



Regulatory and Financial Crime Conference 2016

Panelist Bios

Adrian Bock

Associate Director, Enforcement, DFSA



Adrian Bock is an Associate Director in the Enforcement Division and joined the DFSA in July 2008. Prior to joining the DFSA, he worked for the Australian Securities and Investments Commission (ASIC), from 2005 to 2008, and the Australian Prudential Regulation Authority (APRA), from 2002 to 2005. Adrian holds Degrees in Economics and Law from the University of Sydney, Australia and a Graduate Diploma in Applied Finance and Investment from the Financial Services Institute of Australasia. Adrian is a certified Anti-Money Laundering Specialist and has been admitted to practice law in Australia since 2002.

Alison Clew

Global AML & Sanctions Consulting Practice Leader, Deloitte



Alison is the leader of the Anti-Money Laundering (AML) and Economic Sanctions practice within Deloitte. Alison leads a global team which assists clients in navigating financial crime challenges, from compliance programs improvement and remediation to investigations and responding to regulators and law enforcement. Her clients are primarily financial institutions, including global banks, investment banks, broker/dealers and asset managers.

Asad Jafree

Managing Partner, Insignia Consulting



Asad is the Managing Partner of Insignia Consulting, which focuses on the Financial Services Industry and advises Central Banks and Ministries of Finance on financial sector reforms. Prior to establishing Insignia Consulting, Asad was with Ernst & Young where he served in various regional roles focusing on the financial services industry across the MENA region. With over 14 years of consulting experience with Big 4 firms, Asad brings experience of leadership roles in providing consulting to several regulators, Investment Banks, commercial banks and private equity houses. Asad has advised and assisted many of the region's largest banks, central banks and regulators on areas such as risk management, strategy, governance, banking sector reforms & financial stability.

Bhavin Shah

Director, Financial Services Regulatory Advisory, Deloitte



Bhavin is a Director with our Financial Services Regulatory Advisory practice for the Middle East Region. He has over a decade of advisory experience with Regulatory Bodies, Governments, Banks, Sovereign Funds, Capital Markets, Insurance Companies and Asset Management Companies in Asia, Middle-East, Africa and Europe. He is a trusted advisor to Board of Directors, CXOs and regulators with a wide range of experience that includes directing and delivering major projects/programs in the areas of; Regulatory Transformation, Operational Due Diligence, Performance Monitoring & Improvement, Forensic Investigation, Anti Money Laundering (AML), Regulatory Compliance, and Enterprise Risk Management. Bhavin holds an MBA in Strategy and Finance.

Collin Lobo

Regional Head of FCC MENA, HSBC



Collin joined HSBC in August 2014 as the Regional Head of FCC MENA from Standard Chartered Bank based in the UAE. Collin has been a practitioner in the field of financial crime risk management for over 20 years having trained and practiced with a Big four accounting firm where he specialized in forensic accounting and regulatory advisory services. Collin is a Fellow of the Association of Chartered Certified Accountants.

Jacques Visser

Chief Legal Officer, DIFC Authority



Holding the dual roles of Chief Legal Officer of DIFC Authority and Secretary to the DIFC Authority and DIFC Investments Boards, Jacques Visser is responsible for overseeing the DIFC Authority Legal Affairs division. Mr. Visser brings more than 20 years of experience as a financial services lawyer to his role. With expertise in multi-jurisdictional investment structures, funds, asset management and regulatory matters in emerging markets, as well as investment strategies and related M&A transactions, he has established a number of landmark funds and investment structures relevant to the MENA region. Jacques has been listed by The Legal 500, an international guide to top-tier legal firms and practitioners, as one of the top three most notable lawyers in the MENA investment funds industry, while MENA Fund Manager recognised him as one of the 50 most influential individuals in the industry.

John M. Wagner, III

Managing Director, Advisory Anti-Money Laundering and Sanctions Consulting, Deloitte



John is a Deloitte Advisory Managing Director in Deloitte's Anti-Money Laundering and Sanctions Consulting Practice. He advises clients on matters relating to Bank Secrecy Act/Anti-Money Laundering compliance, including program assessments, enterprise risk assessments, CDD and EDD services, transaction monitoring, sanctions services and governance. Prior to joining Deloitte, John was the Director for Bank Secrecy Act and Anti-Money Laundering Compliance Policy at the Office of the Comptroller of the Currency (OCC). He also served as Chair of the Federal Financial Institutions Examination Council's (FFIEC) BSA/AML Working Group and was Co-Chair of the Supervision and Enforcement Subcommittee for The Department of the Treasury's Task Force on the U.S. AML Framework.

Julian Wynter

Chief Executive Officer, Standard Chartered Bank, UAE



Julian Wynter is the Chief Executive Officer for Standard Chartered Bank, UAE since October, 2015. In his previous role, Julian was Group Head of Internal Audit reporting directly to the Group Chief Executive Officer providing independent assurance to the management and the Board on the effectiveness of the systems and controls within the Bank.

Julian is a seasoned banker with over 30 years of experience, he brings a wealth of banking and audit experience to the UAE, having led the Bank's Malaysia and Japan business as CEO and having held several senior management roles in audit.

Kaiser Naseem

Head of Bank Advisory Services MENA and Central Asia, IFC



Kaiser heads IFC's Bank Advisory Services in the MENA and Central Asia region responsible for providing advisory services to the region's banks, including promoting Shariah compliant banking products. Kaiser has over 36 years of international experience in banking and financial sectors, he has conceptualized and developed new institutions and business models in challenging environments. Core competencies include New Ventures, SME Banking, Project Financing, Team Building, Change Management, Business Development and Good Governance. Kaiser has a MBA in Finance from the Asian Institute of Management Manila and a MS in Metallurgical Engineering from Moscow Institute of Steel & Alloys.

Khalid Shaikh

Head of Compliance & Bank MLRO, Mashreq



Khalid is the Head of Compliance and the MLRO at Mashreq Bank. His expertise spread over 20 years of banking experience with a post graduate degree in Business Management and Finance. Through his years he has worked for large multinational and regional banking corporations at Senior level Compliance/AML positions adding value in fortifying Compliance/Regulatory Risk for the organizations, Formulating Policies and Procedures to mitigate Compliance/ Financial Crime/ Operational Risks inculcating a strong "Regulatory Compliance Culture".

Lawrence Paramasivam

Director, Conduct of Business, Supervision, DFSA



Lawrence joined the Dubai Financial Services Authority (DFSA) in 2007 and was appointed as Director, Conduct of Business, in the Supervision Division in September 2014. Lawrence is responsible for the supervision of conduct of business risks, including Financial Crime risks, for DFSA regulated entities in the DIFC. With 20 years of legal and regulatory experience, he leads a team responsible for the supervision of funds and licensed firms which includes fund managers, asset managers, private banks, investment managers, and firms offering foreign exchange products. Lawrence has also held roles within the Policy team, Legal team and Supervision Division of the DFSA. Prior to joining the DFSA, he held senior roles at the Australian Securities and Investments Commission within the Compliance and Enforcement Division.

Mandy Green

Principle Director, Financial Services Regulatory Advisory, Deloitte



Mandy is a Principle Director for the Financial Services Regulatory Advisory team in Dubai focusing principally on financial crime investigations and regulatory compliance. Over the last 15 years Mandy has worked on some of the firm's highest profile and complex regulatory investigation engagements and has led multidisciplinary teams working on cross-jurisdictional investigations and reviews. Mandy regularly advises financial institutions and regulators on financial crime and compliance related matters and has worked closely with a variety of local and international clients to manage the bribery and corruption risks associated with working in the region. Mandy is a Chartered Accountant and has presented on a number of regulatory related topics.

Mazen Boustany

Partner, Baker & McKenzie LLP



Mazen Boustany is a Partner at Baker & McKenzie and has over 19 years' experience in banking and finance law in the Middle East. He advises on all aspects of banking and finance law including Islamic finance, structured finance, securitisation, private equity, financial structuring and restructuring, cross-border transactions and investment funds. He is also experienced in domestic and international arbitration and advises several governmental ministries and governmental agencies on all aspects of financial laws and regulations. Mazen is a certified Professional Director from the Mudara-Institute of Directors and is a member of the UK Securities Industry Management Association (SIMA), a certified Basel III professional (2011) and DIAC qualified arbitrator as well as a registered practitioner before the DIFC courts.

Michael Wong

Associate Director, Supervision, DFSA



Michael is an Associate Director in the Supervision Division, he leads the DFSA's AML, CTF and Sanctions compliance initiatives for regulated firms and Designated Non-Financial Businesses and Professions (DNFBP) in the DIFC. Michael joined the DFSA in March 2008 in the Enforcement Division where his responsibilities included the investigation of reported misconduct and the assessment of Complaints against regulated Firms. Prior to joining the DFSA, he held a position at the Australian Securities and Investments Commission (ASIC) as State Manager of National Assessment and Action. He holds a Bachelor and Masters Degree in Law (admitted to practice in 2000), ICA Diploma in AML, and is CAMS certified.

Muneer Khan

Partner, Financial Markets UAE, Simmons & Simmons



Muneer heads the award-winning Middle East financial markets practice at Simmons & Simmons. He is an internationally recognised expert in Middle East financial services regulatory issues, in particular relating to the financial institutions and asset management and funds sectors. He also has significant experience in structuring a wide range of funds and financing transactions. He has many years of experience in advising some of the world's leading financial institutions and asset managers on complex regulatory issues and investigations. He has also advised a number of governments around the world on major financial regulatory reform initiatives.

Neil Hargreaves

Managing Director, Deloitte



Neil leads Deloitte Forensic in the Middle East, having previously been a Forensic Partner in Deloitte LLP United Kingdom. He is a Chartered Accountant and has over 25 years' experience in corporate investigations and disputes. Neil has assisted many clients in commercial disputes, he specialises in helping clients maximise revenue from revenue sharing agreements, including analysis of agreements, financial investigation and reporting on unpaid royalties. Neil holds a bachelors from the University of Bristol (UK) and is a member of the Institute of Chartered Accountants in England and Wales (ICAEW) and a member of the Chartered Institute of Arbitrators (CI Arb).

Ralph Stobwasser

Managing Director, Deloitte



Ralph is a Deloitte Forensic partner focusing on Financial Crime investigations and Business Intelligence Services. He has over ten years of experience in the Middle East, of working on and leading fraud, corruption and regulatory investigations, asset searches and litigation support assignments. Ralph also leads a team of professionals that manage enhanced KYC/AML diligence and third-party screening programmes, as well as bespoke integrity due background investigations for financial institutions and corporate clients.

Rovine Chandrasekera

Managing Partner, Stephenson Harwood



Managing partner of the firm's Dubai office and a commercial litigation and shipping partner, Rovine advises on a broad range of commercial disputes and deals with matters regarding commodity trading, sanctions, asset recovery, enforcements and contracts relating to offshore oil and gas, shipbuilding and shipping. He has extensive experience of litigation and international arbitration within England as well as UAE market leading experience in the Iranian and Sri Lankan Markets.

Salmaan Jaffery

Chief Business Development Officer, DIFC Authority



In his capacity as Chief Business Development Officer, Salmaan is responsible for developing and executing business strategies aligned with the DIFC's 2024 growth ambitions. The business development team, under Salmaan's direction, identifies and evaluates potential partnership opportunities that serve business and client growth within the DIFC community. Salmaan has 20 years of extensive operating and advisory experience working with global financial services and consulting firms across the Middle East & North Africa, Asia and North America. He holds an MBA from Cornell University and a BA in International Relations (Phi Beta Kappa) from Colgate University in the United States. Salmaan is also President of the Cornell University and Johnson School Clubs, Middle East and serves on the Boards of several non-profit organisations.

Shahab Ayub

Executive Director Compliance, ABN AMRO



Shahab is the Executive Director for compliance at ABN Amro Bank. He is an effective and accomplished senior banker possessing diversified international experience gained from leading global banking institutions. Shahab has extensive exposure in Financial Crime Compliance including AML, Economic Sanctions, Combating Terrorism Financing and Anti Bribery & Corruption. He has a proven track record of providing leadership and strategic direction across retail, corporate, investment banking & wealth segments from a risk management & financial crime compliance standpoint.



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