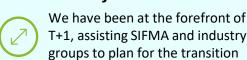
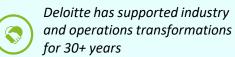
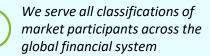
## **Deloitte.** We stand ready to assist with the next phase of the T+1 transition

As firms complete their initial T+1 analysis and readiness assessments, unique, complex bodies of work to complete prior to May 28, 2024 have emerged. Is your organization prepared to execute the next phase of T+1?

### Deloitte has been at the heart of industry transformation efforts for T+1











#### READINESS AND EXECUTION

#### Analysis and requirements gathering

Conducting reviews of existing operational practices and technological capabilities through a T+1 framework, and gathering requirements to determine compliance T+1 regulatory and operational demands

#### **POLICIES AND PROCEDURES**

#### Allocations, confirmations and affirmations

Design, implement, maintain, and enforce reasonably designed written policies and procedures to promote trade allocation, confirmation, and affirmation by end of day on trade date

#### **CLIENT OUTREACH PROGRAMS**

#### Client outreach and education

Formulation of thorough, robust client communication plans to determine that retail and institutional alike clients are aware of future-state operational and regulatory expectations





**Deloitte** is ready to assist with a broad range of offerings to address the challenges of the next phase of

the T+1 transition



#### Testing strategy development and review

Development and refinement of internal, client, and industry testing plans for business groups of across organizations, creating and managing test plans, cases, and scripts and aligning with broader testing strategy

#### TEST EXECUTION SUPPORT

#### Test planning and execution support

Drafting and analyzing test scripts, cases for front to back-office and industry testing cycles, and providing defect / issue management, including identification, resolution and escalation as required

#### PROGRAM MANAGEMENT

### Program reviews and ongoing support

Establishing clear governance structures, aligned with firm's program objectives and scope through close collaboration with change management groups









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# **Deloitte.** Leveraging Deloitte's experience to address T+1 challenges















**New Trade Allocation** and Affirmation **Deadlines** 

**Client Policies and Procedures** 

**Client Relationship** Management

**Testing Strategies,** Plans, and Support **Batch Cycle Integration** 

**Resiliency Testing** 













Understanding your operational metrics and performance, uncovering your principal causes of operational stress and disruption

Designing new, or revising existing, written policies and procedures governing trade allocations, confirmations, and affirmations in compliance with 15c6-2 expectations

Establishing a central client management office, creating bespoke client communication plans across in-scope asset classes and trading activity

Development of a holistic testing strategy, encompassing internal, reciprocal client, and industry testing

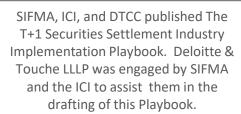
Nightly batch cycle coordination with each impacted process, system or flow to be brought in line in line with industry file transmission times

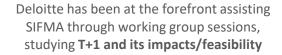
A critical component of tests integrated into firm's testing strategies, creating recovery plans to account for the future-state file transmission times

#### **OUR VALUE PROPOSITION**

HOW DELOITTE CAN HELP

### **Thought Leaderships**









## **Highly Skilled Professionals**

Deep technology bench and highly trained certified professionals, helping digitize, automate, and streamline highly complex processes

Highly scalable and readily deployable project teams providing required execution and implementation





#### **Tools and Accelerators**

Strategic alliances with AccessFintech, for quicker and secure collaboration on exception management in T+1

Strong relationships with market participants and vendors across the trade lifecycle, developed over years of leading key industry transformations

An understanding of critical metrics to drive client outreach and education programs



**Transformation Experience** Valuable insights on industry peers

**Unparallel Industry-wide** 

and their operational challenges stemming from **T+1 gap assessment** collaborative research

Delivering end-to-end business transformations, and well as **niche projects** in the capital market space

Delivery excellence in **T+2** implementation support to market participants across geographies



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