cycle**

- Describe the purchasing and payables process
- Key segregation of duties
- Levels of control for each module
- Matching rules and activities
- Pay cycle functionality

### **Revenue Cycle**

- Describe the revenue process
- Key segregation of duties
- Levels of control

**Course Duration:** up to 3.5 days

**CPE:** up to 32

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