

Financial Services Regulatory Advisory (FSRA)

Regulatory & Financial Crime Conference

Crisis management versus long-term change

February 10, 2016 | DIFC, DUBAI



What are we doing?

The Deloitte Financial Services Regulatory Advisory (FSRA) practice and Dubai International Financial Centre (DIFC) would like to extend a personal invitation for you to join us at our Regulatory & Financial Crime Conference (RFCC), held on **February 10, 2016** at the **DIFC, Dubai**.

The key theme of our conference is **Crisis management versus long-term change: Effectively managing growing regulatory obligations for Financial Institutes in the Middle East**.



Why are we doing it?

The international regulatory agenda has been particularly ambitious since the financial crisis, which has placed considerable strain on the financial sector from both a **practitioner and regulatory perspective**. A key element of the challenge stems from the need to **strike a balance** between reactively responding to existing threats and proactively working towards making the financial sector safer and more robust. Through our series of panels with industry experts, we aim to discuss just that: how financial institutions and regulators manage their requirements and objectives in a way that is **practical and fit-for-purpose**, whilst also working towards improving the resilience and strength of the sector as a whole.



How are we doing it?

During the afternoon conference, the panels will discuss and tackle these issues across a range of topics, including: the **overall regulatory agenda**; how financial institutions **manage cross-border compliance**; the potential lifting of the **sanctions against Iran**; and what is **fit-for-purpose** in the context of **financial crime compliance**. Our panelists will be practicing regulators from the region, senior executives from the Financial Services industry, and lawyers.

RFCC 2016 will coincide with Deloitte's **Global Forensic Leadership** meeting in Dubai. The delegates will also get opportunity to discuss similar matters across various geographies.



Our speakers

We are also pleased to announce our speakers:

- **Arif Amiri**, CEO, Dubai International Financial Centre (DIFC)
- **Omar Fahoum**, CEO, Deloitte Middle East
- **Julian Wynter**, CEO, Standard Chartered Bank, UAE
- **John Wagner**, Deloitte-US, previously Director BSA & AML at OCC
- **Collin D Lobo**, Regional Head of FCC – MENA, HSBC
- **Haseeb Ansari**, Head of Compliance, Barclays
- **Mazen Boustany**, Partner, Baker McKenzie & Habib Al Mulla
- **Alison Clew**, Global Head of AML & Sanctions, Deloitte-US
- **Kaiser Naseem**, Head of Banking Advisory, International Finance Corporation
- **Lawrence Paramasivam**, Director Supervision, DFSA
- **Michael Wong**, Associate Director, Supervision, DFSA
- **Khalid Shaikh**, Head of Compliance, Mashreq Bank
- **Adrian Bock**, Associate Director, Enforcement, DFSA
- **Shahab Ayub**, Head of Compliance, ABN AMRO Bank
- **Salmaan Jaffery**, Chief Business Development Officer, DIFC
- **Asad Jafree**, Insignia Consulting
- **Rovine Chandrasekera**, Partner, Stephenson Harwood Middle East



Who should attend?

The conference is aimed at:

- Regulators
- Business Leaders
- Regulatory Compliance Practitioners
- Financial Crime Compliance Practitioner
- Risk Managers
- Lawyers



How to attend?

To respond to this invitation, please click send an email to aalshaya@deloitte.com or call on +971 4 506 4766

Detailed Agenda

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|----------------------|---|
| 13.00 – 13.45 | Registration & Coffee |
| 13.45 – 14.00 | Welcome & Opening Remarks Neil Hargreaves , Managing Director – Head of Forensic, Middle East, Deloitte Jacques Visser , Chief Legal Officer, Dubai International Financial Centre (DIFC) |
| 14.00 – 14.30 | Keynote Speaker Julian Wynter , CEO, Standard Chartered Bank, UAE |
| 14.30 – 15.15 | Regulatory trends and developments in the Middle East <i>Q: What are the top priority for regulators in the region? Which areas should Financial Institutions be focusing on more? What are the challenges for the industry in future?</i> <u>Moderator:</u> Bhavin Shah , Director, Deloitte <u>Panel:</u> Lawrence Paramasivam , Director Supervision, DFSA Kaiser Naseem , Head of Banking Advisory, International Finance Corporation Mazen Boustany , Partner, Baker McKenzie & Habib Al Mulla |
| 15.15 – 16:00 | What is fit-for-purpose in the context of Financial Crime Compliance (FCC)? <i>Q: How do financial institutions ensure they are meeting requirements in a way that is fit-for-purpose? How do they know what is enough?</i> <u>Moderator:</u> Mandy Green , Principal Director, Deloitte <u>Panel:</u> Collin D Lobo , Regional Head of FCC – MENA, HSBC Shahab Ayub , Head of Compliance, ABN AMRO Bank Michael Wong , Associate Director, Supervision, DFSA Alison Clew , Global Head of AML & Sanctions, Deloitte-US |
| 16.00 – 16.30 | Coffee break |
| 16.30 – 17.00 | Managing cross-border compliance <i>Q: What are the biggest risks posed by cross-border compliance? Which requirements do you think conflict the most across jurisdictions? In managing cross-border risks, do you apply a federal or centralized model?</i> <u>Moderator:</u> Salmaan Jaffery , Chief Business Development Officer, DIFC <u>Panel:</u> Muneer Khan , Partner, Simmons and Simmons Khalid Shaikh , Head of Compliance, Mashreq Bank Adrian Bock , Associate Director, Enforcement, DFSA John Wagner , Deloitte-US, previously Director BSA & AML at OCC |
| 17.00 – 17.45 | Sanctions Compliance – Opportunities in Iran? <i>Q: What does lifting of Iran sanctions actually mean? What are the key risks firms may face if re-entering the country? What role are financial institutions to play in the re-entry of Iran into the global economy?</i> <u>Moderator:</u> Ralph Stobwasser , Managing Director, Deloitte <u>Panel:</u> John Wagner , Deloitte-US, previously Director BSA & AML at OCC Asad Jafree , Insignia Consulting Rovine Chandrasekera , Partner, Stephenson Harwood Middle East |
| 17.45 – 18.00 | Closing Remarks Mark Tantam , Partner, Deloitte- UK |
| 18.00 – 21.00 | Cocktail Reception @ The Atrium, DIFC |

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